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From: [Kevin Welsh](#)
To: [Davis Chris \(GOV\)](#)
CC: [Pete Ogden](#), [Aimee Barnes](#)
Date: Oct 11, 2017 at 12:47 PM
Subject: Fwd: Climate Alliance docs
AttachmentList: 2

Chris,

FYI - per Aimee's request, attached are the draft alliance docs that we sent to Erin Rogers last week.

Kevin



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Name	Size
 Draft USCA Proposal	24.00 KB
 DRAFT USCA Budget Summary	14.00 KB

Proposal for the United States Climate Alliance

Summary: The U.S. Climate Alliance is a bipartisan coalition of U.S. states committed to upholding the greenhouse gas emissions reduction goals of the Paris Climate Agreement and to continuing to demonstrate leadership on climate change. The Alliance includes 15 members¹ that collectively represent more than 36% of the U.S. population, over \$7.6 trillion in GDP, and nearly 20% of U.S. emissions. The Alliance will establish a secretariat staff and program budget to implement new initiatives, achieve Alliance goals and objectives, and coordinate member states collective efforts to actively reduce climate change contributing factors.

Role of the U.S. Climate Alliance in Driving Climate Action: The Alliance is a consortium of U.S. governors dedicated to strong climate action, led by the senior staff of these governors. As such, the Alliance is uniquely positioned to drive climate action in the United States and to fill the climate leadership void created by the Trump Administration. The Alliance is a platform for Governors to cooperate on policy acceleration toward achieving the U.S. emission reduction goals under the Paris Agreement, to track progress toward achieving these goals, and to play a leadership role internationally, including through high-level engagement at major climate events, such as COP, UN Climate Week, and the 2018 Climate Summit. The Alliance will launch new initiatives to enhance and facilitate climate policy action by Alliance members, targeted at critical gaps and opportunities. The Alliance will also amplify the voices and actions of U.S. states and establish an enduring coalition that will provide cohesion and continuity across individual administrations.

Goals and Objectives of the U.S. Climate Alliance

- Coordinate policy and legal efforts, launching new initiatives and programs, and sharing information and best practices, to accelerate adoption of state-level policies to work toward 2025 U.S. climate target and fill gaps in federal action
- Track and report progress by all Alliance members toward the 2025 U.S. climate target, in cooperation with external partners.
- Demonstrate global climate leadership by actively engaging in high-level international climate events and by identifying and implementing an international partnership to support climate action in developing countries
- Establish the Alliance as an influential voice in support of climate action through an effective and well-coordinated communications strategy.

Key Milestones and Events

- **September 2017** – UN General Assembly/Climate Week
 - High-Level US Climate Alliance Event. Release of first report demonstrating collective progress toward emissions reduction goal, and announcing early direction for policy collaboration.
- **November 2017** – UN Conference of the Parties – Bonn Germany

¹ California, Colorado, Connecticut, Delaware, Hawaii, Massachusetts, Minnesota, New York, North Carolina, Oregon, Rhode Island, Vermont, Virginia, Washington, and Puerto Rico.

- Climate Alliance represented during ministerial-level discussions. Governor participation. Alliance side event(s), including one stand-alone event, and others at U.S. Climate Action Pavilion hosted by We Are Still In, and others.
- **February 2018** – Winter Meeting (possibly alongside Winter NGA Meeting)
 - Climate Alliance Event and Update. Deepen collaboration between states on targeted policy initiatives within the Alliance to accomplish climate objectives. Announce new members.
- **June 2018** – One year since inception/US announcement of withdrawal from Paris
 - Media push on Alliance progress, action and future plans
- **September 2018** – Global Climate Summit in California. Release of second annual report on progress toward goal and alliance actions. Possible additional announcement(s).
- **November/December 2018** – COP 24
- **2019**
 - Annual Meeting
 - 2-year anniversary
 - Climate Week

Potential Areas for Action (This list will be refined based on further review and evaluation by the Alliance Steering Committee)

- **Reporting:** Annual reporting on progress toward 2025 U.S. Climate Goal
- **Advanced transportation:** Transportation initiative focused on Alliance collaboration to drive transition to zero emissions transportation.
- **Mitigation:** Carbon markets initiative aimed at facilitating market linkages
- **Short-lived climate pollutants:** “Super pollutant challenge” initiative to reduce short-lived climate pollutants, initially focused on methane reduction
- **Power sector modernization:** Power sector collaboration focused on phase-out of high-emitting generation, rapid deployment of renewable generation, grid modernization, and tackling challenges posed by intermittent generation.
- **Building transformation:** Collaboration to drive adoption of stronger building codes and transform national markets for appliances.
- **Clean energy and climate finance:** Climate finance initiative to collaborate on clean energy investment, develop new insurance and risk mitigation approaches, build upon the success of established finance tools and Green Banks, and work together across the board on finance-related opportunities.
- **Climate resilience:** Initiative to identify regional resilience needs and coordinate on the development and implementation of technical tools to support community resilience, including mapping to identify the risk posed by combined sea level rise, storm surge and extreme precipitation.
- **Actions to fill the gap in federal action:**
 - Re-establish Scientific Advisory Committee on the National Climate Assessment
 - Co-sponsor Climate Leadership Conference and Climate Leadership Awards (EPA withdrew its participation in August)

- **International:** Establish international partnership in support of developing country efforts. For example, launch partnership with Caribbean islands to deploy renewable energy and increase resilience in the region.

Staffing: The proposal is for a lean but capable team to launch the secretariat with the expectation of incremental staff growth over time as needed to support the needs of the Alliance. The initial team would include the following members:

- Executive Director
- Domestic Policy Lead (direct hire or seconded from existing organization)
- Communications, Policy, and Administrative Manager (junior-level)

Climate Alliance
Draft Initiative Budget

Cost Category	Donor Requested	In-kind Support	Donor Requested	In-kind Support	Donor Requested	In-kind Support	Total Program Budget	Notes & Assumptions
	Year 1 11/1/2017 - 10/31/2018	Year 1 11/1/2017 - 10/31/2018	Year 2 11/1/2018 - 10/31/2019	Year 2 11/1/2018 - 10/31/2019	Total Donor Funding Request	Total In-kind Support		
Personnel	\$436,475	\$41,227	\$449,569	\$42,876	\$886,044	\$84,104	\$970,148	Annual salary rates are increased to account for COLA and average of performance increases (see rate assumptions tab for additional info). UNF applies a standard 24% fringe rate for budgeting purposes. This same rate is assumed for seconded staff for the sake of simplicity.
Travel	\$20,475	\$10,700	\$20,680	\$10,807	\$41,155	\$21,507	\$62,662	Donor funded travel support is included for six domestic and three international trips per year. It is expected that an aligned organization will provide support for additional travel on an annual basis.
Equipment & Supplies	\$10,020	\$0	\$0	\$0	\$10,020	\$0	\$10,020	Assume new laptop and smartphone purchase for all new FTEs (laptops for consultants not included) hosted by the UN Foundation.
Grants	\$0	\$0	\$0	\$0	\$0	\$0	\$0	No grants are included for this initiative.
Conferences & Meetings	\$40,000	\$20,000	\$40,000	\$20,000	\$80,000	\$40,000	\$120,000	Three annual convenings are budgeted per year at an estimate of \$20K per convening to cover costs for venue, catering and any other associated event execution costs. The assumption is that two events will be covered by an aligned organization.
Operating Costs	\$10,000	\$0	\$10,000	\$0	\$20,000	\$0	\$20,000	Operating costs include coverage for printing and production of reports along with minimal TBD operating costs for the initiative.
Professional Fees	\$125,000	\$200,000	\$125,000	\$200,000	\$250,000	\$400,000	\$650,000	The expectation is that the initiative will initially be funded with a \$125K per year program budget to establish and execute one or more signature initiatives, as well as funding to maintain the website, develop and execute a robust digital media strategy, and amplify publication and other critical messaging across Climate Alliance constituents. The budget includes a line item for in-kind support at a level of \$200K/Year for consultant services for two reports and state support for opportunity scoping analysis/inventories. The proposal is for the funds to directly contract with the consultant to provide these services to the Alliance.
Total Direct Cost	\$641,970	\$271,927	\$645,249	\$273,683	\$1,287,219	\$545,611	\$1,832,830	
Overhead @ 15%	\$96,296	\$4,987	\$96,787	\$5,187	\$193,083	\$10,174	\$203,257	The UN Foundation applies a standard, 15% overhead rate on all direct cost to support all overhead costs, including expenses for overall function and management of our operations, human resources, accounting, finance, IT and auditing costs.
Total Funding Requested	\$738,266	\$276,914	\$742,036	\$278,870	\$1,480,302	\$555,784	\$2,036,086	See assumptions below related to fundraising gaps

Funder	Donor Requested	In-kind Support	Donor Requested	In-kind Support	Donor Requested Total	In-kind Support Total	Status
Consultant services for two reports and state support for opportunity scoping analysis/inventories	\$200,000	\$200,000	\$200,000	\$200,000	\$400,000	\$400,000	
Dedicated domestic policy staff support from aligned organization		\$30,700		\$30,807	\$0	\$61,507	
UN Foundation Contribution		\$46,214		\$48,063	\$0	\$94,277	
Total	\$200,000	\$276,914	\$200,000	\$278,870	\$400,000	\$555,784	
Positive Balance or Negative Balance	-\$538,266	\$0	-\$542,036	\$0	-\$1,080,302	\$0	