

## Douberteen, Aaron

---

**From:** Buge, Carol  
**Sent:** Tuesday, June 16, 2015 2:21 PM  
**To:** Douberteen, Aaron  
**Cc:** Bradford, Stephanie; Kim, Calin; Rangen, Joyce; Darnell, Tuesdee  
**Subject:** Re: Major Gift - Shapiro Family Charitable Foundation \$500,000 Pledge

I think it is preferable all around to book it as a straight gift. Thank you .

Sent from my iPhone

> On Jun 16, 2015, at 2:19 PM, Douberteen, Aaron <[adouberteen@support.ucla.edu](mailto:adouberteen@support.ucla.edu)> wrote:

>

> Hi Carol,

>

> We're on the cusp of booking this gift but there's one last matter to clear up. Since all of the gift documents refer to a pledge and we have the Foundation Accounting checklist indicating a binding pledge, the payment in full of \$500,000 that we deposited on 6/1 was going to be booked as a pledge payment to show pledge fulfillment and better represent how the gift was received. However, the payment is from their CCF donor-advised fund and the pledge letter does not state that it can be fulfilled by a DAF.

---

>

> Perhaps the easiest and cleanest way is just to book the \$500k check as a straight gift and not worry about creating a pledge at all. Do you think that would be alright, or is capturing the pledge itself necessary? If the latter, do we have your approval to use the DAF gift as a pledge payment?

>

> Thanks,

>

> Aaron

>

> From: Darnell, Tuesdee

> Sent: Monday, June 15, 2015 1:55 PM

> To: Major Gifts Log

> Subject: Major Gift - Shapiro Family Charitable Foundation \$500,000 Pledge

>

> Hi Everyone,

>

> I have given the gift paperwork for the \$500,000 pledge from the Shapiro Family Charitable Foundation to Gift Processing.

>

> A copy of the paperwork is attached. Please let me know if anyone has any questions.

>

>

> Take care,

> ••Tuesdee Darnell

> Fund Analyst, Fund Management

> 10920 Wilshire Boulevard, Suite 1100, Los Angeles, CA 90024

> Office: (310)794-2915

> [tdarnell@support.ucla.edu](mailto:tdarnell@support.ucla.edu)<<mailto:tdarnell@support.ucla.edu>>

> [LetThereBe.ucla.edu](http://lettherebe.ucla.edu/)<<http://lettherebe.ucla.edu/>>

>

> "Whatever you can do, or dream you can, begin it. Boldness has power, magic, and genius in it."

>

> -Johann Wolfgang Von Goethe

>

> <CCF Shapiro Family Charitable Foundation.pdf>

## The UCLA Foundation Pledge Accounting Checklist

This pledge checklist was created to document whether or not a gift meets the requirements to be accrued for accounting purposes under GASB #33 and FAS #116. If a gift meets the requirements, it should be recorded in Advance with a "Y" in the 'binding indicator' field. This will result in a pledge accrual for those pledges greater than or equal to \$50,000.

Donor Name: Shapiro Family Charitable Foundation Pledge Amount: \$500,000 Agreement date: 5/19/2015

If the answer to ANY of the questions 1-4 is "YES", then DO NOT record this gift as a binding pledge in Advance for the accounting purpose.

	YES	NO
1 Is this an endowed pledge?		<input checked="" type="checkbox"/>
2 Is this a testamentary pledge? (The UCLA Foundation is specified as beneficiary in the donor's will or bequest upon the donor's death.)		<input checked="" type="checkbox"/>
3 Is this a "gift-in-kind" pledge?		<input checked="" type="checkbox"/>
4 Is this a conditional pledge? (The UCLA Foundation agrees to specific conditions and/or to perform a scope of work as defined by the donor? For example, a challenge pledge requires The UCLA Foundation to raise a matching amount before receiving the pledge's contributions)		<input checked="" type="checkbox"/>

\*\*\* Final Approval For The Gift:

Binding Indicator	
YES	NO
<input checked="" type="checkbox"/>	<input type="checkbox"/>

Notes:

1. Please review the agreement and ensure that it is finalized, signed by the donor, and accepted by The UCLA Foundation designated officers.
2. If this is a construction pledge, please ensure that it has legal binding language in the agreement.

Completed by: Stephanie Bradford / Bill Kinsella

Completed Date: 6/15/15

(The Director(s) of Gift Acceptance should complete the checklist and the Director of Data Processing should ensure the pledge has been properly established in Advance.)

Please Review All Instructions Prior to Submitting This Form

### UCLA Foundation Pledge Transmittal

Submitted by: Tuesdee Darnell Dept: Fund Management Email: tdarnell@support.ucla.edu Phone Number: 310-794-2915 Date: 6/15/2015

**The Following Criteria Applies to all Gifts on This Transmittal**

Fund Name: The Ann Carlson Discretionary Fund Fund Number: 633130 Appeal Code: \_\_\_\_\_

In Memory of: \_\_\_\_\_ Advance ID: \_\_\_\_\_ In Honor of: \_\_\_\_\_ Advance ID: \_\_\_\_\_

Primary Donor Name (Legal Donor)	Advance ID	Payment Schedule	Payment Amounts	Pledge Agreement Included:	Set-up App.	Associated Donors	Advance ID	Joint	Total Amt.	Premium Amt.	Tax Deductible Amt.
1) CCF Shapiro Family Charitable Foundation	0020537420	Custom		Yes		1)		<input type="checkbox"/>	\$500,000.00		\$500,000.00
2)						2)		<input type="checkbox"/>			\$0.00
3)						3)		<input type="checkbox"/>			\$0.00
4)						4)		<input type="checkbox"/>			\$0.00
5)						5)		<input type="checkbox"/>			\$0.00
<b>Total:</b>									\$500,000.00	\$0.00	\$500,000.00

ACCEPTED BY  
JUN 15 2015  
*Stephanie Bradford*

Special Instructions: The pledge was paid in full with a donation from the CCF Shapiro Family Charitable Foundation with a check that was received 5/29/2015. Tracking Number: \_\_\_\_\_

<b>Fund Name:</b>	The Ann Carlson Discretionary Fund		
<b>Solomon Fund #:</b>	633130	<b>Advance Fund #:</b>	633130
<b>Campus Fund #:</b>		<b>Linked Fund #:</b>	NA
<b>Prior Nbr:</b>		<b>Prior Name:</b>	
<b>Status:</b>	Open		
<b>Department Name &amp; Code:</b>	School of Law, 0072	<b>Date Established:</b>	6/11/2015
<b>School Name &amp; Code:</b>	School of Law, 45	<b>Date Deposit:</b>	5/19/2015
<b>Division Name &amp; Code:</b>	School of Law, 45	<b>Date Reclassed:</b>	N/A
<b>Campus Dept Code:</b>	0250	<b>Date Closed:</b>	N/A
<b>Purpose Code:</b>	Department Support, GZ	<b>Gift Type:</b>	Pledge&Cash
<b>Fund Type:</b>	Current	<b>Initial Gift Amount:</b>	500,000.00
<b>Fund Est. By:</b>	Tuesdee Darnell	<b>Agency:</b>	Foundation
<b>Support Group:</b>	N/A		

<b>Initial Donor Information</b>	<b>Options/Status/Clauses</b>	
<b>Donor Type:</b> Single Donor	<b>Return Income to Principal:</b> No	<b>Gift Agreement:</b> Yes
California Community Fndn	<b>Reinvestment Rate:</b> 0.00%	<b>Alternative Clause:</b> No
Shapiro Family Charitable Fndn	<b>Administrative Clause:</b> No	<b>Chair Type:</b> N/A
	<b>Naming Opportunity:</b> No	<b>Chair Approval Date:</b> N/A
	<b>Donor Advised Fund:</b> NA	<b>Admin Fee:</b> Take 6.5% Off Top
<b>Approved Signatures</b>	<b>Designation(s)</b>	
TREVINO, JASON R.	In honor of Ann Carlson	
YU, STEPHEN L		

**FUND PURPOSE**

To provide unrestricted support to be used at the discretion of Professor Ann Carlson, currently Co-Director of the Emmett Institute on Climate Change & the Environment.

**FUND RESTRICTIONS**

At such time as Professor Carlson is no longer an active member of the faculty, funds may be used at the discretion of the Dean to support the Emmett Institute on Climate Change and the Environment.

**FUND HISTORY**

Fund established with a \$500,000 pledge from the Shapiro Family Charitable Foundation.

The pledge was paid in full with a donation from the California Community Foundation Shapiro Family Charitable Foundation that was received on 5/29/2015.

This gift is made with the intent that it would be matched through Dan and Rae Emmett's Matching Gift Challenge. See fund 629110 for details on the Matching Gift Challenge.

<input type="checkbox"/> Reviewed Solicitation	<input type="checkbox"/> Reviewed Gift Agreement	<input type="checkbox"/> Approved By Fund Manager	<input type="checkbox"/> Peer Review
--	--	---	--------------------------------------

Fund Management

Signature: \_\_\_\_\_ Date: \_\_\_\_\_



**MAJOR GIFT/PLEDGE AGREEMENT  
REVIEW & APPROVAL FORM**  
*UCLA External Affairs*

Date: May 27, 2015 *revised June 1, 2015*  
 Donor: **SHAPIRO FAMILY CHARITABLE FOUNDATION**  
 Re: Acceptance of \$500,000 to The UCLA Foundation to establish  
*The Ann Carlson Discretionary Fund*  
 College/School: UCLA School of Law  
 UCLA: Scott Waugh Development: Lindsey Williams

**REVIEWED BY:**

<u>Initial</u>	<u>Date</u>	
<i>WK</i>	<i>6/1/15</i>	Bill Kinsella, <i>Director – Gift Policy</i>
<i>JS</i>	<i>6/2/15</i>	Julie Sina, <i>Associate Vice Chancellor Alumni Affairs/CFO UCLA Foundation</i>
<i>NJG</i>	<i>6/4/15</i>	Nick Goldsborough <i>Associate Vice Chancellor Development</i>

*We hereby recommend and accept the terms of the Shapiro Family Charitable Foundation Agreement dated May 19, 2015.*

RECOMMENDED BY:

*[Signature]*  
 Scott Waugh  
 UCLA Executive Vice Chancellor

*6/9/2015*  
 Date

ACCEPTED BY:

*[Signature]*  
 Rhea Turteltaub  
 Executive Vice-President, The UCLA Foundation:

*6/10/2015*  
 Date

Not Required

Gene D. Block  
 Chancellor, University of California, Los Angeles

Date

Gift Acceptance Authority – UCLA DA 730.02 dated April 17, 2014  
 Over \$5 million or not within Chancellor's authority – UCOP based on Chancellor's recommendation, (UC DA 2011, 3-23-1994)  
 Over \$1 million to \$5 million – Chancellor  
 \$1 million and less – VC External Affairs, Associate VC Development, Associate VC Alumni Affairs/CFO UCLA Foundation  
 \$500K and less – all of the above and others included in the current campus Delegation of Authority.

To Fund Management: \_\_\_\_\_

DATE: May 27, 2015 revised June 1, 2015

FROM: Bill Kinsella

RE: **Shapiro Family Charitable Foundation**  
**ABSTRACT - GIFT AGREEMENT DATED MAY 19, 2015**

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The above referenced gift agreement benefits the UCLA School of Law and is submitted by Scott Waugh, UCLA Executive Vice Chancellor and Lindsey Williams, Development.

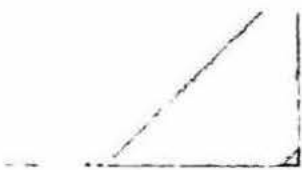

### **Background**

May 19, 2015 – Shapiro Family Charitable Foundation pledges \$500,000 to The UCLA Foundation to establish *The Ann Carlson Discretionary Fund*. This is a current use fund to be used at the discretion of Professor Ann Carlson, currently Faculty Co-Director of the Emmett Institute on Climate Change & the Environment, for a wide variety of needs and opportunities. These include, but are not limited to: graduate and undergraduate scholarships, fellowships, faculty projects, travel, research and any other purposes in her sole discretion as she deems appropriate. At such time as Professor Carlson is no longer an active member of the faculty, the funds may be spent at the discretion of the dean to support the Emmett Institute on Climate Change & the Environment.

Full payment of the pledge has been sent. See donor's email dated May 24, 2015.

### **Comments**

The gift fee disclosure is not included. This donor is aware of the gift fee based on his prior giving.



Ralph and Shirley Shapiro

May 19, 2015

Rachel F. Moran,  
Dean and Michael J. Connell Professor of Law  
UCLA School of Law  
P.O. Box 951476  
Los Angeles, CA 90095

Dear Dean Moran,

Through the many years of our involvement at UCLA and with various Deans, we know our esteemed faculty is always in need of discretionary funds to enable them to meet unexpected emergencies and opportunities. We believe that the Environmental Program within the Emmett Institute on Climate Change & the Environment is in the same position.

We have observed Professor Ann Carlson and her accomplishments in the area of the Environmental Law and Global Warming and want to establish The Ann Carlson Discretionary Fund at the UCLA School of Law, in her name and in her honor, to assist her in enhancing her ability to continue her work through the Emmett Institute.

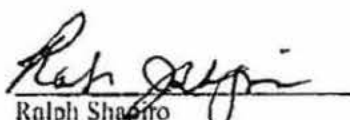
The Shapiro Family Charitable Foundation hereby pledges \$500,000 to The UCLA Foundation to establish The Ann Carlson Discretionary Fund. This is a current use fund to be used at the discretion of Professor Ann Carlson, currently Faculty Co-Director of the Emmett Institute on Climate Change & the Environment, for a wide variety of needs and opportunities. These include, among other things, graduate and undergraduate scholarships, fellowships, faculty projects, travel, research and any other purposes in her sole discretion as she deems appropriate. These examples are not meant to preclude uses of this Fund for any other purposes, at her sole discretion. We also want to make clear that we leave the timing of the spending of the Ann

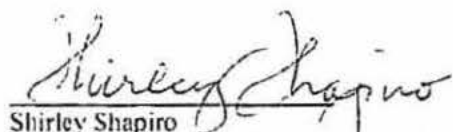
Carlson Discretionary Fund to Ann's discretion so that if it is helpful to expend funds quickly she may do so or if it is helpful to use the funds over the course of a number of years she may do that as well. At such time as Professor Carlson is no longer an active member of the faculty, Funds may be used at the discretion of the dean to support the Emmett Institute on Climate Change & the Environment.

Given Shirley's role as a member of the advisory board, we are gratified that the gift will support the Emmett Institute on Climate Change & the Environment at UCLA School of Law for many generations to come. This gift is made with the intent that it would be matched through Dan and Rae Emmett's Matching Gift Challenge.

It gives us great satisfaction to know that we are playing a vital role in advancing UCLA's mission of research, education and public service.

Sincerely,

  
Ralph Shapiro  
The Shapiro Family Charitable Foundation

  
Shirley Shapiro  
The Shapiro Family Charitable Foundation

**From:** [Williams, Lindsey](#)  
**To:** [Kinsella, William](#); [Parker, Laura](#)  
**Subject:** FW: Ann Carlson discretionary fund  
**Date:** Tuesday, May 26, 2015 2:12:13 PM  
**Attachments:** [EXECUTED GIFT AGREEMENT MAY 2015.pdf](#)

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**From:** Ralph J Shapiro [REDACTED]  
**Sent:** Sunday, May 24, 2015 9:01 PM  
**To:** Williams, Lindsey  
**Cc:** 'Carol Buge (cbuge@support.ucla.edu)'; Carlson, Ann  
**Subject:** Ann Carlson discretionary fund

Lindsey:

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Here are the [executed documents](#). The check was mailed.

Carol Buge will let you know the Fund # that already exists

Thanks for your help. It will be wonder when that generous and wonderful Emmet matching funds are added to it. please keep me posted.

I hope that others will add to this Fund over time.

# Gift Acceptance Troubleshooting Form

To: Bill Kinsella

Ticket Number: 9162

From: Calin Kim

Date: 6/2/2015

Donor Name: Shapiro Family Charitable Foundation

ID: 20537420

Amount: \$500,000.00 Check#: 76176

## Issue:

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Missing/Positive 700U  | <input type="checkbox"/> Pledge Overpayment                         | <input type="checkbox"/> Missing Paperwork |
| <input type="checkbox"/> Review Gift            | <input type="checkbox"/> Pledge Issue                               | <input type="checkbox"/> CIRC Review       |
| <input type="checkbox"/> Check Issue            | <input type="checkbox"/> Matching Gift Issue                        | <input type="checkbox"/> OCGA Review       |
| <input type="checkbox"/> Primary Donor          | <input type="checkbox"/> Exchange Check: Regents to UCLA Foundation |  |
| <input type="checkbox"/> Securities Issue       | <input type="checkbox"/> Exchange Check: UCLA Foundation to Regents |  |
| <input checked="" type="checkbox"/> Fund Number | <input type="checkbox"/> Exchange Check: UCLA Alumni Association    |  |
| <input checked="" type="checkbox"/> Other       | <input type="checkbox"/> Research Regents or Foundation             |  |

Foundation Batch #09 6/1/15



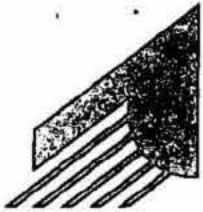
## Sender Comments:

Signed out for routing.

This gift includes a note that Gift Processing should suppress the LGDV when this gift closes. ~~For the most possible CRM.~~

Date Completed:

## Analyst Comments:



CALIFORNIA  
COMMUNITY  
FOUNDATION

*Building the Future  
of Los Angeles*

May 26, 2015

DATA SERVICES  
2015 JUN -1 AM 8:12

Ms. Carol Buge  
UCLA Foundation  
UCLA Donor Relations & Development Services  
10920 Wilshire Boulevard, Ste 1400  
Los Angeles, CA 90024-6516

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William C. Choi

Dear Ms. Buge:

At the recommendation of the Shapiro Family Charitable Foundation, a donor advised fund at the California Community Foundation, we are pleased to award UCLA Foundation this check in the amount of \$500,000.00. This grant is designated for the following purpose:

- For the Professor Ann Carlson Discretionary Fund

By endorsing, depositing or cashing this check you certify that the grant will:

- Be used to fulfill a charitable purpose.
- Not fulfill a legally binding pledge or financial obligation of the donor advisor/s.
- Not provide any material benefit or privilege to the donor advisor/s or any individual related to the donor advisor/s (e.g., membership benefits, event tickets, goods bought at auction, religious benefit, etc.);

Please note that because this grant was made from a component fund held at the California Community Foundation, a 501(c)(3) public charity, neither the donor nor the foundation require a receipt for tax purposes. In addition, we ask that you kindly not send any general mailings to the Foundation unless otherwise requested.

However, please feel free to acknowledge the donor at the address below.

9401 Wilshire Blvd, Ste 1201  
Beverly Hills, CA 90212-2928

If you have any questions, please contact a member of the Grants Management Team at (213) 239-2330. On behalf of the donor and California Community Foundation, I wish you much continued success.

Sincerely,

Marilu Guzman  
Grants and Fund Operations Specialist

Enclosure: Check #76176

CC: Donor

20537420

**CALIFORNIA COMMUNITY FOUNDATION**

Check Date: 5/21/2015  
Check Number: 76176

To: UCLA Foundation  
10920 Wilshire Blvd., Ste. 1100  
Los Angeles, CA 90024


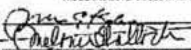

Invoice Number	Date	Description	Amount	Discount	Net Amount
GE-DA-15-121359-1	5/18/2015	DA-15-121359 Prof. Ann Carlson Discretionary F	\$500,000.00	\$0.00	\$500,000.00
		<b>Totals:</b>	<b>\$500,000.00</b>	<b>\$0.00</b>	<b>\$500,000.00</b>



**Research By Transaction Report**  
**The Bank of New York Mellon Report**  
 Report Created On 6/1/2015 12:36:59 PM By 62087janem

Presenter: THE UCLA FOUNDATION  
 Location(s): The UCLA Foundation

Research ID: 9  
 Status: Acknowledged

 <b>CALIFORNIA COMMUNITY FOUNDATION</b> 221 S. Figueroa St, Suite 400 Los Angeles, CA 90012		City Branch Bank 450 and Olive Branch Los Angeles, CA 90014  12201666	<table border="1"> <tr> <th>CHECK DATE</th> <th>CHECK NO.</th> </tr> <tr> <td>5/21/2015</td> <td>76176</td> </tr> <tr> <th colspan="2">CHECK AMOUNT</th> </tr> <tr> <td colspan="2" style="text-align: right;">\$** 500,000.00</td> </tr> </table>	CHECK DATE	CHECK NO.	5/21/2015	76176	CHECK AMOUNT		\$** 500,000.00	
CHECK DATE	CHECK NO.										
5/21/2015	76176										
CHECK AMOUNT											
\$** 500,000.00											
PAY "Five hundred thousand and 00/100 Dollars"  TO THE ORDER OF UCLA Foundation 10920 Wilshire Blvd., Ste. 1100 Los Angeles, CA 90024		AUTHORIZED SIGNATURE 									
		ENDORSE HERE FOR DEPOSIT ONLY <input checked="" type="checkbox"/> THE UCLA FOUNDATION ACCOUNT # 789005839651 ABS. OF ENDORSEMENT GUARANTEED OUR RETURN TO YOU DEPENDS ON THE CLARITY OF THE INFORMATION YOU PROVIDE									

Description:	Check	Tracer:	20150601900004860000900002
Aux On Us:	076176	EPC:	
Amount:	\$500,000.00	Account Number:	
Routing Transit:	12201666	Process Control:	



# GRANT RECOMMENDATION FORM

## 1 INSTRUCTIONS

Fax this completed form to (213) 239-2498 or mail it to:  
California Community Foundation  
221 S. Figueroa St., Suite 400  
Los Angeles, CA 90012, ATTN: Grants Coordinators



2 GRANT RECOMMENDATION FROM D306 - The Shapiro Family Charitable Foundation  
(Fund Name & Fund Number)

3 GRANT AMOUNT (\$100 minimum) \$ 500,000.00

4 NONPROFIT ORGANIZATION (Grant checks are made payable to the legal name of the nonprofit organization and mailed directly to its address)  
 Grant to the LOS ANGELES COMMUNITY FUND in support of CCF's grants to qualified charitable organizations addressing pressing needs in communities located within Los Angeles County  
OR

Address: UCLA Foundation  
10920 Wilshire Boulevard, Suite 1400 Attn: Carol Buge, Assistant Vice Chancellor  
Los Angeles, CA 90024-6516

## 5 CHARITABLE PURPOSE

- General Support
- Specific Purpose or Project: For the Professor Ann Carlson Discretionary Fund  
If for an Event/Gala/Fundraiser, are you, or anyone affiliated with the fund, attending?  Yes  No

6 SPECIAL INSTRUCTIONS (A letter accompanying this grant will be sent to the charity. Choose your preference for recognition or anonymity)  
 Recognize Fund Name Only  
 Recognize Fund Name and Donor Name(s): \_\_\_\_\_  
 Anonymous

## 7 CERTIFICATION

I certify that neither I nor any individual will receive any material benefit from the charitable organization as a result of this grant. If any material benefit or privilege is offered in connection with this charitable disbursement, I have not and will not accept it. I understand and acknowledge that I cannot claim a charitable deduction for grants I've recommended, even if the grantee sends me a receipt.

I understand and acknowledge that a grant must directly and fully support a charitable program and I will not submit a recommendation intended to:

- Fulfill a legally binding pledge or other financial obligation to the recommended charity
- Benefit myself, any specific individual or to benefit an entity in which I hold 35% or more controlling interest
- Support political campaigns or lobbying activities
- Pay for membership benefits, event tickets (galas, sporting events), goods bought at auction, tuition, religious benefits, etc.

I understand that grant recommendations are subject to the review and approval of California Community Foundation and grants must comply with the policies detailed in California Community Foundation's *Charitable Fund Guidelines*. I understand that this is a recommendation and not a direction and CCF will perform the necessary due diligence of the charitable organization to ensure compliance with federal regulations. For questions about your grant recommendation, please call us at (213) 413-4130.

X <b>COPY</b>	Shirley Shapiro	5-14-2015	310-550-0960
Authorized Signature	Print Name	Date	Daytime Phone

For CCF Use Only:			
Fund # & Date Received: D306	Fund Balance:	Investment Pool:	SP:

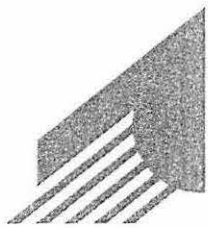
**Payments to Ann Carlson Discretionary Fund**

Donor	Date	Amount
Emmett Foundation	6/26/2020	\$250,000.00
Dan A. Emmett Revocable Living Trust	6/20/2019	\$249,999.90
California Community Foundation Ralph/Shirley Shapiro Family Fund	10/11/2018	\$500,000.00
California Community Foundation Ralph/Shirley Shapiro Family Fund	6/1/2015	\$500,000.00

**From:** [Ralph J Shapiro](#)  
**To:** [Buge, Carol](#)  
**Cc:** [Peter Shapiro \(peterwilliamshapiro@gmail.com\)](#); [Parker, Laura](#); [Turteltaub, Rhea](#)  
**Subject:** Cal Comunity foundation checks to arrive  
**Date:** Wednesday, May 20, 2015 11:47:28 AM  
**Attachments:** [ucla carol buge ccf may 20 2015.pdf](#)

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Please let us know when you receive these checks, as well as the check for \$500,000 to the Law School for the Ann Carlsberg Discretionary Fund.  
thanks



CALIFORNIA  
COMMUNITY  
FOUNDATION

*Building the Future  
of Los Angeles*

May 14, 2015

Ms. Carol Buge  
UCLA Foundation  
UCLA Donor Relations & Development Services  
10920 Wilshire Boulevard, Ste 1400  
Los Angeles, CA 90024-6516

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Jean Bixby Smith

Melanie Staggs

Therese Tucker

Catherine L. Unger

Fidel Vargas

Sonia Marie De León de Vega

Ronald T. Vera

Marie Brooks Washington

PRESIDENT & CEO  
Antonia Hernández

GENERAL COUNSEL  
William C. Choi

Dear Ms. Buge:

At the recommendation of the Shapiro Family Charitable Foundation, a donor advised fund at the California Community Foundation, we are pleased to award UCLA Foundation this check in the amount of \$50,000.00. This grant is designated for the following purpose:

- Shapiro Discretionary Fund - School of Dentistry Fund #90438E

By endorsing, depositing or cashing this check you certify that the grant will:

- Be used to fulfill a charitable purpose.
- Not fulfill a legally binding pledge or financial obligation of the donor advisor/s.
- Not provide any material benefit or privilege to the donor advisor/s or any individual related to the donor advisor/s (e.g., membership benefits, event tickets, goods bought at auction, religious benefit, etc.).

Please note that because this grant was made from a component fund held at the California Community Foundation, a 501(c)(3) public charity, neither the donor nor the foundation require a receipt for tax purposes. In addition, we ask that you kindly not send any general mailings to the Foundation unless otherwise requested.

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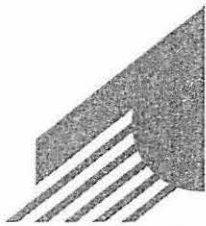
If you have any questions, please contact a member of the Grants Management Team at (213) 239-2330. On behalf of the donor and California Community Foundation, I wish you much continued success.

Sincerely,

Uyen Nune  
Grants and Fund Operations Specialist

Enclosure: Check #76058

CC: Donor



CALIFORNIA  
COMMUNITY  
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May 14, 2015

Ms. Carol Buge  
UCLA Foundation  
UCLA Donor Relations & Development Services  
10920 Wilshire Boulevard, Ste 1400  
Los Angeles, CA 90024-6516

Dear Ms. Buge:

At the recommendation of the Shapiro Family Charitable Foundation, a donor advised fund at the California Community Foundation, we are pleased to award UCLA Foundation this check in the amount of \$50,000.00. This grant is designated for the following purpose:

- Shapiro Fowler Museum Director's Discretionary Fund #90418E

By endorsing, depositing or cashing this check you certify that the grant will:

- Be used to fulfill a charitable purpose.
- Not fulfill a legally binding pledge or financial obligation of the donor advisor/s.
- Not provide any material benefit or privilege to the donor advisor/s or any individual related to the donor advisor/s (e.g., membership benefits, event tickets, goods bought at auction, religious benefit, etc.).

Please note that because this grant was made from a component fund held at the California Community Foundation, a 501(c)(3) public charity, neither the donor nor the foundation require a receipt for tax purposes. In addition, we ask that you kindly not send any general mailings to the Foundation unless otherwise requested.

However, please feel free to acknowledge the donor at the address below.

9401 Wilshire Blvd, Ste 1201  
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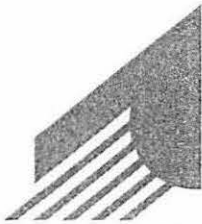
If you have any questions, please contact a member of the Grants Management Team at (213) 239-2330. On behalf of the donor and California Community Foundation, I wish you much continued success.

Sincerely,

Uyen Nune  
Grants and Fund Operations Specialist

Enclosure: Check #76059

CC: Donor



CALIFORNIA  
COMMUNITY  
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*Building the Future  
of Los Angeles*

May 14, 2015

Ms. Carol Buge  
UCLA Foundation  
10920 Wilshire Blvd.  
Suite 1400  
Los Angeles, CA 90024

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William C. Choi

Dear Ms. Buge:

At the recommendation of the Shapiro Family Charitable Foundation, a donor advised fund at the California Community Foundation, we are pleased to award UCLA Foundation this check in the amount of \$50,000.00. This grant is designated for the following purpose:

- Support for Rachel Moran Honorary Fund #82191E

By endorsing, depositing or cashing this check you certify that the grant will:

- Be used to fulfill a charitable purpose.
- Not fulfill a legally binding pledge or financial obligation of the donor advisor/s.
- Not provide any material benefit or privilege to the donor advisor/s or any individual related to the donor advisor/s (e.g., membership benefits, event tickets, goods bought at auction, religious benefit, etc.).

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However, please feel free to acknowledge the donor at the address below.

9401 Wilshire Blvd, Ste 1201  
Beverly Hills, CA 90212-2928

If you have any questions, please contact a member of the Grants Management Team at (213) 239-2330. On behalf of the donor and California Community Foundation, I wish you much continued success.

Sincerely,

Uyen Nune  
Grants and Fund Operations Specialist

Enclosure: Check #76060

CC: Donor



CALIFORNIA  
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May 14, 2015

Dr. Ronald Cohen  
United Cerebral Palsy Spastic Children's Foundation  
6430 Independence Ave.  
Woodland Hills, CA 91367-2607

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William C. Choi

Dear Dr. Cohen:

At the recommendation of the Shapiro Family Charitable Foundation, a donor advised fund at the California Community Foundation, we are pleased to award United Cerebral Palsy Spastic Children's Foundation this check in the amount of \$100,000.00. This grant is designated for the following purpose:

- General Support

By endorsing, depositing or cashing this check you certify that the grant will:

- Be used to fulfill a charitable purpose.
- Not fulfill a legally binding pledge or financial obligation of the donor advisor/s.
- Not provide any material benefit or privilege to the donor advisor/s or any individual related to the donor advisor/s (e.g., membership benefits, event tickets, goods bought at auction, religious benefit, etc.).

Please note that because this grant was made from a component fund held at the California Community Foundation, a 501(c)(3) public charity, neither the donor nor the foundation require a receipt for tax purposes. In addition, we ask that you kindly not send any general mailings to the Foundation unless otherwise requested.

However, please feel free to acknowledge the donor at the address below.

9401 Wilshire Blvd, Ste 1201  
Beverly Hills, CA 90212-2928

If you have any questions, please contact a member of the Grants Management Team at (213) 239-2330. On behalf of the donor and California Community Foundation, I wish you much continued success.

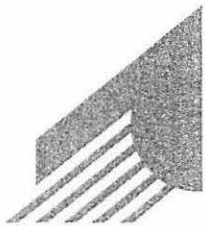
Sincerely,

Uyen Nune  
Grants and Fund Operations Specialist

Enclosure: Check #76061

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GENERAL COUNSEL  
William C. Choi

May 7, 2015

Ms. Kate O'Neal  
UCLA Foundation  
Luskin School of Public Affairs  
3250 Public Affairs Building  
337 Charles E. Young Drive East  
Los Angeles, CA 90095-1656

Dear Ms. O'Neal:

At the recommendation of the Shapiro Family Charitable Foundation, a donor advised fund at the California Community Foundation, we are pleased to award UCLA Foundation this check in the amount of \$25,000.00. This grant is designated for the following purpose:

- Luskin School of Public Affairs

By endorsing, depositing or cashing this check you certify that the grant will:

- Be used to fulfill a charitable purpose.
- Not fulfill a legally binding pledge or financial obligation of the donor advisor/s.
- Not provide any material benefit or privilege to the donor advisor/s or any individual related to the donor advisor/s (e.g., membership benefits, event tickets, goods bought at auction, religious benefit, etc.).

Please note that because this grant was made from a component fund held at the California Community Foundation, a 501(c)(3) public charity, neither the donor nor the foundation require a receipt for tax purposes. In addition, we ask that you kindly not send any general mailings to the Foundation unless otherwise requested.

However, please feel free to acknowledge the donor at the address below.

9401 Wilshire Blvd, Ste 1201  
Beverly Hills, CA 90212-2928

If you have any questions, please contact a member of the Grants Management Team at (213) 239-2330. On behalf of the donor and California Community Foundation, I wish you much continued success.

Sincerely,

Vanessa Meier  
Grants and Fund Operations Specialist

Enclosure: Check #75929

CC: Donor

**From:** [Parker, Laura](#)  
**To:** [Turteltaub, Rhea](#)  
**Subject:** FW: Ann Carlson discretionary fund  
**Date:** Friday, May 29, 2015 10:44:26 AM  
**Attachments:** [EXECUTED GIFT AGREEMENT MAY 2015.pdf](#)  
**Importance:** High

---

Here is the executed language from Ralph Shapiro.  
And note below from Ralph

**Laura Lavado Parker**  
**Assistant Vice Chancellor, Professional Schools**  
**UCLA Development**  
10920 Wilshire Boulevard, suite 1400  
310 794-2304

---

**From:** Williams, Lindsey [mailto:WILLIAMS@law.ucla.edu]  
**Sent:** Tuesday, May 26, 2015 2:12 PM  
**To:** Kinsella, William; Parker, Laura  
**Subject:** FW: Ann Carlson discretionary fund

---

**From:** Ralph J Shapiro [REDACTED]  
**Sent:** Sunday, May 24, 2015 9:01 PM  
**To:** Williams, Lindsey  
**Cc:** 'Carol Buge ([cbuge@support.ucla.edu](mailto:cbuge@support.ucla.edu))'; Carlson, Ann  
**Subject:** Ann Carlson discretionary fund

Lindsey:

Here are the executed documents. The check was mailed.

Carol Buge will let you know the Fund # that already exists

Thanks for your help. It will be wonder when that generous and wonderful Emmet matching funds are added to it. please keep me posted.

I hope that others will add to this Fund over time.

## Ralph J Shapiro

---

**From:** Williams, Lindsey <WILLIAMS@law.ucla.edu>  
**Sent:** Tuesday, May 19, 2015 12:03 PM  
**To:** Ralph J Shapiro; [REDACTED]  
**Cc:** Carlson, Ann  
**Subject:** The Ann Carlson Discretionary Fund at the UCLA School of Law  
**Attachments:** Shapiro - Carlson Fund.doc

Dear Ralph and Shirley,

Thank you for your generous support of UCLA Law and for this new gift to establish The Ann Carlson Discretionary Fund. Please see attached gift letter for your review. Please accept my apology for the delay in sending this gift letter to you yesterday. I had a very unexpected family emergency over the weekend that delayed this email.

Your gift is critically important to furthering the mission of the Emmett Institute and we are so grateful for your recognition of Ann Carlson's leadership. Given Shirley's role as a member of the advisory board and your relationship with Ann Carlson, you know how vital these resources are to the Institute. We are so pleased that the impact of your gift will be doubled when it is matched through Dan and Rae Emmett's Matching Gift Challenge.

Please feel free to call me at the office 310-206-1122 or on my cell [REDACTED] with any questions or edits you may have. Thank you again for your continued support of UCLA Law.

Sincerely, Lindsey

Lindsey A. Williams,  
Associate Dean, External Affairs  
UCLA School of Law  
Box 951476  
Los Angeles, CA 90095-1476  
Phone: 310-206-1122  
Fax: 310-825-4044  
E-mail: [williams@law.ucla.edu](mailto:williams@law.ucla.edu)



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*LINDSEY -  
THANKS CAROL BERG  
you & fund #  
FC: CAROL BERG*

*e*

Ralph and Shirley Shapiro

May 19, 2015

Rachel F. Moran,  
Dean and Michael J. Connell Professor of Law  
UCLA School of Law  
P.O. Box 951476  
Los Angeles, CA 90095

Dear Dean Moran,

Through the many years of our involvement at UCLA and with various Deans, we know our esteemed faculty is always in need of discretionary funds to enable them to meet unexpected emergencies and opportunities. We believe that the Environmental Program within the Emmett Institute on Climate Change & the Environment is in the same position.

We have observed Professor Ann Carlson and her accomplishments in the area of the Environmental Law and Global Warming and want to establish The Ann Carlson Discretionary Fund at the UCLA School of Law, in her name and in her honor, to assist her in enhancing her ability to continue her work through the Emmett Institute.

The Shapiro Family Charitable Foundation hereby pledges \$500,000 to The UCLA Foundation to establish The Ann Carlson Discretionary Fund. This is a current use fund to be used at the discretion of Professor Ann Carlson, currently Faculty Co-Director of the Emmett Institute on Climate Change & the Environment, for a wide variety of needs and opportunities. These include, among other things, graduate and undergraduate scholarships, fellowships, faculty projects, travel, research and any other purposes in her sole discretion as she deems appropriate. These examples are not meant to preclude uses of this Fund for any other purposes, at her sole discretion. We also want to make clear that we leave the timing of the spending of the Ann

Carlson Discretionary Fund to Ann's discretion so that if it is helpful to expend funds quickly she may do so or if it is helpful to use the funds over the course of a number of years she may do that as well. At such time as Professor Carlson is no longer an active member of the faculty, Funds may be used at the discretion of the dean to support the Emmett Institute on Climate Change & the Environment.

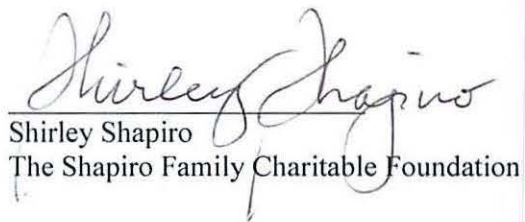
Given Shirley's role as a member of the advisory board, we are gratified that the gift will support the Emmett Institute on Climate Change & the Environment at UCLA School of Law for many generations to come. This gift is made with the intent that it would be matched through Dan and Rae Emmett's Matching Gift Challenge.

It gives us great satisfaction to know that we are playing a vital role in advancing UCLA's mission of research, education and public service.

Sincerely,



Ralph Shapiro  
The Shapiro Family Charitable Foundation



Shirley Shapiro  
The Shapiro Family Charitable Foundation

**From:** [Turteltaub, Rhea](#)  
**To:** [Parker, Laura](#); [Kinsella, William](#)  
**Cc:** [Buge, Carol](#); [Williams, Lindsey](#)  
**Subject:** RE: shapiro gift  
**Date:** Friday, May 29, 2015 11:38:46 AM

---

Bill is out today. I just told Carol – we are on the phone with Glier on issues of Donor Relations – so timely.

She does not believe the EchoSign process a has begun. Regardless – we are on track to do the right thing by all

Rhea Turteltaub

Vice Chancellor, External Affairs

2224 Murphy Hall, Box 941405

Los Angeles, CA 90095-1405

P. (310) 794-5567

F. (310) 825-8480

[rheat@support.ucla.edu](mailto:rheat@support.ucla.edu)



---

**From:** Parker, Laura  
**Sent:** Friday, May 29, 2015 11:36 AM  
**To:** Kinsella, William  
**Cc:** Turteltaub, Rhea; Buge, Carol; Williams, Lindsey  
**Subject:** shapiro gift

Hi,

[Redacted content]

**Laura Lavado Parker**

**Assistant Vice Chancellor, Professional Schools**

**UCLA Development**

10920 Wilshire Boulevard, suite 1400

310 794-2304

**From:** [Shirley Shapiro](#)  
**To:** [Turteltaub, Rhea](#)  
**Subject:** Re: What could be nicer.....?  
**Date:** Friday, May 29, 2015 7:06:51 PM

---

Dear Rhea,

Your terrific letter brought us as much pleasure as the gifts we made to the areas of campus we're passionate about. Thanks for magnifying the joy.

xox Shirley



On May 29, 2015, at 5:12 PM, Turteltaub, Rhea <[rheat@support.ucla.edu](mailto:rheat@support.ucla.edu)> wrote:

Ralph and Shirley,

Two days in a row I get to thank you for exquisite expressions of support for great faculty and treasured friends! Having learned about the wonderful gift in honor of Ann Carlson and in support of the Emmett Center, I am just thrilled to add to the chorus appreciation. And knowing that it gets matched by Dan makes my gratitude exponentially profound.

Thanks ever so much for your always inspirational philanthropic leadership!

Cheers!

Rhea

Rhea Turteltaub  
Vice Chancellor, External Affairs  
UCLA  
2224 Murphy Hall  
Los Angeles, CA 90024  
310-794-5567  
310-825-8480 (fax)  
[rheat@support.ucla.edu](mailto:rheat@support.ucla.edu)



Sent from my iPad



**From:** [Tang, Terry](#)  
**To:** [Carlson, Ann](#)  
**Cc:** [Melling, Daniel](#)  
**Subject:** FW: UCLA Law's Ann Carlson on lawsuits against oil companies in state courts  
**Date:** Thursday, August 8, 2019 11:57:15 AM

---

Hi Prof. Carlson – We're interested in your piece. Is it exclusive to the LA Times? Please let me know soonest.

Many thanks,  
Terry Tang  
Senior articles editor  
Opinion Section  
Los Angeles Times  
(213) 237-3416

---

**From:** Melling, Daniel <[melling@law.ucla.edu](mailto:melling@law.ucla.edu)>  
**Sent:** Wednesday, August 7, 2019 4:23 PM  
**To:** Oped <[oped@latimes.com](mailto:oped@latimes.com)>  
**Subject:** UCLA Law's Ann Carlson on lawsuits against oil companies in state courts

#### EXTERNAL SOURCE

Sharing below a draft op-ed from [Ann Carlson](#). Ann is the Shirley Shapiro Professor of Environmental Law and the Faculty Co-Director of the Emmett Institute on Climate Change and the Environment at UCLA School of Law. She is a leading scholar of climate change and air pollution law and policy, the co-author of a top casebook on Environmental Law, and the co-editor of a book from Cambridge University Press, *Lessons from the Clean Air Act: Building Durability and Flexibility into U.S. Climate and Energy Policy*.

Ann is available at [carlson@law.ucla.edu](mailto:carlson@law.ucla.edu) and (c) 

###

## State courts may provide taxpayers with climate accountability

The likelihood that oil and gas industry executives will have to testify in court about what they knew about climate change and when they knew it increased last week. A [federal judge for the District Court of Rhode Island joined colleagues](#) in Baltimore and Northern California in rejecting a plea by the industry to keep a state nuisance claim out of state court.

The decision to remand the case from federal to state court is a blow to oil companies, which are facing a growing wave of lawsuits that seek to recover costs for damages that internal documents reveal they knowingly caused. The oil companies believe that if they can get federal courts to “remove” cases from state courts, they have a better chance of



getting the cases dismissed.

A number of high profile cases against other businesses that marketed and sold harmful or deadly products – especially when the businesses knew their products would cause health and monetary damages – have succeed in state courts. Federal courts, in contrast, rarely hear these kinds of cases.

State courts paved the way for the record \$273 billion settlement with Big Tobacco. They secured the first major victory in the fight to hold opioid manufacturers accountable. And lead paint manufacturers have had to pay damages to clean up lead paint they sold despite knowing that lead is poisonous.

Those lawsuits, and the explosive internal documents they uncovered during litigation, bear a strong resemblance to what we are beginning to learn about what oil companies knew about climate change, when they knew it, and how they funded a multi-million dollar campaign to convince the American public that climate change isn't happening.

We now know that at the same time oil companies were funding the disinformation campaign, they spent millions of dollars protecting their own assets -- like oil platforms -- from more powerful storms and sea level rise expected as temperatures increase.

Climate liability litigation, filed by governments around the country, raises a fundamental question: who should pay for the damages climate change is already causing, and will continue to cause well into the future as the federal government fails to come to grips with accelerating sea level rise, more intense flooding, larger wildfires, growing droughts and stronger hurricanes: taxpayers or the companies who products caused them?

Discovery – a court process that allows government plaintiffs to get company documents and interview oil company executives - will play a large role in answering that question. Discovery will add to growing evidence about the knowledge that oil and gas company executives had of the role their products played in causing climate change, and their role in the campaign to convince the public that climate change is not a threat. It was discovery that changed the course of tobacco litigation. And damning documents are imperiling opioid manufacturers in court.

Oil companies are doing everything they can to stop discovery. Shell, Exxon and other oil companies do not want their executives testifying under oath about why companies were upgrading their own facilities to prepare for climate change while publicly proclaiming climate change wasn't happening. If oil companies can get federal courts to keep cases away from state court and then dismiss the cases, they can avoid discovery. Last week's decision together with the two other federal court decisions refusing to keep the cases in federal court, throw a monkey wrench in the oil company strategy.

Not only is discovery at stake but, ultimately, so are billions of dollars. If local and state governments can get their cases to trial, they will seek the money necessary to fortify their communities against the ravages of climate change. Doing so carries a huge price tag. For example, a recent study by the [Center for Climate Integrity](#) found that coastal communities in the U.S. [must spend upwards of \\$400 billion at a minimum](#) in the next five to 10 years alone to protect property from sea level rise.

If governments get to the discovery phase and ultimately to trial, juries will be the ones to decide whether oil companies will have to pay for the climate change damages they have caused. Otherwise, taxpayers will be on the hook.

Last week's court decision and the shifting momentum in favor of the plaintiffs have now upped the ante for oil companies. Expect them to increase pressure on Congress to block state and local access to the courts to recover the costs of oil industry damages, while they continue to fight tooth and nail against any form of accountability in cases around the country.

*Shapiro Professor Ann Carlson is faculty co-director of the UCLA Emmett Center on Climate Change and the Environment. She provides pro bono consulting to some of the plaintiffs in climate nuisance litigation*

###

Daniel Melling  
Communications and Events Manager  
Emmett Institute on Climate Change and the Environment

UCLA School of Law  
385 Charles E. Young Dr. East | 2498A Law Building  
Los Angeles, CA 90024  
M: (310) 408 9417  
O: (310) 206-7974  
<http://law.ucla.edu/emmett>

**From:** [Carlson, Ann](#)  
**To:** [Melling, Daniel](#)  
**Subject:** op ed  
**Date:** Monday, July 29, 2019 8:55:53 PM  
**Attachments:** [RWAnn Carlson op-ed.final.docx](#)

---

Hi Daniel,

I've been working with the Center for Climate Integrity to get an op ed placed about the nuisance litigation. I've attached it here. Would you work to try to get it accepted, starting with the NY Times and Wash Post (in whatever order you prefer)? Once they reject us :). let's talk about where to try next.

Thanks!

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

## **State courts may provide taxpayers with climate accountability**

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*Shapiro Professor Ann Carlson is faculty co-director of the UCLA Emmett Center on Climate Change and the Environment. She provides pro bono consulting to some of the plaintiffs in climate nuisance litigation*

**From:** [Denise Antolini](#)  
**To:** [Vic Sher](#); [Marti Townsend](#); [Nancy Cole](#); [Carlson, Ann](#); [David Forman](#); [Richard Wallsgrove](#); [Alyssa Johl](#); [Makena Coffman](#); [Chip Fletcher](#); [Kapua Sproat](#); [Maxine Burkett](#)  
**Cc:** [Emily Gaskin](#)  
**Subject:** Video Posted for Climate Change Science & Litigation  
**Date:** Thursday, July 11, 2019 11:28:09 AM

---

Aloha Kakou,

I hope you are all doing well!

Two quick updates:

1. Video for the conference has now been posted (Big thanks to Emily, ccd' above, and [REDACTED] one of our law students who volunteered to do the editing)

Go here: <http://blog.hawaii.edu/elp/elp-conferences-symposia/climate-change-science-and-litigation-communities-go-to-court-to-recover-costs-of-the-climate-crisis/>

2. Washington Free Beacon filed an information request to the University asking for this video, which we posted yesterday (some delays due to editing time). I've pasted that request in below FYI. Our University folks are handling the response (which is to share the video). It's probably not the last request for information that we will receive....

I love getting our open dialogue shared with a broader audience, don't you? :)

*'A'ohē pau ka 'ike i ka hālau ho'okahi.  
All knowledge is not learned in just one school.*

Best,

Denise

July 19, 2019

University of Hawai'i  
William S. Richardson School of Law  
2515 Dole Street  
Honolulu, HI 96822-2350

Dear University of Hawai'i William S. Richardson School of Law,

Pursuant to the state open records law Haw. Rev. Stat. Secs. 92-1; 92-21 to 92-71; 92F-1 to 92F-42, I write to request access to and a copy of all audio and/or video recordings of a May 3, 2019 event hosted by the School of Law.

The time, place, and all other pertinent details that identify the event in question can be found at this link:

<https://www.law.hawaii.edu/article/university-hawai'i-william-s-richardson-school-law-hosts-event-climate-change-litigation>

I have also copied and pasted as an appendix item some pertinent information from the above link about the event after my signature at the end of this letter.

If your agency does not maintain these public records, please let me know who does and include the proper custodian's name and address.

I agree to pay any reasonable copying and postage fees of not more than \$40.00. If the cost would be greater than this amount, please notify me. Please provide a receipt indicating the charges for each document.

I would request your response within ten (10) business days.

If you choose to deny this request, please provide a written explanation for the denial including a reference to the specific statutory exemption(s) upon which you rely. Also, please provide all segregable portions of otherwise exempt material.

I would note that willful violation of the open records law can result in the award of reasonable attorney fees and other costs of litigation. See Haw. Rev. Stat. Sec. 92F-15(d).

Thank you for your assistance.

Sincerely,

Todd Shepherd, staff writer  
Washington Free Beacon  
1000 Wilson Blvd, Suite 2600  
Arlington, VA 22209  
(202)-465-8622 (office)

shepherd@freebeacon.com

FOR PLANNING PURPOSES

May 1, 2019

MEDIA CONTACTS:

KYLE GROETZINGER

[KGROETZINGER@MRSS.COM](mailto:KGROETZINGER@MRSS.COM)

202-478-6138

ASSOCIATE PROFESSOR DENISE ANTOLINI  
WILLIAM S. RICHARDSON SCHOOL OF LAW

[antolini@hawaii.edu](mailto:antolini@hawaii.edu)

808-554-7215

WHAT: The University of Hawai'i Environmental Law Program at the William S. Richardson School of Law will host local and mainland experts to discuss the science and economics behind the impacts of climate change on Hawai'i, and legal strategies to address them. Panelists will speak about the new wave of climate liability lawsuits brought by state and local governments in the U.S. as an effective tool to shift the economic burden of climate change from taxpayers to polluters.

WHERE: Room 325, State Capitol Building

WHEN: Friday, May 3, 2019 11:30 am - 4:30 pm

WHO:

Speakers: Sen. Mazie Hirono (by video), Sen. Brian Schatz, Rep. Nicole Lowen

Moderators: Associate Dean Denise Antolini, Profs. Maxine Burkett, David Forman, Richard Wallsgrave (University of Hawai'i)

Panelists:

- Alyssa Johl (Center for Climate Integrity)
- Profs. Chip Fletcher, Kapua Sproat, Makena Coffman (University of Hawai'i)
- Prof. Ann Carlson (University of California Los Angeles School of Law)
- Vic Sher, attorney (Sher Edling)
- Marti Townsend (Sierra Club of Hawai'i)

--

**Todd Shepherd**

Staff writer, Washington Free Beacon

@shepherdreports

(202)-465-8622 office

(405)-274-2800 cell and Signal

<http://freebeacon.com/author/todd-shepherd/>

On Tue, May 21, 2019 at 8:58 AM Emily Gaskin <[egaskin@hawaii.edu](mailto:egaskin@hawaii.edu)> wrote:

Dear Speakers,

Thank you again for your participation in the Climate Change Science & Litigation event held at the Hawai'i State Capitol on Friday, May 3, 2019!

We have uploaded the files that we have received to the Blog maintained by the Environmental Law Program at the William S. Richardson School of Law. You can access the materials here: <http://blog.hawaii.edu/elp/elp-conferences-symposia/climate-change-science-and-litigation-communities-go-to-court-to-recover-costs-of-the-climate-crisis/>

Please let us know if you would like us to make any changes or add any additional materials. Please note that the functionality is somewhat limited (being a blog and not a website) but we will do what we can to accommodate all requests.

We will be posting the Video from Senator Hirono as soon as we receive permission from her office. We also hope to finalize and publish the video of the entire event.

Please let me know if you have any questions.

Regards,

Emily

--

Emily Gaskin

Lecturer of Law

William S. Richardson School of Law

(808) 321-0618



**From:** [David Forman](#)  
**To:** [Chip Fletcher](#)  
**Cc:** [Vic Sher](#); [Emily Gaskin](#); [Marti Townsend](#); [Nancy Cole](#); [Carlson, Ann](#); [Richard Wallsgrove](#); [Alyssa Johl](#); [Makena Coffman](#); [Kapua Sproat](#); [Maxine Burkett](#); [Denise Antolini](#)  
**Subject:** Correction re: Greetings from Osaka, Japan!  
**Date:** Tuesday, June 25, 2019 9:40:24 PM

---

Whoops, something got mixed up there -- here is the correct link:

<https://www.youtube.com/watch?v=mWNMB2uBnIw&list=PLmfBi4Fp7hgvMybZx9Y26tRLSHozzOxGm&index=17>

David M. Forman  
Director, Environmental Law Program  
Faculty Specialist, Ka Huli Ao Center for Excellence in Native Hawaiian Law  
University of Hawai'i at Mānoa  
William S. Richardson School of Law  
Phone: (808) 956-5298  
Email: [dmforman@hawaii.edu](mailto:dmforman@hawaii.edu)

On Tue, Jun 25, 2019 at 4:09 PM David Forman <[dmforman@hawaii.edu](mailto:dmforman@hawaii.edu)> wrote:

Thought you all might enjoy this inspiring (short) video recording by (the great) Greta Thunberg, first shown upon the occasion of her receipt of the Freedom Prize during the Second World Peace Forum in Caen, Normandy (June 2019).

[https://www.youtube.com/watch?v=wZrj2Z\\_n7LU&list=PLmfBi4Fp7hgvMybZx9Y26tRLSHozzOxGm&index=18](https://www.youtube.com/watch?v=wZrj2Z_n7LU&list=PLmfBi4Fp7hgvMybZx9Y26tRLSHozzOxGm&index=18)

David M. Forman  
Director, Environmental Law Program  
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**From:** [David Forman](#)  
**To:** [Chip Fletcher](#)  
**Cc:** [Vic Sher](#); [Emily Gaskin](#); [Marti Townsend](#); [Nancy Cole](#); [Carlson, Ann](#); [Richard Wallsgrove](#); [Alyssa Johl](#); [Makena Coffman](#); [Kapua Sproat](#); [Maxine Burkett](#); [Denise Antolini](#)  
**Subject:** Greetings from Osaka, Japan!  
**Date:** Tuesday, June 25, 2019 7:09:40 PM

---

Thought you all might enjoy this inspiring (short) video recording by (the great) Greta Thunberg, first shown upon the occasion of her receipt of the Freedom Prize during the Second World Peace Forum in Caen, Normandy (June 2019).

[https://www.youtube.com/watch?v=wZrj2Z\\_n7LU&list=PLmfBi4Fp7hgvMybZx9Y26tRLSHozzOxGm&index=18](https://www.youtube.com/watch?v=wZrj2Z_n7LU&list=PLmfBi4Fp7hgvMybZx9Y26tRLSHozzOxGm&index=18)

David M. Forman  
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William S. Richardson School of Law  
Phone: (808) 956-5298  
Email: [dmforman@hawaii.edu](mailto:dmforman@hawaii.edu)

**From:** [Carlson, Ann](#)  
**To:** [Nancy Cole](#); [Alyssa Johl](#)  
**Subject:** receipts for Hawaii  
**Date:** Friday, May 24, 2019 4:07:44 PM  
**Attachments:** [map\\_4c11af30-5214-4449-9807-171c03f16020\\_wide.png](#)  
[map\\_4c11af30-5214-4449-9807-171c03f16020.png](#)

---

Sorry to be so slow -- I've been traveling still more and just back for a longer stretch. I'm forwarding various receipts. This one is for the uber ride from my hotel to our meeting on Sat. I'll compile those I can easily and send the others separately.

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

---

**From:** "Uber Receipts" <[uber.us@uber.com](mailto:uber.us@uber.com)>  
**Date:** May 4, 2019 at 12:28:42 PM HST  
**To:** <[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)>  
**Subject:** [Personal] Your Saturday afternoon trip with Uber



Total: \$12.24  
Sat, May 04, 2019

# Thanks for riding, Ann

We hope you enjoyed your ride this afternoon.



Total

\$12.24

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Trip Fare \$9.84

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Subtotal \$9.84

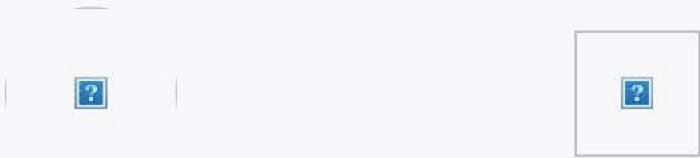
Tolls, Surcharges, and Fees  \$2.40

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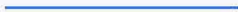
Amount Charged

 [carlson@law.ucla.edu](mailto:carlson@law.ucla.edu) [Switch](#) \$12.24

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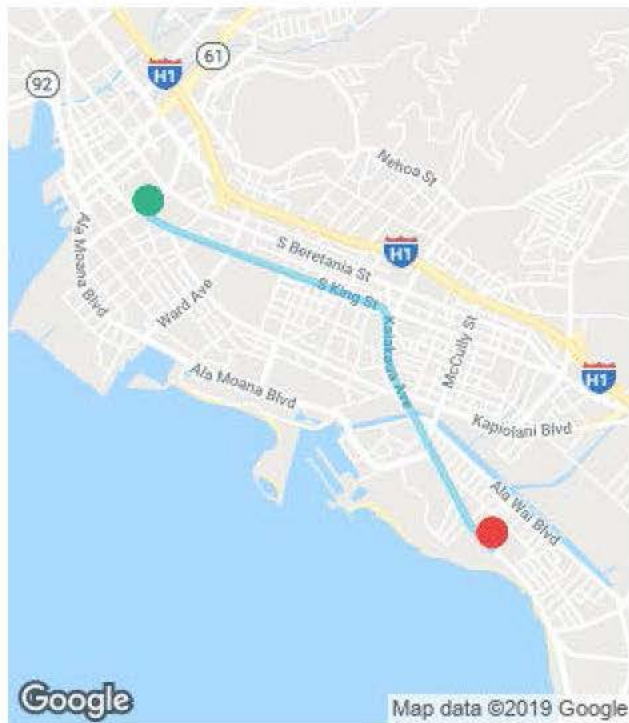


12:14pm

520 S King St, Honolulu, HI

12:28pm

Honolulu, HI



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REPORT LOST ITEM

CONTACT SUPPORT

MY TRIPS



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[Privacy](#)

[Terms](#)

**From:** Carlson, Ann  
**To:** [Nancy Cole](#)  
**Cc:** [alyssa@climateintegrity.org](mailto:alyssa@climateintegrity.org)  
**Subject:** Re: checking in on expenses  
**Date:** Thursday, May 23, 2019 10:08:44 AM

---

yes

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

---

**From:** Nancy Cole <NCole@ucsusa.org>  
**Sent:** Wednesday, May 22, 2019 2:23:54 PM  
**To:** Carlson, Ann  
**Cc:** [alyssa@climateintegrity.org](mailto:alyssa@climateintegrity.org)  
**Subject:** checking in on expenses

Hi, Ann. Hope you are well. Has your sabbatical started yet?

Just a quick note to check in with you on Honolulu expenses to find out when we might see your reimbursement request?

As I think you know, CCI and UCS are helping to cover some of the Honolulu expenses.

Would you please send your hotel receipt to me (for UCS to cover) and your flight and airport travel receipts to Alyssa (for CCI to cover)? Both of our organizations need documentation of your expenses.

Many thanks. And once again, how lovely to actually meet you in person.

Nancy



**From:** [Carlson Ann](#)  
**To:** [Horowitz Cara](#)  
**Subject:** Re: Our Year in Review  
**Date:** Friday, October 12, 2018 9:15:53 AM

---

On Oct 12, 2018, at 9:07 AM, Horowitz, Cara <[HOROWITZ@law.ucla.edu](mailto:HOROWITZ@law.ucla.edu)> wrote:

Yes, I'm so sorry. We reviewed this a million times and I can't believe that error got through. Alex Wang pointed it out almost as soon as our email was sent, so he gets points for eagle eyes. (I told him that next year we'll rope him into reviewing our draft!)

We updated it and have a corrected version that we sent to our Board. The corrected version will go to the enviro profs listserv. We can get you that URL if you want to share or forward the corrected version.

Again, my mortified apologies.

On Oct 12, 2018, at 8:58 AM, Boyd, William <[BOYD@law.ucla.edu](mailto:BOYD@law.ucla.edu)> wrote:

Just fyi – my name is not on the list of faculty – notwithstanding all the nice things you say about me throughout!! Not a big deal at all to me – I only mention it in case you are sending print/electronic copies to others in future and want to add.

---

**From:** UCLA Law Emmett Institute <[envirolaw@law.ucla.edu](mailto:envirolaw@law.ucla.edu)>  
**Reply-To:** UCLA Emmett Institute <[Emmett@law.ucla.edu](mailto:Emmett@law.ucla.edu)>  
**Date:** Thursday, October 11, 2018 at 10:50 AM  
**To:** "Boyd, William" <[BOYD@law.ucla.edu](mailto:BOYD@law.ucla.edu)>  
**Subject:** Our Year in Review



**Ralph '53 and Shirley Shapiro donated \$1 million to the Emmett Institute this year.** Half of that amount, dedicated to fund Emmett Institute work, will be matched by the Emmett Foundation as part of Dan and Rae Emmett's matching challenge. The other \$500,000 will go to the Ann Carlson Discretionary Fund, also in support of the Emmett Institute. The Emmett Foundation has generously agreed to match the Shapiros' gift to support the Carlson Fund, meaning the overall value of the gift is \$2 million.



Image removed by sender.

We are marking a few important milestones this year: 10 years of the Emmett Institute on Climate Change and the Environment, 10 years of our blog [Legal Planet](#), and 25 years of our Frank G. Wells Environmental Law Clinic. More importantly, we are better positioned than ever to serve students, the profession, and our community through rigorous analysis and training. We launched a new [specialization in environmental law](#) this year, added [outstanding faculty](#), and continue to engage with lawmakers to safeguard public health and the environment. We hope you enjoy this roundup of our work.

— **Sean Hecht** and **Cara Horowitz**, co-executive directors, Emmett Institute

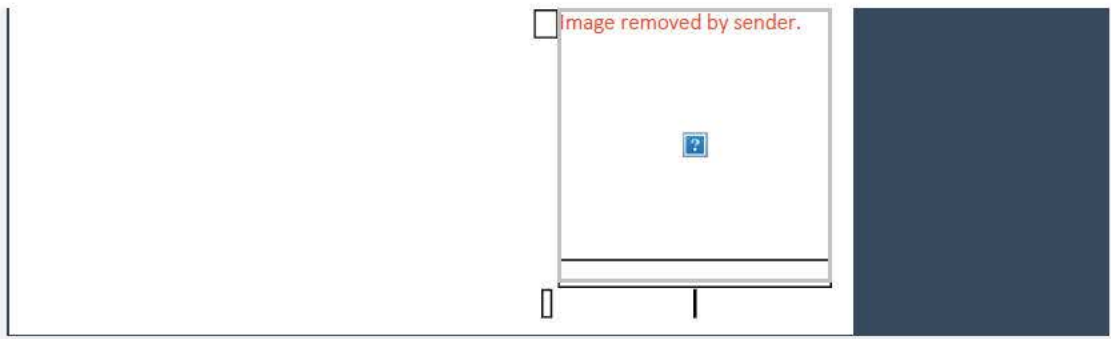
## NEW RESOURCES TO DELIVER ON OUR MISSION

*With a major gift and matching challenge from the Emmett Foundation announced this spring, the Emmett Institute is bolstering its work on teaching, research, and advancing climate and environment solutions.*

**In May 2018, UCLA Law [announced](#) a \$4.3 million gift and major matching opportunity from the Emmett Foundation to expand the Emmett Institute's cutting-edge policy, research, and advocacy work, as well as its support for students and graduates.**

"The Emmett Institute is addressing our unprecedented environmental challenges at a time when the federal government is retreating from its role as a responsible steward," **Dan Emmett** said. "Our goal is to see the institute continue to develop innovative solutions and produce advocates dedicated to advancing responsible environmental policy."

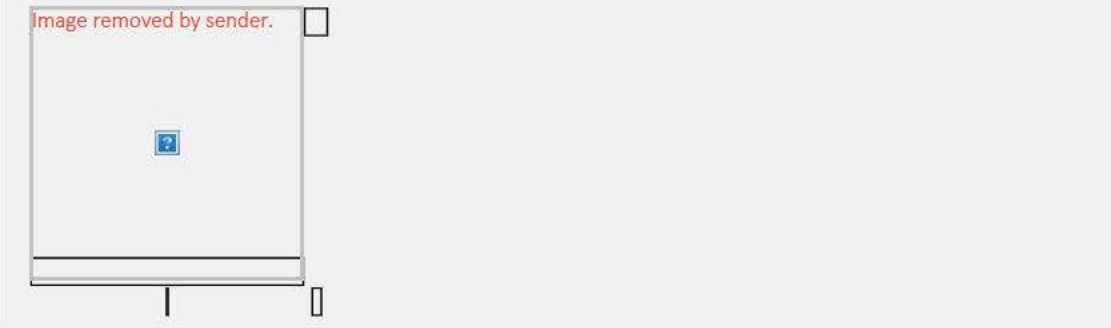




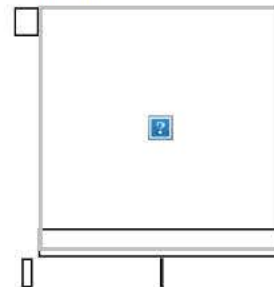
**William Boyd, one of the nation's leading scholars of energy and environmental law, [joins the UCLA faculty](#) as both a member of the Emmett Institute and at the Institute of the Environment and Sustainability.**

Boyd has written pathbreaking articles on public utilities; the history and role of risk regulation and analysis in American health, safety, and environmental law; the emergence of forestry resources in international climate policy; and the role of science and technology in environmental law. Two of his articles have been selected as among the top five environmental law articles for the year in which they were published. Boyd's book, [The Slain Wood: Papermaking and its Environmental Consequences in the American South](#), received the 2016 Edelstein Prize from the Society for the History of Technology.

Boyd also serves as project lead for the [Governor's Climate and Forests Task Force](#), a consortium of 38 subnational jurisdictions around the world to reduce greenhouse gas emissions from deforestation and land use. Boyd is teaching courses in energy and environmental law and policy for both law and undergraduate students at UCLA. Previously, Boyd was a professor at the University of Colorado School of Law.

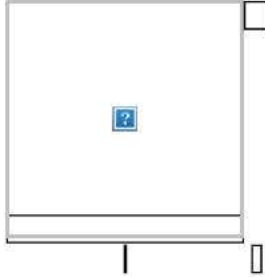


**UCLA School of Law [offers](#) new specialization in environmental law.** The specialization rewards students with deep interest in environmental law, provides a structure for enhanced individual counseling of students, and helps graduates join the many UCLA Law alumni who have gone on to leadership roles in the profession. Students who fulfill [the requirements](#) receive notations on their diplomas and transcripts.





**Julia Stein joins UCLA Law as clinical supervising attorney of the [Frank G. Wells Environmental Law Clinic](#) and project director for the Emmett Institute.** Stein co-teaches our clinic and augments our advocacy efforts through litigation, policy work and legislative advocacy. A graduate of Georgetown University Law Center, Stein has nearly a decade of experience in private practice, serves on the executive committee for the Environmental Section of the California Lawyers Association and is editor-in-chief of the Section's publication, *Environmental Law News*.



The Emmett Institute now has 15 environmental law and policy experts working on scholarship, policy analysis, and student training.

#### OUR FACULTY:

- <!--[if !supportLists]--> <!--[endif]-->**Ann E. Carlson**, *Shirley Shapiro Professor of Environmental Law; Faculty Co-Director, Emmett Institute*
- <!--[if !supportLists]--> <!--[endif]-->**Timothy Malloy**, *Professor of Law; Faculty Director, UCLA Sustainable Technology and Policy Program*
- <!--[if !supportLists]--> <!--[endif]-->**Edward A. Parson**, *Dan and Rae Emmett Professor of Environmental Law; Faculty Co-Director, Emmett Institute*
- <!--[if !supportLists]--> <!--[endif]-->**James Salzman**, *Donald Bren Distinguished Professor of Environmental Law*
- <!--[if !supportLists]--> <!--[endif]-->**Alex Wang**, *Professor of Law*
- <!--[if !supportLists]--> <!--[endif]-->**Jonathan M. Zasloff**, *Professor of Law*
- <!--[if !supportLists]--> <!--[endif]-->**Sean B. Hecht**, *Co-Executive Director, Emmett Institute; Evan Frankel Professor of Policy and Practice*
- <!--[if !supportLists]--> <!--[endif]-->**Cara Horowitz**, *Andrew Sabin Family Foundation Co-Executive Director, Emmett Institute*
- <!--[if !supportLists]--> <!--[endif]-->**Julia Stein**, *Clinical Supervising Attorney and Project Manager*

#### OUR FELLOWS AND STAFF:

- <!--[if !supportLists]--> <!--[endif]-->**Nicholas Bryner**, *Emmett/Frankel Fellow in Environmental Law and Policy, 2016-2018* \*in summer 2018, Bryner [joined](#) the faculty of LSU Law
- <!--[if !supportLists]--> <!--[endif]-->**Meredith Hankins**, *Shapiro Fellow in Environmental Law and Policy, 2017-2019*
- <!--[if !supportLists]--> <!--[endif]-->**Harjot Kaur**, *Emmett/Frankel Fellow in Environmental Law and Policy, 2018-2020*
- <!--[if !supportLists]--> <!--[endif]-->**Garrett Lenahan**, *Sustainability Law and Policy Fellow, 2018 -2019*
- <!--[if !supportLists]--> <!--[endif]-->**Nathaniel Logar**, *Emmett/Frankel Fellow in Environmental Law and Policy, 2017-2019*
- <!--[if !supportLists]--> <!--[endif]-->**Daniel Melling**, *Communications and Events Manager*
- <!--[if !supportLists]--> <!--[endif]-->**Jesse Reynolds**, *Emmett/Frankel Fellow in Environmental Law and Policy, 2018-2020*

**OFFERING IDEAS TO GUIDE CALIFORNIA'S**

## CUTTING-EDGE CLIMATE POLICIES

*In the last year, Emmett faculty made contributions to our home state's environmental policies, including work on cap-and-trade, governance of climate engineering, and increasing use of low-carbon fuels.*

In March 2018, Ann Carlson was [appointed](#) by the California Assembly to the Independent Emissions Market Advisory Committee. Ann recently [testified](#) before California's Joint Legislative Committee on Climate Change Policies on how the state government should respond to a potential problem of overallocation of credits in its cap-and-trade program.

Working with Louise Bedworth, deputy director of Governor Brown's Office of Planning and Research, Ted Parson hosted a workshop at UCLA on governance of [climate engineering research](#) in California. More than 30 participants from state government, academia, non-profits, and the private sector joined the discussion, to chart a practical path forward on governance for proposed studies on solar geoengineering.

In a June 2018 [Pritzker Brief](#), Emmett Institute researchers offered guidance for California policymakers to address challenges for the state's Low Carbon Fuel Standard, an innovative policy that has generated large expansions of alternative fuel supply and significant reductions in overall carbon intensity in California's fuel markets.



## TRAINING THE NEXT GENERATION OF ENVIRONMENTAL LEADERS

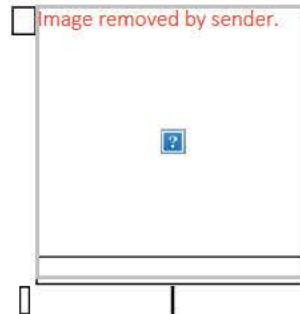
*At the core of the Emmett Institute's mission is our work to prepare students for leadership in environmental law. This year we've added teaching capacity with William Boyd and Julia Stein. Our new environmental law specialization gives students recognition for their academic work. Outside the classroom, students are volunteering at non-profits, organizing talks, designing business models, and taking on externships that build skills and experience.*

In the [Frank G. Wells Environmental Law Clinic](#) this past academic year, Sean Hecht and Meredith Hankins led 12 students on multiple projects, including a high-profile matter involving liability of fossil fuel companies for climate change-related harms, a U.S. Supreme Court amicus brief on behalf of prominent scientists in an important Endangered Species Act case, and a comment letter to the Department of Interior, on behalf of Oceana and other advocacy groups, opposing federal plans to increase offshore oil drilling. This year marks the 25<sup>th</sup> year that UCLA Law has offered this flagship course in our environmental law curriculum.



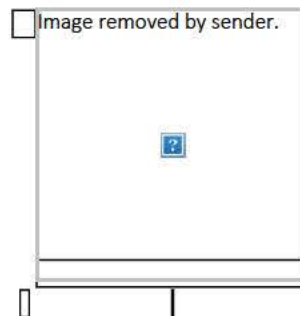
**Environmental law students earned scholarships and awards for campus leadership, public service, and entrepreneurship:**

- **In spring 2018, UCLA Law received a gift to establish the David Hayes Award**, named for the prominent environmental lawyer and former deputy secretary of the Department of the Interior. Five students were awarded the scholarship this year for showing promise to contribute to the field of environmental law.
- **The Environmental Law Society was honored as Student Organization of the Year** at UCLA Law's 2018 Student Leadership Breakfast with recognition for its emphasis on social justice, diversity, engagement, and partnership with other organizations at UCLA Law.
- **Emmett Institute students earned awards at a UCLA Law public service awards ceremony in April:** Emmett scholarship recipient Stephano Medina '20 won a student award and Beth Kent '18, won a student award for her work as president of the Environmental Law Society.
- **Mac Kennedy '18, and Mary Vu '18, won first place in the Lowell Milken Institute-Sandler Prize for New Entrepreneurs** for a device that reduces the harmful environmental impact of bus emissions. With a total of \$100,000 at stake, the LMI-Sandler Prize is the largest entrepreneurship competition at any U.S. law school.



**In the 2017-18 academic year, the Environmental Law Society hosted three speakers to discuss environmental justice in practice:**

- **Oscar Espino-Padron '11**, associate attorney, Earthjustice
- **Ramya Sivasubramanian**, staff attorney, Natural Resources Defense Council
- **Geneva Thompson '16 (pictured)**, staff attorney, Wishtoyo Foundation



**The Emmett Institute supported student summer internships in 2018:**

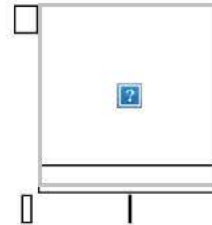
- **Mindy Jian '20** at LA Waterkeeper
- **Rose Rushing '19** at Center on Race, Poverty and the Environment

<!--[if !supportLists]--> <!--[endif]-->**Tyler Shegerian '20** at Environmental Defense Fund  
<!--[if !supportLists]--> <!--[endif]-->**Sunjana Superkar '19** at Earthjustice



### Selected recent alumni have kicked off careers in environmental law:

<!--[if !supportLists]--> <!--[endif]-->**Angus Beverly '17**, Sohagi Law Group  
<!--[if !supportLists]--> <!--[endif]-->**Shannon Clark '17**, California Attorney General's Honors Program  
<!--[if !supportLists]--> <!--[endif]-->**Danika Desai '18**, Oceana  
<!--[if !supportLists]--> <!--[endif]-->**Anna Fero '17**, Paul Hastings  
<!--[if !supportLists]--> <!--[endif]-->**Amy Foo '18**, Surfrider Foundation  
<!--[if !supportLists]--> <!--[endif]-->**Rica Garcia '17**, Shute Mihaly & Weinberger  
<!--[if !supportLists]--> <!--[endif]-->**Beth Kent '18**, L.A. Neighborhood Land Trust  
<!--[if !supportLists]--> <!--[endif]-->**Hallie Kutak '18**, California Attorney General's Honors Program  
<!--[if !supportLists]--> <!--[endif]-->**Garrett Lenahan '17**, Sustainability Law and Policy Fellow, Emmett Institute  
<!--[if !supportLists]--> <!--[endif]-->**Lani Maher '17**, Sonoma County CROWN Fellow  
<!--[if !supportLists]--> <!--[endif]-->**Julia Nick '17**, California State Water Resources Control Board



## DEFENDING FEDERAL ENVIRONMENTAL SAFEGUARDS

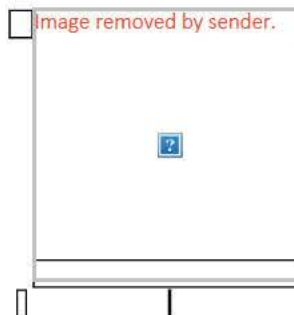
*The Trump administration has attempted to curtail federal regulations protecting public health and the environment, with new proposals for rolling back rules on pollution from vehicles, power plants, oil and gas production, federal lands, and more. Emmett Institute faculty are opposing these regulatory retreats and are explaining their far-reaching implications.*

### Emmett Institute faculty explained the legal and policy flaws in EPA's proposal to weaken vehicle emission standards.

<!--[if !supportLists]--> <!--[endif]-->**Ann Carlson** blogged on the [proposal's legal weaknesses](#) and [told NPR, The New York Times](#) and other outlets that California is prepared to push back.  
<!--[if !supportLists]--> <!--[endif]-->**Carlson, Cara Horowitz, Sean Hecht and Meredith Hankins** submitted a [comment letter](#) supporting action by California Air Resources Board to maintain the current stringency of California's vehicle emission program in the face of attempted weakening at the federal level.  
<!--[if !supportLists]--> <!--[endif]-->In an op-ed for [The Washington Post](#), **Ann Carlson** and **Cara Horowitz** called EPA's proposal to weaken federal vehicle efficiency standards Trump's "biggest assault yet on the environment."  
<!--[if !supportLists]--> <!--[endif]-->**Meredith Hankins** joined an EPA/NHTSA hearing in



Fresno, CA in September to deliver [testimony](#) pointing out legal weaknesses in the administration's proposal.



On behalf of 68 environmental and administrative law professors, Julia Stein and Sean Hecht filed a [comment letter](#) urging EPA to withdraw the misleadingly-named "Strengthening Transparency in Science" proposed rule. Read Stein's [Legal Planet blog post](#).

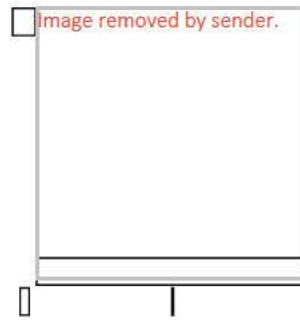


Emmett Institute faculty responded to the Trump administration's proposed replacement for the Clean Power Plan, which regulates greenhouse gas emissions from existing power plants.

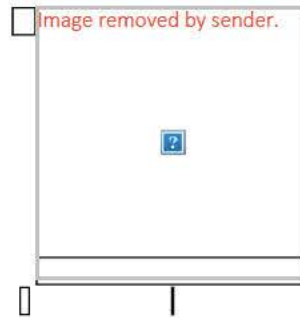
- Emmett faculty submitted a [comment letter](#) to EPA with and on behalf of five electric grid experts. The authors argue that the Clean Power Plan harnesses the unique features of the electric grid and is consistent with the twin aims of the grid: power reliability and affordability for all consumers.
- Ann Carlson called out the contradictions between EPA's arguments justifying its vehicles and power plant proposals in [E&E News](#): "There is a deep hypocrisy in providing states with significant power to decide whether to regulate greenhouse gases in the context of power plants while eliminating the right of states to do so for automobiles [...] the motivation is consistent - to limit the regulation of carbon pollution."
- Meredith Hankins [blogged](#) and spoke to reporters on changes to New Source Review in EPA's proposal that would let the country's most-polluting power plants live longer and avoid upgrades that would protect public health. Hankins informed coverage in [The New York Times](#), [The New Republic](#), [Vox](#), [Utility Dive](#) and others.
- Sean Hecht [wrote](#) in Legal Planet about how EPA's own analysis of its power plant proposal predicts harmful health impacts. *The Washington Post* editorial board [cited](#) Hecht's post in its editorial on how the plan would result in 1,400 premature deaths of Americans every year by 2030.



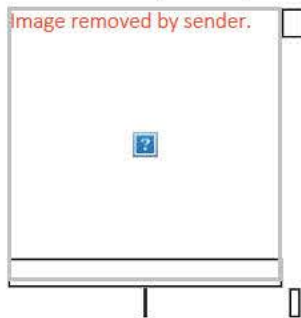




In April 2018, Sean Hecht joined a group of environmental law professors in a [comment letter](#) noting major legal problems with the Bureau of Land Management's proposal to revoke a rule restricting methane emissions from oil and gas development on federal lands. After Interior finalized the rule, Julia Stein wrote in [Legal Planet](#) about how the new regulation prioritizes industry interests over environmental protection.



Sean Hecht and Nick Bryner responded to President Trump's proclamations in December 2017 downsizing Bears Ears National Monument and Grand Staircase-Escalante National Monument, writing in [The Conversation](#) that "the president's action is illegal and will likely be overturned in court." Bryner also penned [Legal Planet](#) posts to [preview](#) and [analyze](#) the proclamations.



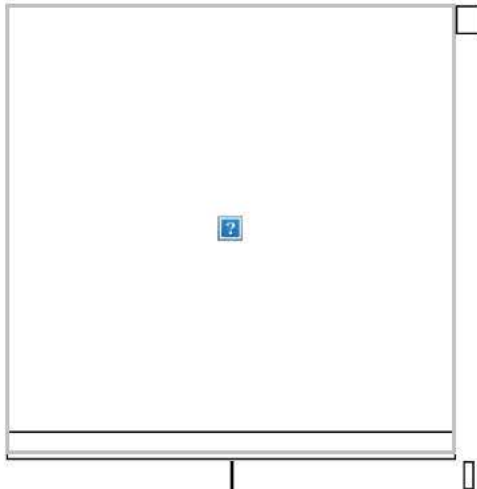
## ADDRESSING NATIONAL CLIMATE AND ENVIRONMENTAL LAW ISSUES

*Several important national issues have garnered engagement from Emmett Institute faculty this year, including a spate of lawsuits by cities and counties against oil companies for climate damages, a Supreme Court case on the Endangered Species Act, and lessons for the future of U.S. energy policy.*

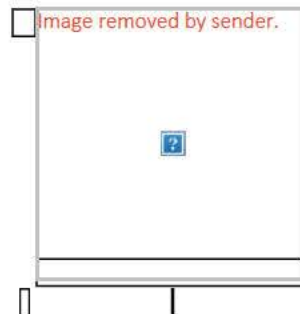
**Emmett Institute faculty have closely followed developments in new lawsuits in which cities and counties across the country have sued oil companies for climate damages.**

At [an event](#) hosted by the Emmett Institute and Union of Concerned Scientists in January at UCLA Fowler Museum, more than 150 participants heard perspectives on the lawsuits from elected officials **Assemblywoman Cristina Garcia**, CA 58th Assembly District, and **Mayor Serge Dedina** of Imperial Beach, CA; author and environmentalist **Bill McKibben**; and a panel featuring experts from California Environmental Justice Alliance, UCLA and UCS. UCS president **Ken Kimmell '87** provided closing remarks.

Emmett Institute experts have provided insights on the climate liability cases in [Vox](#), [Public Radio International](#), [InsideClimate News](#), and more. In a [San Francisco Chronicle op-ed](#), **Ann Carlson** and **Peter Frumhoff** argued there's new evidence to support the cities' liability claims.



**Our environmental law clinic filed an [amicus brief](#) in the U.S. Supreme Court on behalf of a group of world-class scientists including E.O. Wilson, Stuart Pimm, and UCLA's Brad Shaffer. Sean Hecht** authored the brief with significant assistance from two clinic students, **Jen Garlock '19** and **Heejin Hwang '19**. In the brief, Hecht and the clinic students argue the Court should not gut the habitat protections of the Endangered Species Act. On October 1, Hecht attended oral arguments and spoke on [a post-argument panel at Georgetown Law](#).



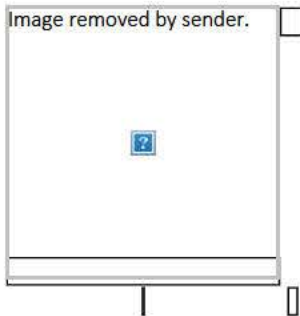
**James Salzman** addressed our relationship and consumption of water in a second edition of his book, [Drinking Water: A History](#) and several speaking events this year. Salzman delivered the [William O. Douglas Lecture](#) at Whitman College and the [Goldring Family Lecture](#) at the University of Michigan, in addition to the keynote address at the Colorado Water Congress.



**In coordination with the American Academy of Arts and Sciences, Ann Carlson and Dallas Burtraw of Resources for the Future convened a workshop at UCLA Law to address lessons from the Clean Air Act for the future of U.S. energy policy.** More than 30 participants from government, academia, the private sector, and NGOs held a robust conversation about next steps in energy policy. **Mary Nichols (pictured)**, chair of California Air Resources Board and Emmett Institute board member, provided a keynote address and **William Boyd** also presented. Carlson serves on an AAAS panel studying the future of America's energy systems and with Burtraw is co-authoring a book, [\*Lessons from the Clean Air Act\*](#), including a chapter from Boyd.



**Fifteen environmental law professors from around the country joined the Early Stages Environmental Law Workshop in Santa Barbara this August.** The workshop has been hosted at the University of Colorado for the last decade. This year **James Salzman** hosted the meeting at the Bren School of the Environment at UC Santa Barbara, with junior and senior scholars presenting early works in progress.

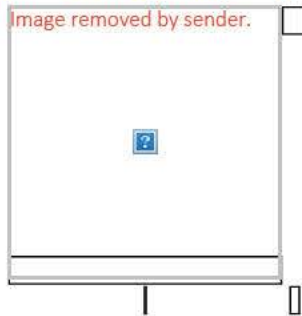


## LEADING THE WAY TO CARBON NEUTRALITY AT THE UNIVERSITY OF CALIFORNIA

The University of California faces substantial challenges to reach its ambitious target to reach net zero carbon emissions from the system's 10 campuses by 2025. With new research, committee work and public events, Emmett faculty and fellows have been among the leaders of this initiative.



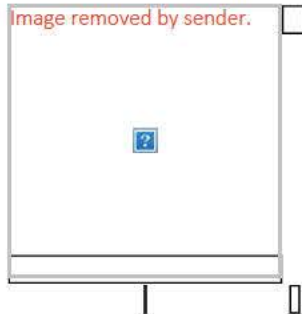
In 2016, UC President Napolitano asked Ann Carlson to chair a new task force, the **Carbon Neutrality Finance and Management Task Force**, to examine and make recommendations on strategies to hit the system's carbon neutrality target. The task force issued a [report](#) in August 2017.



In an April 2018 [Pritzker Brief](#), Julia Forgie and Ann Carlson argue that for UC to achieve its climate goal, campus leaders will need to focus on organizational behavior, communications strategy and operations management.



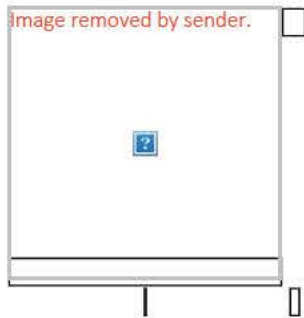
In September at UC Berkeley, Ann Carlson welcomed more than 80 guests for [an affiliate event](#) of the Global Climate Action Summit to discuss how the UC system and other large organizations' are working towards their carbon neutrality targets. Berkeley Chancellor **Carol Christ** gave opening remarks and panel speakers included **Carlson** and representatives from Kaiser Permanente, Microsoft, UC Berkeley, UC Global Climate Leadership Council, and UC Office of the President.



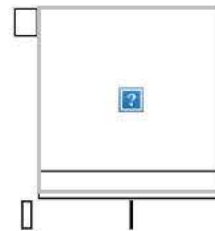
## SUPPORTING ACTION ON LOS ANGELES CLIMATE AND AIR POLLUTION GOALS

*Los Angeles faces significant challenges to achieve long-term sustainability in water, energy, and biodiversity. Emmett Institute faculty are collaborating with local officials, community advocates, and businesses to help chart a path for LA to reach its climate and environment goals.*

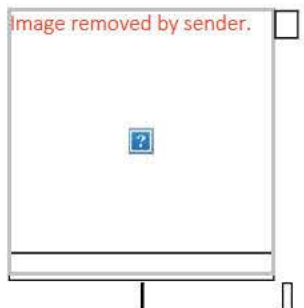
**A [UCLA Law/Berkeley Law conference](#) in June 2018 addressed questions around zero-emission freight at Southern California's ports. More than 150 participants joined the event to hear from keynote speaker **Mary Nichols** and representatives from government, industry and community advocacy groups. Read [a report](#) from **Ethan Elkind** and **Nat Logar**.**



**In September, the Emmett Institute hosted [an event](#) on sustainability in Los Angeles and other global megacities as part of the Global Climate Action Summit in San Francisco. More than 50 guests gathered at the offices of Paul Hastings for the discussion. **State Sen. Ben Allen**, whose 26th Senate district includes Westwood, Santa Monica and the South Bay, provided opening remarks and joined a panel moderated by **Cara Horowitz** with experts from Los Angeles Department of Water and Power, the University of Canberra, and UCLA. **Anna Fero '17** arranged the venue.**



**Emmett Institute faculty are on a team of researchers assisting Los Angeles County with its first [sustainability plan](#). **Nat Logar**, **Harjot Kaur**, and **Sean Hecht** are contributing research to this initiative, which will deliver a final plan in 2019 to address water and energy use, equity, transportation, housing, biodiversity and more.**

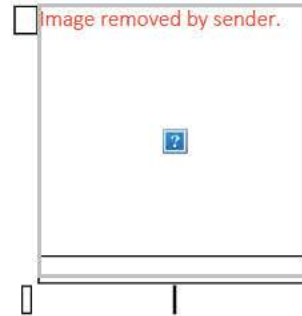


## ENGAGING ON ISSUES IN INTERNATIONAL LAW

*The latest IPCC report has renewed attention and a sense of urgency this year for advancing climate and environment solutions in all jurisdictions. This year, Emmett Institute faculty studied and engaged on international environmental issues including climate engineering*

*governance, water governance, land management, multilateral agreements, Chinese environmental policy, and more.*

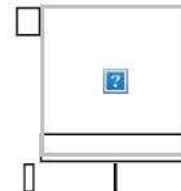
**In September, William Boyd organized a two-day meeting of the [Governors' Climate and Forests Task Force \(GCF\)](#), a collaboration of 38 states and provinces from several countries seeking to protect tropical forests.** During the meeting in San Francisco, GCF members representing millions of indigenous peoples across Peru, Colombia, Ecuador, Indonesia, and Brazil voted in unanimous approval of [principles](#) recognizing the role of indigenous peoples and local communities in conserving forests and managing land to meet climate goals. Boyd is project lead for the task force.



**In May 2017, the Emmett Institute announced [a new project](#) to study the governance of climate engineering technologies. Ted Parson is directing the three-year project, funded by a grant from the Open Philanthropy Project, with research into law and policy issues around climate engineering,** including risks posed by small-scale research, interactions between climate engineering and other parts of climate policy, and capabilities needed for peaceful, competent, and prudent control of future proposals for climate engineering deployment. This summer, **Jesse Reynolds** [joined us](#) as an Emmett/Frankel Fellow to help address these issues. Reynolds was previously an assistant professor at the Utrecht Center for Water, Oceans and Sustainability Law, Utrecht University and a lecturer at Tilburg University, both in The Netherlands.



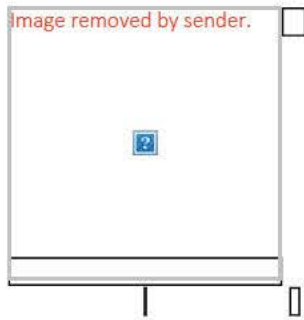
**Cara Horowitz and UCLA Law students traveled to Bonn, Germany, in December 2017 for the 23rd UN Framework Convention on Climate Change (UNFCCC) Conference of the Parties.** At the COP, **Sunjana Supekar '19**, **Eric Sezgen '19**, and **Alexandra Gay '19** worked with [Islands First](#), an organization dedicated to advancing the interests of small island developing states in climate negotiations.



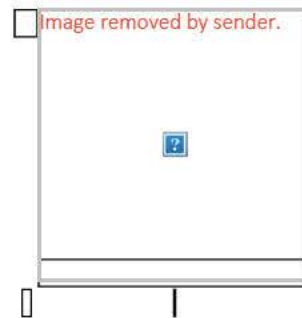
**Alex Wang published new analysis this spring assessing China's environmental laws.** In [Ecology Law Quarterly](#), Wang explains why the Chinese government has opened data on pollution to the public and what this means for officials on the ground. In [Environmental Law](#), Wang argues that China's reform process - including environmental reforms - influence public views of state legitimacy. In February, Wang [spoke](#) about China's pollution controls at the Center for Strategic and International Studies in Washington, D.C.



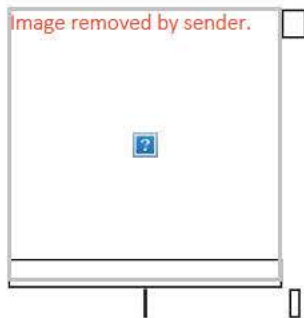




A March 2018 [paper in \*Nature Sustainability\*](#) from James Salzman is the first global survey of payments for ecosystem services programs, in which people pay landholders to support natural systems that provide benefits like flood protection, biodiversity and carbon storage. With co-authors from Ecosystem Marketplace, Salzman [finds](#) more than 550 payments for ecosystem services programs active worldwide with more than \$36 billion in annual transactions.



Ann Carlson and Alex Wang, fellows Harjot Kaur and Garrett Lenahan, and Alexandra Gay '19 [participated](#) in the Global Climate Action Summit in San Francisco this September, joining more than 4,000 delegates for plenary sessions. Ann Carlson appeared on stage representing the University of California as part of the We Are Still In coalition. Wang engaged with delegates from China at the China Pavilion, co-sponsored by China's Ministry of Ecology & Environmental Protection.



## FACULTY PUBLICATIONS

*In the last academic year, Emmett Institute faculty have published new research in leading law and science journals, reports in our Pritzker Environmental Law and Policy Brief series, commentary in major media publications, and more.*

**William Boyd:**

William Boyd, *The Clean Air Act's National Ambient Air Quality Standards: A Case Study of Durability and Flexibility in Program Design and Implementation*, in [The Future of U.S. Energy Policy: Lessons From the Clean Air Act](#) (Ann Carlson & Dallas Burtraw ed., forthcoming Apr. 2019).

William Boyd, *Water is for Fighting Over: Papermaking and the Struggle Over Groundwater in Coastal Georgia, 1930s-2000s*, in [Coastal Nature, Coastal Culture: Environmental Histories of the Georgia Coast](#) 243 (Pressly and Sutter, Univ. of Georgia Press ed., 2018).

William Boyd et al., [Jurisdictional Approaches to REDD+ and Low Emissions Development: Progress and Prospects](#) (World Resources Institute, Working Paper, 2018).

William Boyd, [Just Price, Public Utility, and the Long History of Economic Regulation in America](#), 35 Yale J. on Reg. 721 (2018).

**Ann Carlson:**

Ann Carlson & Dallas Burtraw, [The Future of U.S. Energy Policy: Lessons From the Clean Air Act](#) (forthcoming Apr. 2019).

Ann E. Carlson, [The Clean Air Act's Blind Spot: Microclimates and Hotspot Pollution](#), 65 UCLA L. Rev. 1036 (2018).

Julia Forgie & Ann Carlson, [Overcoming Organizational Barriers to Carbon Neutrality: Lessons from the UC Experience](#), Pritzker Brief No. 9, The Emmett Institute on Climate Change and the Environment (Apr. 2018).

**Timothy Malloy:**

Benjamin D. Trump, Danail Hristozov, Timothy Malloy & Igor Linkov, [Risk Associated with Engineered Nanomaterials: Different Tools for Different Ways to Govern](#), 21 Nano Today 9 (2018).

Joel Tickner, Molly Jacobs, Tim Malloy, Topher Buck, Alex Stone, Ann Blake & Sally Edwards, [Advancing Alternatives Assessment for Safer Chemical Substitution: A Research and Practice Agenda](#), Integrated Env'tl Assessment & Mgmt. (2018; published ahead of print August 17, 2018).

Igor Linkov et al., [Comparative, Collaborative, and Integrative Risk Governance for Emerging Technologies](#), 38(2) Env. Systems & Decisions 170 (2018).

Khadeeja Abdullah, Michael Stenstrom, I.H. (Mel) Suffet, Xavier Swamikannu & Timothy Malloy, [Regulating Oil and Gas Facility Stormwater Discharge: An Assessment of Surface Impoundments, Spills, and Permit Compliance](#), 76 Env'tl. Sci. & Pol'y 139 (2017).

Virginia Zaunbrecher, Elizabeth Beryt, Daniela Parodi, Donatello Telesca, Joseph Doherty, Timothy Malloy & Patrick Allard, [Has Toxicity Testing Moved into the 21<sup>st</sup> Century? A Survey and Analysis of Perceptions in the Field of Toxicology](#), 125 Env'tl. Health Persp. (2017).

**Edward A. Parson:**



E.A. Parson, [Judging New Technologies by Intention and Character: Role and Limits](#), 34:2 Issues in Science and Technology (2018).

Edward A. Parson, Julia Forgie, Jesse Lueders & Sean B. Hecht, [Controlling Greenhouse Gas Emissions from Transport Fuels: The Performance and Prospect of California's Low Carbon Fuel Standard](#), Pritzker Brief No. 10, The Emmett Institute on Climate Change and the Environment (June 2018).

E.A. Parson, [Carbon-cycle Versus Solar Interventions: Should They Be Treated Differently in Research and Assessment?](#), 33:4 Issues in Science and Technology (2017).

E.A. Parson, [Climate Policymakers and Assessments Must Get Serious About Climate Engineering](#), 114 (35) Proceedings of the National Academy of Sciences 9227 (2017).

E. A. Parson, [Starting the Dialog on Climate Engineering Governance: A World Commission](#) (Policy Brief No. 8, Fixing Climate Governance Series, Centre for International Governance Innovation, 2017).



**James Salzman:**

J.B. Ruhl & James Salzman, [Presidential Exit](#), 67 Duke L. J. 1729 (2018).

James Salzman et al., [The Global Status and Trends of Payments for Ecosystem Services](#), 1 Nature Sustainability 136 (2018).

Jonathan Remy Nash, J.B. Ruhl & James Salzman, [The Production Function of the Regulatory State: How Much Do Agency Budgets Matter?](#), 102 Minn. L. Rev. 695 (2017).

Eric Biber, Sarah E. Light, J.B. Ruhl & James Salzman, [Regulating Business Innovation as Policy Disruption: From the Model T to Airbnb](#), 70 Vand. L. Rev. 1561 (2017).

Daniel C. Esty & James Salzman, [Rethinking NAFTA: Deepening the Commitment to Sustainable Development](#), in [A Path Forward for NAFTA](#) 125 (Fred Bergsten & Monica de Bolle ed., 2017).

Josh Eagle, James Salzman & Barton H. Thompspon Jr., [Natural Resources Law and Policy \(Concepts and Insights Series\)](#) (2017).

James Salzman, [Drinking Water: A History](#) (2d. ed. 2017).



**Alex Wang:**

Alex L. Wang, [Explaining Environmental Information Disclosure in China](#), 44 Ecology L. Q. 865 (2018).

Alex L. Wang, [Symbolic Legitimation in Chinese Reform](#), 48 Env'tl. L. \_\_\_ (forthcoming 2018).



**Jonathan Zasloff:**

Jonathan Zasloff, [Between Resistance and Embrace: American Realtors, the Justice Department, and the Uncertain Triumph of the Fair Housing Act, 1968-1978](#), 61 How. L.J. 69 (2017).



### Sean Hecht:

Sean B. Hecht, [Justice Werdegard, State Police Power and Obstacle Preemption: An Enduring Legacy](#), 13 Cal. Legal Hist. 29 (2018).

Edward A. Parson, Julia Forgie, Jesse Lueders & Sean B. Hecht, [Controlling Greenhouse Gas Emissions from Transport Fuels: The Performance and Prospect of California's Low Carbon Fuel Standard](#), Pritzker Brief No. 10, The Emmett Institute on Climate Change and the Environment (June 2018).

Jordan Diamond, Holly Doremus, Mae Manupipatpong, Richard Frank, Shauna Oh, Sean Hecht, Deborah Sivas, Matt Armsby & Jocelyn Herbert, [The Past, Present, and Future of California's Coastal Act: Overcoming Division to Comprehensively Manage the Coast](#), UC Berkeley Center for Law, Energy & The Environment (2017).



### Comment Letters/Testimony:

Meredith Hankins, Ann Carlson, Cara Horowitz & Sean Hecht, [Comment Letter to California Air Resources Board on State's Greenhouse Gas Vehicle Emissions Regulations](#) (May 31, 2018).

Ann Carlson, [Testimony Before the Joint Legislative Committee on Climate Change Policies](#) (May 24, 2018).



### Op-eds/Commentary:

Ann Carlson & Cara Horowitz, [Trump Begins His Biggest Assault Yet on the Environment](#), Wash. Post (Aug. 2, 2018).

Meredith Hankins & Nicholas Bryner, [Trump Administration and California Are on Collision Course Over Vehicle Emissions Rules](#), The Conversation (Aug. 2, 2018)

Jonathan Zasloff, [How to Make Fair Housing Truly Fair: Pay People to Integrate America's Most-Segregated Neighborhoods](#), Slate (Apr. 11, 2018).

Nicholas Bryner & Meredith Hankins, [Why California Gets to Write its Own Auto Emissions Standards: 5 Questions Answered](#), The Conversation (Apr. 6, 2018).

Ann Carlson & Peter C. Frumhoff, [Should Oil Companies Pay For Climate Change? Yes, There is Evidence](#), S.F. Chron. (Mar. 21, 2018).

Jennifer Mcpartland, Juleen Lam & Timothy Malloy, [Why We Will Choose Public Service Over Politics in the Pruitt EPA](#), The Hill (Jan. 30, 2018).

David Keith & Edward Parson, [Solar Geoengineering Research: A Leadership Role for Canada](#), Toronto Globe and Mail (Dec. 8, 2017).

James Salzman, [Making Sense of the National Monuments Conflict](#), Harv. L. Rev. Blog (Dec. 7, 2017).

Nicholas Bryner, Eric Biber, Mark Squillace & Sean B. Hecht, [President Trump's National Monument Rollback is Illegal and Likely to be Reversed in Court](#), The Conversation (Dec. 4, 2017).

Peter S. Alagona & James Salzman, [The World's Strongest Conservation Law is Under Attack. It Needs to be Fixed Instead](#), Wash. Post (Nov. 16, 2017).

Sean B. Hecht & John Ruple, [Congressional Attack on National Monuments Ignores America's Conservation History](#), The Hill (October 16, 2017).

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90095

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Sent by [envirolaw@law.ucla.edu](#)

**From:** [Carlson, Ann](#)  
**To:** [Sonley, Jessica](#)  
**Subject:** Re: Reimbursement - Ann Carlson - Pittsburgh  
**Date:** Wednesday, October 10, 2018 8:34:07 AM

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I think she just wants to know which account. That fund is fine

On Oct 10, 2018, at 8:29 AM, Sonley, Jessica <[sonley@law.ucla.edu](mailto:sonley@law.ucla.edu)> wrote:

Hi Ann,

The Emmett accountant just asked me what I mean when I ask her to charge your travel expenses to Emmett (I'm not sure why this hasn't come up before). The two questions are:

1. Are Sean and Cara already aware of these expenses coming in?
2. Should it be charged to your discretionary fund, or as a normal Emmett expense?

Thanks!  
Jessica

---

**From:** Nguyen, Nancy  
**Sent:** Tuesday, October 9, 2018 3:38 PM  
**To:** Sonley, Jessica <[sonley@law.ucla.edu](mailto:sonley@law.ucla.edu)>  
**Subject:** RE: Reimbursement - Ann Carlson - Pittsburgh

Hi Jessica,

I'm not sure if you know the answer to this, but whenever we charge these to Emmett, is it assumed that Sean and/or Cara are already aware of these expenses? Or when we say "Emmett", do we actually mean Ann Carlson's Discretionary Fund that is a part of the Emmett Institute?

I've attached a copy of the Ann Carlson Discretionary Fund for your review. It's a current operating expense, meaning its balance is defined by new contributions into the gift only, and does not have investment income. As of now, the remaining balance is \$328,408.62.

Let me know if you have any questions.

Best,

**Nancy Nguyen**

Accountant | UCLA School of Law  
385 Charles E. Young Drive East | Los Angeles, CA 90095-1476  
Phone: 310.825.6316 | Email: [nguyen@law.ucla.edu](mailto:nguyen@law.ucla.edu)

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**From:** Sonley, Jessica  
**Sent:** Tuesday, October 9, 2018 11:54 AM  
**To:** Nguyen, Nancy <[nguyen@law.ucla.edu](mailto:nguyen@law.ucla.edu)>  
**Subject:** Reimbursement - Ann Carlson - Pittsburgh

Hi Nancy,

I've attached another reimbursement request for Ann Carlson to be charged to Emmett. I will bring down the hard copy shortly. Please let me know if there is anything else you need from me in order to get this processed.

Thank you,

Jessica Hope Sonley  
UCLA SCHOOL OF LAW  
**FACULTY SUPPORT ASSISTANT**  
385 Charles E. Young Drive East, Room 2214 | Los Angeles, CA 90095  
Phone (310) 825-1116 | Fax (310) 206-7010  
Email | [sonley@law.ucla.edu](mailto:sonley@law.ucla.edu)

<Ann Carlson Discretionary Fund.pdf>

**From:** [Carlson, Ann](#)  
**To:** [Hecht, Sean](#)  
**Cc:** [Kisliuk, Bill](#); [Melling, Daniel](#); [Horowitz, Cara](#)  
**Subject:** Re: Shapiro gift announcement?  
**Date:** Sunday, October 7, 2018 8:56:59 PM

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Yes, Sean is right. The new half million is from Shirley and Ralph and they asked Dan to match it with new money, which he agreed to do.

And Shirley is definitely the mover here.

On Oct 7, 2018, at 6:31 PM, Hecht, Sean <[hecht@law.ucla.edu](mailto:hecht@law.ucla.edu)> wrote:

Thanks for everything. Very excited about the gift and also the opportunity to tell everyone about it!

On Oct 7, 2018, at 6:27 PM, Kisliuk, Bill <[kisliuk@law.ucla.edu](mailto:kisliuk@law.ucla.edu)> wrote:

Sean,  
Understood. No problem, and Shirley, of course.  
Best,  
bk

---

**From:** Hecht, Sean  
**Sent:** Sunday, October 7, 2018 6:24 PM  
**To:** Kisliuk, Bill  
**Cc:** Carlson, Ann; Melling, Daniel; Horowitz, Cara  
**Subject:** Re: Shapiro gift announcement?

Thanks, Bill. Unfortunately for the clarity of the announcement (but fortunately for our program), your summary statement that "Ralph gave \$1 million, half for the program and half dedicated to Ann's research, and in each case qualified for Dan's match" might not work. The second one was specifically designed to be separate, and Dan agreed to match it additionally, by design. My impression is that it's important to the Shapiros that the second 500k isn't characterized as "qualifying for Dan's match." Ann, is that right? I may be wrong.

Also, we definitely shouldn't characterize these as Ralph's gifts. Shirley is the mover behind them in their family!

But yes, let's move ahead and do this, then. We'll help in any way we

can.

On Oct 7, 2018, at 5:50 PM, Kisliuk, Bill <[kisliuk@law.ucla.edu](mailto:kisliuk@law.ucla.edu)> wrote:

To answer Sean's question, the school has not announced the first Shapiro match at all, partly because \$1 million is normally the threshold, but mostly because my team didn't get around to it.

As for getting it done in time for this newsletter, I think we can. I will work with Daniel and perhaps loop in my colleague Josh.

The wrinkle that is new to me is this: Not only did Ralph give \$500,000 to match Emmett's big gift, but now Emmett is matching the \$500,000 Ralph gave to Ann's projects.

Seems to me the simple way to explain this is that Ralph gave \$1 million, half for the program and half dedicated to Ann's research, and in each case qualified for Dan's match.

Gift press releases only tend to get choppy if we have to quote a bunch of people. If we can steer clear of quoting Ralph or Dan, and maybe even y'all, we can land this plane safely with all the luggage still in the compartments.

Best,  
bk

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**From:** Carlson, Ann  
**Sent:** Sunday, October 7, 2018 5:42 PM  
**To:** Hecht, Sean  
**Cc:** Melling, Daniel; Kisliuk, Bill; Horowitz, Cara  
**Subject:** Re: Shapiro gift announcement?

I asked Jennifer about it and she said we should include it in the newsletter. Bill, curious if you agree.

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

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**From:** Hecht, Sean  
**Sent:** Sunday, October 7, 2018 5:40:45 PM  
**To:** Carlson, Ann  
**Cc:** Melling, Daniel; Kisliuk, Bill; Horowitz, Cara  
**Subject:** Re: Shapiro gift announcement?

This is excellent news of course, and we should promote it widely.

Here's the question: usually the law school has its own messaging about major gifts. And I'm not sure the law school ever announced the prior \$500k Shapiro gift this summer. Did we? I couldn't find it but maybe it's in the announcements of the Emmett gift?

And our annual newsletter has to drop in the next 4-5 days at the most, if possible.

So how do we proceed? Since we also do monthly newsletters now, and the Emmett gift from earlier this year is the big event, we could just aim to put the Shapiro gifts in the next monthly. But if you think we should try to get it into the annual, we need to figure out what to do.

The timeline for getting it in in a matter of days is far quicker than usual for putting together a major gift announcement, and I know Bill K is focusing heavily on getting the Magazine out.

On Oct 7, 2018, at 5:31 PM, Carlson, Ann  
<[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)> wrote:



so we have a new gift to announce too. In addition to the \$500k match the Shapiros made when Dan announced his gift, the Shapiros just made an additional \$500k gift and Dan agreed to match it with new money beyond his initial \$4.3 million. The new million dollars goes into the Ann Carlson Discretionary Fund.

We should definitely announce.

Thanks!

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate  
Change and the Environment  
UCLA School of Law  
(310) 206-9496

---

**From:** Melling, Daniel  
**Sent:** Friday, October 5, 2018 10:32 AM  
**To:** Carlson, Ann; Kisliuk, Bill  
**Subject:** Shapiro gift announcement?

Hi both – I don't believe we've made any public announcement about the Shapiro gift. Should we include this in the Emmett annual blast?

Here's what we have now on the Emmett gift:

**In May 2018, UCLA Law [announced](#) a \$4.3 million gift and major matching opportunity from the Emmett**

**Foundation to expand the Emmett Institute's cutting-edge policy, research, and advocacy work, as well as its support for students and graduates.**

"The Emmett Institute is addressing our unprecedented environmental challenges at a time when the federal government is retreating from its role as a responsible steward," **Dan Emmett** said. "Our goal is to see the institute continue to develop innovative solutions and produce advocates dedicated to advancing responsible environmental policy."

Daniel Melling  
Communications and Events Manager  
Emmett Institute on Climate Change and the  
Environment

<image003.jpg>

UCLA School of Law  
385 Charles E. Young Dr. East | 1242 Law Building  
Los Angeles, CA 90024  
M: (310) 408 9417

O: (310) 206-7974

<http://law.ucla.edu/emmett>

**From:** [Carlson, Ann](#)  
**To:** [Hecht, Sean](#)  
**Cc:** [Melling, Daniel](#); [Kisliuk, Bill](#); [Horowitz, Cara](#)  
**Subject:** Re: Shapiro gift announcement?  
**Date:** Sunday, October 7, 2018 5:42:17 PM

---

I asked Jennifer about it and she said we should include it in the newsletter. Bill, curious if you agree.

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

---

**From:** Hecht, Sean  
**Sent:** Sunday, October 7, 2018 5:40:45 PM  
**To:** Carlson, Ann  
**Cc:** Melling, Daniel; Kisliuk, Bill; Horowitz, Cara  
**Subject:** Re: Shapiro gift announcement?

This is excellent news of course, and we should promote it widely.

Here's the question: usually the law school has its own messaging about major gifts. And I'm not sure the law school ever announced the prior \$500k Shapiro gift this summer. Did we? I couldn't find it but maybe it's in the announcements of the Emmett gift?

And our annual newsletter has to drop in the next 4-5 days at the most, if possible.

So how do we proceed? Since we also do monthly newsletters now, and the Emmett gift from earlier this year is the big event, we could just aim to put the Shapiro gifts in the next monthly. But if you think we should try to get it into the annual, we need to figure out what to do.

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We should definitely announce.

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**Sent:** Friday, October 5, 2018 10:32 AM  
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Daniel Melling  
Communications and Events Manager  
Emmett Institute on Climate Change and the Environment

<image003.jpg>

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**From:** [Carlson, Ann](#)  
**To:** [Melling, Daniel](#); [Kisliuk, Bill](#); [Hecht, Sean](#); [Horowitz, Cara](#)  
**Subject:** Re: Shapiro gift announcement?  
**Date:** Sunday, October 7, 2018 5:31:40 PM

---

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Shirley Shapiro Professor of Environmental Law  
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Daniel Melling  
Communications and Events Manager  
Emmett Institute on Climate Change and the Environment

THE EMMETT INSTITUTE  
ON CLIMATE CHANGE AND THE ENVIRONMENT



UCLA SCHOOL OF LAW

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Los Angeles, CA 90024  
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O: (310) 206-7974  
<http://law.ucla.edu/emmett>

**From:** [Carlson, Ann](#)  
**To:** [Mnookin, Jennifer](#)  
**Cc:** [Hecht, Sean](#); [Parson, Edward](#); [Horowitz, Cara](#); [Escobar, Anthony](#); [Boyd, William](#); [Wang, Alex](#)  
**Subject:** Re: Great news!  
**Date:** Saturday, October 6, 2018 3:00:14 PM

---

Thanks, everyone! It's really because of all of us and the great work Emmett is doing.

But I don't mind it when the Dean calls me the Ann

On Oct 5, 2018, at 12:51 PM, Mnookin, Jennifer <[MNOOKIN@law.ucla.edu](mailto:MNOOKIN@law.ucla.edu)> wrote:

All -

I want to share some excellent news! Ralph and Shirley Shapiro asked Dan and Rae Emmett to join them to each make an additional \$500,000 commitment to the Emmett Institute. This is \*in addition\* to and separate from the matching funds Dan and Rae have already made available!

They are making this gift to the Ann Carlson discretionary fund, which, as you know is a current use fund that support the Institute's highest needs, as determined by the Ann and the Institute's leadership, in consultation with me.

Woo hoo! I'm delighted by this additional \$1 million commitment and I hope you are as well.

Cheers, Jennifer

Jennifer L. Mnookin  
Dean and  
David G. Price & Dallas P. Price Professor of Law

UCLA School of Law

Sent from my iPhone



**From:** [Carlson, Ann](#)  
**To:** [Hecht, Sean](#)  
**Cc:** [Horowitz, Cara](#)  
**Subject:** Re: Shapiro matching gift  
**Date:** Tuesday, October 2, 2018 1:05:47 PM

---

I can tell Jennifer we're planning on sending out a newsletter and ask if she can do let you all know if we haven't heard from her by tomorrow. I'm guessing we can announce it because I know Ralph has already paid and Dan has already spelled out a plan for when he will make his payments. But I don't know for sure.

On Oct 2, 2018, at 1:02 PM, Hecht, Sean <[hecht@law.ucla.edu](mailto:hecht@law.ucla.edu)> wrote:

Thanks. Back here and already taught this morning! Very quick trip – I may be getting too old for that. 😊

That's wonderful, and consistent with what I had thought. (Among other things, it provides us with the potential for much more stability/longevity for Julia's position, which I now think is the best decision we've ever made besides hiring Cara!)

But it seems there's no announcement yet of even the first \$500k gift, which surprised me.

Do you think this will be public in time to mention in our newsletter if the newsletter goes out early next week?

---

**From:** Carlson, Ann  
**Sent:** Tuesday, October 2, 2018 12:57 PM  
**To:** Hecht, Sean <[hecht@law.ucla.edu](mailto:hecht@law.ucla.edu)>  
**Cc:** Horowitz, Cara <[HOROWITZ@law.ucla.edu](mailto:HOROWITZ@law.ucla.edu)>  
**Subject:** Re: Shapiro matching gift

Jennifer is supposed to send an email soon with details but here's the deal:

They gave \$500k to match Dan when he announced his new gift

They're giving another \$500k to the Carlson discretionary fund. Dan is matching it with a new \$500k gift above what he's pledged.

Are you back already?

On Oct 2, 2018, at 12:48 PM, Hecht, Sean <[hecht@law.ucla.edu](mailto:hecht@law.ucla.edu)> wrote:

Hi Ann-

Question for you: Am I recalling correctly that in addition to any new gift they're contemplating, didn't the Shapiros come out almost immediately

after this year's Emmett gift with a new gift commitment that contributed to meeting the match?

Or is this new one you mentioned recently the same gift, and I'm just confused?

And regardless, do you have any idea how quickly their gift(s) are likely to be announced?

I ask for clarification in my own muddled mind about whether the new one is on top of another one, and also because I don't think there's been any public announcement yet of any Shapiro gift this year. And I'm working with Daniel and Cara on the annual newsletter and am wondering whether we have anything to report from Shapiro gifts, or if Dan's is the only major gift we'll be reporting on.

Forgive me if this is something that Cara has already asked, or if we've asked before – and sorry for my lack of memory/understanding about it!

Thanks!

Sean

Sean B. Hecht

Co-Executive Director, Emmett Institute on Climate Change and the Environment,

Evan Frankel Professor of Policy and Practice

UCLA School of Law

phone (310) 794-5272

<http://www.law.ucla.edu/emmett>

@seanhecht

@Emmett\_UCLALaw

<http://legal-planet.org>

**From:** [Carlson, Ann](#)  
**To:** [Hecht, Sean](#)  
**Cc:** [Horowitz, Cara](#)  
**Subject:** Re: Shapiro matching gift  
**Date:** Tuesday, October 2, 2018 12:57:24 PM

---

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Thanks!  
Sean

Sean B. Hecht  
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phone (310) 794-5272  
<http://www.law.ucla.edu/emmett>  
@seanhecht  
@Emmett\_UCLALaw

<http://legal-planet.org>

**From:** [Ava Coye](#)  
**To:** [Mnookin, Jennifer](#)  
**Cc:** [Carlson, Ann](#)  
**Subject:** SFCF @ CCF to UCLA Law\_Prof Ann Carlson Discretionary Fund  
**Date:** Thursday, September 27, 2018 3:46:43 PM  
**Attachments:** [SFCF\\_CCF to UCLA Prof Carlson Disc Fund.pdf](#)

---

Good afternoon Dean Mnookin,

I hope this email finds you well. Attached please find a California Community Foundation grant request form from the Shapiro Family Charitable Foundation for Professor Ann Carlson's Discretionary Fund. Please note that the check will be sent to your attention.

Best regards,

Ava

---

Ava Y. Coye  
Executive Assistant to the Shapiro Family  
Shapiro Family Charitable Foundation  
433 N. Camden Drive, Suite 1111  
Beverly Hills, California 90210  
(310) 550-0960 Office  
(310) 205-3879 Fax



# GRANT RECOMMENDATION FORM

COPY

**1 INSTRUCTIONS**  
 Fax this completed form to (213) 239-2498 or mail it to:  
 California Community Foundation  
 221 S. Figueroa St., Suite 400  
 Los Angeles, CA 90012, ATTN: Grants Coordinators

**2 GRANT RECOMMENDATION FROM** D306 - The Shapiro Family Charitable Foundation  
(Fund Name & Fund Number)

**3 GRANT AMOUNT** (\$100 minimum) \$ 500,000.00

**4 NONPROFIT ORGANIZATION** (Grant checks are made payable to the legal name of the nonprofit organization and mailed directly to its address)  
 Grant to the LOS ANGELES COMMUNITY FUND in support of CCF's grants to qualified charitable organizations addressing pressing needs in communities located within Los Angeles County  
 OR

Address: UCLA School of Law Attn: Dean Jennifer Mnookin  
BOX 951476, 2332 Law Building  
Los Angeles, CA 90095-1476

**5 CHARITABLE PURPOSE**  
 General Support  
 Specific Purpose or Project: For the Professor Ann Carlson Discretionary Fund 633130  
 If for an Event/Gala/Fundraiser, are you, or anyone affiliated with the fund, attending?  Yes  No

**6 SPECIAL INSTRUCTIONS** (A letter accompanying this grant will be sent to the charity. Choose your preference for recognition or anonymity)  
 Recognize Fund Name Only  
 Recognize Fund Name and Donor Name(s): \_\_\_\_\_  
 Anonymous

**7 CERTIFICATION**  
 I certify that neither I nor any individual will receive any material benefit from the charitable organization as a result of this grant. If any material benefit or privilege is offered in connection with this charitable disbursement, I have not and will not accept it. I understand and acknowledge that I cannot claim a charitable deduction for grants I've recommended, even if the grantee sends me a receipt.

I understand and acknowledge that a grant must directly and fully support a charitable program and I will not submit a recommendation intended to:

- Fulfill a legally binding pledge or other financial obligation to the recommended charity
- Benefit myself, any specific individual or to benefit an entity in which I hold 35% or more controlling interest
- Support political campaigns or lobbying activities
- Pay for membership benefits, event tickets (galas, sporting events), goods bought at auction, tuition, religious benefits, etc.

I understand that grant recommendations are subject to the review and approval of California Community Foundation and grants must comply with the policies detailed in California Community Foundation's *Charitable Fund Guidelines*. I understand that this is a recommendation and not a direction and CCF will perform the necessary due diligence of the charitable organization to ensure compliance with federal regulations. For questions about your grant recommendation, please call us at (213) 413-4130.

<b>X</b>	<b>Ralph J. Shapiro</b>	<b>9-27-2018</b>	<b>[REDACTED]</b>
Authorized Signature	Print Name	Date	Daytime Phone

<b>For CCF Use Only:</b>			
Fund # & Date Received D306	Fund Balance:	Investment Pool:	SP:

**From:** [Mnookin, Jennifer](#)  
**To:** [Ralph J Shapiro](#); [Carlson, Ann](#)  
**Subject:** RE: Jordan Kaplan Gift Philosophy Dept  
**Date:** Wednesday, August 1, 2018 2:41:53 PM

---

Hi Ralph,

Thanks -- and yes, exciting news for the humanities about Jordan's gift. My understanding is that it's been in the work for some time (and Dan certainly is well aware as well).

Hope you are feeling better -- I know Mike was absolutely thrilled to get the chance to see you!

As for Dan: Ann shared with me the WONDERFUL news/idea that you and Shirley had about an additional contribution from you to the Ann Carlson Discretionary Fund for Emmett if Dan would match your gift (not from his current gift, but as additional funding). What a wonderful and generous idea --and I think it would be absolutely fabulous for you to talk to Dan about that possibility. As for timing, I think now -- or whenever you are up to it -- would be fantastic!

If you are talking also about the bigger, broader questions about Dan's future contributions and additional philanthropic engagement, I'd love to sit down and strategize with you a bit further -- I know Ann would be happy to join that conversation as well. Would you be up to planning a lunch with the two of us? We'd be happy to come to you and to bring along take-out?

Warmly,

Jennifer

-----Original Message-----

From: Ralph J Shapiro [REDACTED]  
Sent: Tuesday, July 31, 2018 4:46 PM  
To: Mnookin, Jennifer <MNOOKIN@law.ucla.edu>; Carlson, Ann <carlson@law.ucla.edu>  
Subject: Jordan Kaplan Gift Philosophy Dept

UCLA today announced the Jordan Kaplan Gift of \$25 mm When do you suggest I speak with Dan?



**From:** [Carlson, Ann](#)  
**To:** [Horowitz, Cara](#)  
**Cc:** [Nguyen, Nancy](#); [Hecht, Sean](#)  
**Subject:** Re: Questions Regarding SLAGC Funds  
**Date:** Thursday, June 21, 2018 6:08:28 AM

---

I don't care at all! Whatever is best

On Jun 20, 2018, at 11:05 PM, Horowitz, Cara <[HOROWITZ@law.ucla.edu](mailto:HOROWITZ@law.ucla.edu)> wrote:

On the class expenses, Ann, I assume you don't care which of the Emmett funds the money comes from? Nancy was asking, I think, about the possibility of using the \$500k Shapiro fund created by their gift a couple of years ago.

If that is not okay, of course, that's fine. Just wondering about our degree of flexibility as we balance the funds at the close of the fiscal year. Thanks.

On Jun 20, 2018, at 7:06 PM, Carlson, Ann <[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)> wrote:

I Nancy, I'm not sure I really understand the first question so could you please clarify? And on the second question, the course charges should be charged to Emmett. Thank you!

On Jun 20, 2018, at 12:01 PM, Nguyen, Nancy  
<[nguyen@law.ucla.edu](mailto:nguyen@law.ucla.edu)> wrote:

Hi Ann,

I hope you are well. I was wondering if you, Sean, or Cara would be able to answer any of the following questions as we prepare for fiscal close and the summer.

- <!--[if !supportLists]-->1) <!--[endif]-->Summer 9ths:  
Should any of either Ann Carlson's or William Boyd's summer 9<sup>th</sup> go towards the SLAGC fund this year? I know we have the Emmett match portion that we haven't quite utilized yet, so would like to confirm.
- <!--[if !supportLists]-->2) <!--[endif]-->Guest Speaker  
Travel Costs for Law 611 Class: Can you confirm whether these charges should these expenses be charged to Emmett general or to Ann Carlson's Discretionary Fund?

Let me know if you have any questions.

Best,  
**Nancy Nguyen**

Business & Finance Office  
UCLA School of Law  
385 Charles E. Young Drive East  
Los Angeles, CA 90095-1476  
310.825.6316  
[nguyen@law.ucla.edu](mailto:nguyen@law.ucla.edu)

**From:** [Horowitz, Cara](#)  
**To:** [Carlson, Ann](#)  
**Cc:** [Nguyen, Nancy](#); [Hecht, Sean](#)  
**Subject:** Re: Questions Regarding SLAGC Funds  
**Date:** Wednesday, June 20, 2018 11:05:01 PM

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**From:** [Carlson, Ann](#)  
**To:** [Nguyen, Nancy](#)  
**Cc:** [Hecht, Sean](#); [Horowitz, Cara](#)  
**Subject:** Re: Questions Regarding SLAGC Funds  
**Date:** Wednesday, June 20, 2018 7:06:02 PM

---

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On Jun 20, 2018, at 12:01 PM, Nguyen, Nancy <[nguyen@law.ucla.edu](mailto:nguyen@law.ucla.edu)> wrote:

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**From:** [Nguyen, Nancy](#)  
**To:** [Carlson, Ann](#)  
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**Subject:** Questions Regarding SLAGC Funds  
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[nguyen@law.ucla.edu](mailto:nguyen@law.ucla.edu)

**From:** [Carlson, Ann](#)  
**To:** [Mnookin, Jennifer](#)  
**Subject:** Fw: Info for Monday  
**Date:** Tuesday, January 30, 2018 6:04:50 PM  
**Attachments:** [Dan Emmett gift summary Jan 2018 - for Dan.docx](#)

---

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

---

**From:** Horowitz, Cara  
**Sent:** Sunday, January 28, 2018 6:07 PM  
**To:** Gallo, James  
**Cc:** Carlson, Ann  
**Subject:** Re: Info for Monday

Here's an update to my memo from yesterday, edited in minor ways to create a version intended to be shared with Dan (but not shareable yet; it shows where I still have holes in the data). James, note the two highlights where I could still use that additional info on matches we've been discussing. Thanks.

---

**From:** Horowitz, Cara  
**Sent:** Sunday, January 28, 2018 5:04 PM  
**To:** Gallo, James  
**Cc:** Carlson, Ann  
**Subject:** Re: Info for Monday

Thanks. Can you confirm that my memo is correct as to both the total amount given by Dan over time, and the amount he gifted under the 2007 gift? If I missed some of the gifts he matched (like the Kaplan gift and the Shapiro chair gift), my 2007 gift numbers may be off-- would be great to have you check them if you can. Thanks!

On Jan 28, 2018, at 4:41 PM, Gallo, James <[jgallo@support.ucla.edu](mailto:jgallo@support.ucla.edu)> wrote:

Jordan Kaplan made a \$250,000 gift as a result of the 2007 match and I am trying to confirm details of the Shapiro gift from Laura. And I've looked, but it doesn't appear as

though we're missing any other six-figure+ gifts.

---

**From:** Horowitz, Cara [<mailto:HOROWITZ@law.ucla.edu>]  
**Sent:** Saturday, January 27, 2018 9:16 PM  
**To:** Carlson, Ann E.  
**Cc:** Gallo, James  
**Subject:** Re: Info for Monday

Yeah, I was thinking we would relay it generally at lunch, but I'm happy to send it in writing. If we send it in writing I would want to double check the total amount he paid under the 2007 agreement, since I'm shaky on that. James, hopefully you can confirm or correct these numbers. Thanks.

On Jan 27, 2018, at 9:10 PM, Carlson, Ann <[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)> wrote:

Yes. he wants the information. Or some version of it that just sets forth the facts.

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

---

**From:** Horowitz, Cara  
**Sent:** Saturday, January 27, 2018 9:04:51 PM  
**To:** Carlson, Ann  
**Cc:** Gallo, James  
**Subject:** Re: Info for Monday

Thanks Ann. Are you envisioning sharing this doc with Dan? I didn't draft it for that purpose so yes, I would change some of the language for sure.

On Jan 27, 2018, at 8:57 PM, Carlson, Ann <[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)> wrote:

Hi Cara and James,

This mostly looks great. On the pre-2013 match, I'm virtually certain that it included the \$1 million the Shapiro's pledged for the chair. James, there should be correspondence from Laura Parker and maybe Mike Schill to Dan that sets forth the match. It would be great



to confirm it before sending to Dan.

[REDACTED]

Other than that this seems right to me and what I had in mind and think it's what Dan had in mind.

Thank you!

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

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**From:** Horowitz, Cara  
**Sent:** Saturday, January 27, 2018 8:44:36 PM  
**To:** Carlson, Ann; Gallo, James  
**Subject:** Re: Info for Monday

Hi, Ann and James -- Here's what I take from James's info and Lindsey's email, plus what I believe I remember or can figure out today about gifts matched.

If you both could take a look, I would be grateful. James, let me know if anything on this summary looks wrong to you based on what you sent. I may have misinterpreted some of your reporting. And Ann, take a look and see if it looks right to you and answers Dan's question.

Thank you!

---

**From:** Carlson, Ann  
**Sent:** Friday, January 26, 2018 5:46 PM  
**To:** Gallo, James  
**Cc:** Horowitz, Cara  
**Subject:** Re: Info for Monday

Hi james, I think we need to additions. One is that there

were matches for the first gift that he made – the \$5 million gift that established the Emmett center.

I also think we need to identify who made the gifts that were matched. Sorry to be so picky. Thanks!

On Jan 26, 2018, at 5:41 PM, Gallo, James  
<[jgallo@support.ucla.edu](mailto:jgallo@support.ucla.edu)> wrote:

This is pretty basic, but let me know if it does/doesn't work.

---

**From:** Horowitz, Cara  
[<mailto:HOROWITZ@law.ucla.edu>]  
**Sent:** Friday, January 26, 2018 4:14 PM  
**To:** Carlson, Ann E.  
**Cc:** Gallo, James  
**Subject:** RE: Info for Monday

Okay. James, let us know what you can do on those two scores (helping figure out matches, and putting this into a more sensible/readable format—given that we care about his total gifting over time, not which entity he gifted from). Thank you!

---

**From:** Carlson, Ann  
**Sent:** Friday, January 26, 2018 4:12 PM  
**To:** Horowitz, Cara  
<[HOROWITZ@law.ucla.edu](mailto:HOROWITZ@law.ucla.edu)>  
**Cc:** Gallo, James <[jgallo@support.ucla.edu](mailto:jgallo@support.ucla.edu)>  
**Subject:** Re: Info for Monday

I think we should try to provide him with the matches. That is what he asked before. And it would be great to get it in a format that is more user friendly. Thank you!

On Jan 26, 2018, at 4:08 PM, Horowitz, Cara  
<[HOROWITZ@law.ucla.edu](mailto:HOROWITZ@law.ucla.edu)> wrote:

Thanks, James. Ann, take a look and let me know if you think

this gets us what we need for Monday. It's pretty easy to pick out his gift totals from this, although it's not the most reader-friendly format.

James, it looks like you were not able to link these match payments by Dan to the gifts they matched.

Ann, let me know how important you think that match info is for Monday. James might be able to run for us a list of all gifts to the Emmett Institute over \$100,000 in size since 2007 (from entities and people other than Dan). I could then try to match them up over the weekend.

---

**From:** Gallo, James  
[mailto:[jgallo@support.ucla.edu](mailto:jgallo@support.ucla.edu)]  
**Sent:** Friday, January 26, 2018  
3:50 PM  
**To:** Horowitz, Cara  
<[HOROWITZ@law.ucla.edu](mailto:HOROWITZ@law.ucla.edu)>;  
Carlson, Ann  
<[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)>  
**Subject:** Info for Monday

Enclosed are the gift agreements as well as a gift summary report. I've asked someone here to rerun the summary in a slightly different format.

\$335,344.02 is the balance on

the matching gift agreement. As of 12/14/16, the balance was \$641,292 and he made a payment of \$305,947.98 in July 2017.

Please let me know if this is/isn't what you need.

Thanks,  
James

<EmmettGifts.docx>

Donors to The Ann Carlson Discretionary Fund (633130)

12/20/2013 thru 1/17/2018

School (45) School of Law

Sorted by Dept,Designation,Donor Name,Date of Record

Designation/ Donor Name	Date	Designation Code	Appeal Code	Gift Pledge Amount	Matched	Revenue Id#	Joint Associated Donor
The Ann Carlson Discretionary Fund (633130)							
California Community Foundation Ralph/Shirley Shapiro Family Fund (0020537420) 221 S Figueroa St Ste 400 Los Angeles, CA 90012 United States	6/1/2015	633130	No Appeal	\$500,000.00		rev-14178657	
		<b>Type:</b> Gift		<b>Pledge Amount Paid:</b>	\$0.00	<b>Pledge Balance:</b>	\$0.00
(213) 413-4130		<b>Pro Mgr:</b> Rhea P. Turteltaub					
Primary email: info@calfund.org							

TRIBUTE TYPE	TRIBUTE TEXT	TRIBUTE LOOKUPID	TRIBUTE
in honor of	in honor of Ann E. Carlson	0020235005	Carlson, Ann E.

	<u>Gift Pledge Amount</u>	<u>Pledge Amount Paid</u>	<u>Pledge Balance</u>
<b>633130 - Subtotals:</b>	\$500,000.00	\$0.00	\$0.00

	<u>Gift Pledge Amount</u>	<u>Pledge Amount Paid</u>	<u>Pledge Balance</u>
<b>Grand Totals:</b>	\$500,000.00	\$0.00	\$0.00

**From:** [Carlson, Ann](#)  
**To:** [Wang, Alex](#)  
**Subject:** FW: News from UCLA Law's Emmett Institute on Climate Change and the Environment  
**Date:** Monday, October 12, 2015 5:16:00 PM

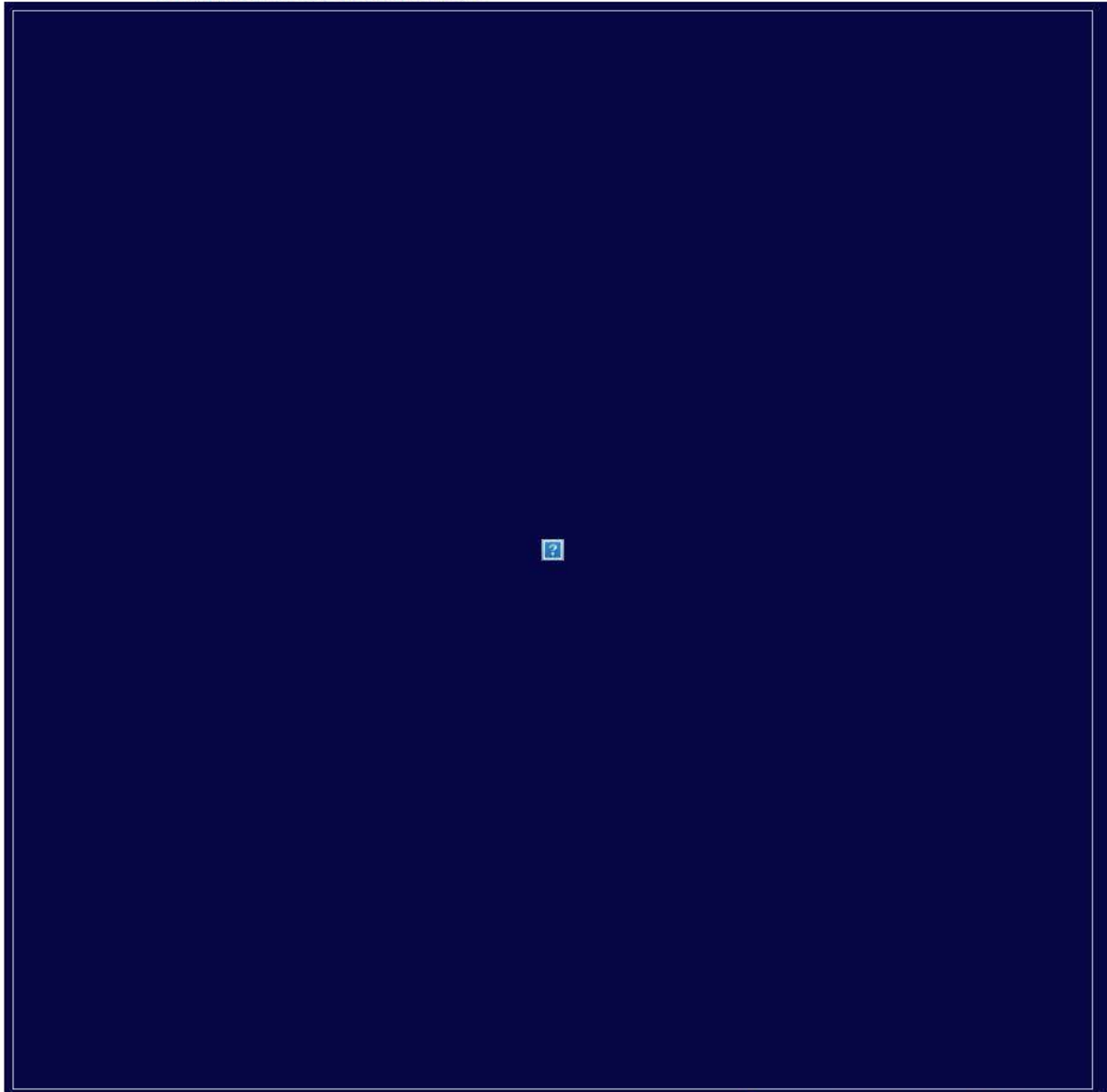
---

Wanna send to the listserve?

---

**From:** UCLA Law's Emmett Institute on Climate Change & the Environment [mailto:envirolaw@law.ucla.edu]  
**Sent:** Monday, October 12, 2015 5:12 PM  
**To:** Carlson, Ann <carlson@law.ucla.edu>  
**Subject:** News from UCLA Law's Emmett Institute on Climate Change and the Environment

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Emmett Institute Fall Newsletter

October 2015

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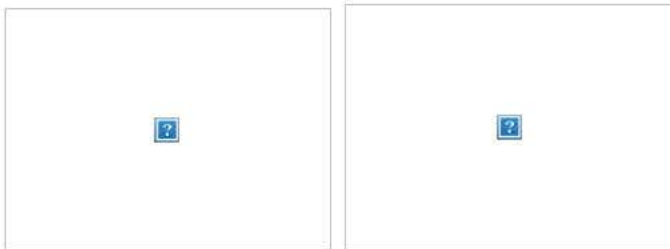
### [Alumni News](#)

## Faculty News and Publications

### Jim Salzman Joins the UCLA Law Faculty & Emmett Institute

We are thrilled to welcome [Jim Salzman](#) to UCLA Law School and UCSB's School of the Environment as the **inaugural Donald Bren Professor of Environmental Law & Policy**. Previously the Samuel Mordecai Professor at Duke Law School and Nicholas Institute Professor at Duke's School of the Environment, Prof. Salzman has published over 70 articles, six of which have been selected for publication in the annual *Land Use & Environmental Law Review*. The 2012 study by Phillips and Yoo ranked him as the fifth most cited environmental law professor. Co-author of four casebooks, his text on International Environmental Law and Policy has long been the market leader. Professor Salzman is teaching Water Law in the fall semester, and has a formal affiliation with the Emmett Institute, co-editing our [Pritzker Brief series](#) and contributing to the intellectual leadership of the Institute.

### Cara Horowitz and Ann Carlson Hike Glacier National Park to Raise Money for the Emmett Institute



As part of the Climate Hike sponsored by Climate Ride, a group that puts together hikes and bike rides to raise money for environmental organizations, Ann Carlson and Cara Horowitz joined the rest of Team Emmett (including UCLA Law's retired Dean of Students Liz Cheadle, Assistant Dean of Career Services Beth Moeller, and Associate Dean of External Affairs Lindsey Williams) and raised over \$34,000 from 265 donors, to be generously matched by Dan and Rae Emmett. On the hike, participants witnessed the beauty of Glacier National Park alongside evidence of climate change, such as receding glaciers and tremendous smoke from wildfires.

### Emmett Institute Receives Major Gift from Shapiro Foundation

The Emmett Institute on Climate Change and the Environment has received a \$500,000 gift from the Shapiro Family Charitable Foundation to establish the Ann Carlson Discretionary Fund. The gift will be matched through the previously-announced Dan and Rae Emmett Matching Gift Challenge, doubling its impact. The fund will be used to support a variety of needs and opportunities, including graduate and



## Explore Our Programs & Events

### [UCLA Emmett Institute on Climate Change and the Environment](#)

### [UCLA Sustainable Technology & Policy Program](#)

## Meet Our Professors

[Ann Carlson](#) is the Shirley Shapiro Professor of Environmental Law and the inaugural Faculty Director of the Emmett Institute. She is the 2011 recipient of the University's Eby Award for the Art of Teaching and the UCLA Distinguished Teaching Award.

[Sean Hecht](#) is the Co-Executive Director of the Emmett Institute and Evan Frankel Professor of Policy and Practice. A past chair of the California State Bar Environmental Law Section, Sean is an expert on environmental impact analysis under the California Environmental Quality Act and other laws.

[Cara Horowitz](#) is the Andrew Sabin Family Foundation Co-Executive Director of the Emmett Institute. An environmental litigator with the Natural Resources Defense Council before joining UCLA, Cara focuses her work and teaching on climate change policy questions.

[Timothy Malloy](#) is Faculty Director of the interdisciplinary UCLA Sustainable Technology and Policy Program. His research interests focus on environmental, chemical and nanotechnology policy, regulatory policy, and organizational theory, with particular emphasis on the relationship between regulatory design and implementation and the structure of business organizations.

[Ted Parson](#) is the Dan and Rae Emmett Professor of Environmental Law and Faculty Co-Director of the Emmett Institute. Ted writes in the area of environmental governance and policy, with particular focus on international environmental issues, such as ozone depletion. He is a leading expert on climate change law and policy.

[Jim Salzman](#) is the Donald Bren Distinguished Professor of Environmental Law with a joint appointment at the Bren School



undergraduate scholarships, fellowships, faculty projects and research. The Shapiros have deep and lasting ties to UCLA and a long history of exceptional philanthropic support to UCLA Law. They have endowed a remarkable four chairs at UCLA Law, including the Shirley Shapiro Chair in Environmental Law.

## Faculty Publications

### [Ann E. Carlson](#)

"Accidents of Federalism: Rate Design and Policy Innovation in Public Utility Law" (with William C. Boyd), 63 UCLA L. REV. \_\_ (2016) (forthcoming).

### [Sean Hecht](#)

"Taking Background Principles Seriously in the Context of Sea-Level Rise," 39 VERMONT L. REV. 781 (2015).

"Food Sustainability, CAFO Litigation, and Public Sentiment: The Role of Lawsuits in Today's Food Movement," 5 FOOD & DRUG POL'Y FORUM 10 (2015).

### [Timothy Malloy](#)

"Decisions, Science, and Values: Crafting Regulatory Alternatives Analysis" (with Ann Blake, Igor Linkov and Peter Sinsheimer), in *Risk Analysis*, (Aug. 25, 2015). DOI: 10.1111/risa.12466

"Alternatives Assessment Frameworks: Research Needs for the Informed Substitution of Hazardous Chemicals" (with Molly Jacobs, Joel Tickner and Sally Edwards), in *Environmental Health Perspectives* (Sept. 4, 2015). DOI:10.1289/ehp.1409581

### [Edward A. Parson](#)

Climate Change: Less Focus on Collective Action, More on Delayed Benefits and Concentrated Opponents, Centre for Int'l Governance Innovation, Fixing Climate Governance Policy Brief Series No. 2 (2015).

### [Alex L. Wang](#)

*Chinese State Capitalism and the Environment*, in REGULATING THE VISIBLE HAND? THE INSTITUTIONAL IMPLICATIONS OF CHINESE STATE CAPITALISM (Curtis Milhaupt & Benjamin Liebman eds.) (forthcoming 2015).

## Other Faculty News

[Ann Carlson](#) continues to work as a co-principal investigator on a Sloan Grant leading an American Academy Arts and Sciences research team studying Durability and Flexibility in Energy Policy using the Clean Air Act as a case study, and to serve on the Global Climate Leadership Taskforce, appointed by President Napolitano to help the UC system achieve carbon neutrality by 2025. She served as a moderator for a talk at UC-Irvine in May 2015 about The Water-Energy Nexus. In August 2015, she participated in University of Colorado and Duke University School of Law's Climate Change, Energy and Natural Resources Law Works in Progress Symposium. She also presented at a University of Texas faculty workshop on the Accidents of Federalism in September 2015. Her comments on climate change issues appeared in numerous leading media outlets, including the *LA Times*, *National Journal*, *New York Magazine*, and the *E&E Reporter*.

[Tim Malloy](#) is leading the Alternatives Analysis Community of Practice Decision Analysis group, which is part of a national effort to develop a research agenda on alternatives analysis. He presented on "Law, Science and Risk: Shifting Paradigms of Regulation" at a seminar at UC Riverside sponsored by UCR One Health Center, the School of Public Policy, and the Department of Chemical and Environmental Engineering on May 1, 2015.

[Ted Parson](#) and [Sean Hecht](#) organized a workshop on May 22, "Controlling Greenhouse Gas Emissions from Transport Fuels: The performance and prospects of California's Low-Carbon Fuel Standard," which generated a deep discussion of the challenges of this policy and the problem it's targeting. Emmett Institute Fellow Jesse Lueders (now departed for EPA Region IX) took the lead in drafting a background paper prior to the workshop, and our new fellow [Julia Forgie](#) is leading the subsequent revision and expansion of this paper for publication as a Pritzker Brief.

[Ted Parson](#) continues to do groundbreaking work on climate engineering governance. He will be leading a week-long workshop on International Governance of Climate Engineering in mid-October at the Rockefeller Foundation's Bellagio Centre in Italy. He also spoke at an event hosted by the organization Zocalo Public Square on September 21, 2015 about fracking. His comments regarding the economic feasibility of the Keystone XL Pipeline were featured in the *Christian Science Monitor* on September 23, 2015.

of Environment at UC Santa Barbara. He is a widely published expert on diverse subjects including drinking water, trade, and international environmental law. He is a Fellow at the Royal Geographical Society and has taught at Columbia, Harvard, Stanford, Yale, and universities across the globe.

[Alex Wang](#) is an Assistant Professor of Law. His primary research and teaching interests are in environmental law, Chinese law, comparative law, and torts.

[Jonathan Zasloff](#) has published on the influence of lawyers and legalism in US external relations. Professor Zasloff also focuses on the response of public institutions to social problems, and the role of ideology in framing policy responses.

## Meet Our Fellows

The Emmett/Frankel and Shapiro Fellows research law and policy solutions to the climate change crisis and other environmental issues for Emmett Institute. They also work closely with the Wells Environmental Law Clinic.

[Megan Herzog](#)'s research interests include coasts and oceans, climate, energy, disasters, and the intersection of natural resources and human rights.

[Julia Forgie](#)'s research interests include climate change mitigation and adaptation, food security, California water rights, and the intersection of climate science and policy-making.

[Sarah Duffy](#)'s research interests include U.S. and international climate policy, water conservation, energy policy, and transportation and urban planning.



[Jim Salzman](#) served as a Resident at the Rockefeller Foundation's Bellagio Center above Lake Como in Italy, where he did research for an article on the past, present and future of payments for ecosystem services. In June, he was the Distinguished Summer Scholar at Vermont Law School. In September, he presented at the BioEcon Conference at King's College, Cambridge University in England. He will speak at the Nicholas Institute for Environmental Policy Solutions' day-long forum in Durham, North Carolina on October 22nd. The subject of the forum is "Leadership in a Time of Rapid Change: Envisioning Solutions to Environmental Challenges."

[Alex Wang](#) joined the China Council for International Cooperation on Environment and Development (CCICED) Task Force on Environmental Rule of Law, an international non-profit which meets regularly and produces policy recommendations for the Chinese government. His comments on Chinese environmental policy have appeared in *Reuters*. He spoke about Asian carbon markets in an interview on *KCRW-FM's "Which Way L.A."* about the U.S.-China Climate-Smart/Low Carbon Cities Summit, through which Los Angeles is one of ten U.S. cities partnering with ten Chinese cities to reduce greenhouse gas emissions.

[Alex Wang](#) and [Megan Herzog](#) hosted a delegation from China's National Development and Reform Commission (NDRC) at UCLA to discuss climate change law and policy on July 13. Michael Gibbs, Asst. Executive Officer of the California Air Resources Board, discussed California's efforts to use a cap-and-trade system for climate change regulation.

[Cara Horowitz](#) was recently named to the California State Bar Environmental Law Section executive committee for a three-year term of service, and to the Steering Committee of the UCLA Sustainable Los Angeles Grand Challenge. She will speak this month on climate change regulation at the California State Bar annual conference in Anaheim and at the bar's Environmental Law Conference at Yosemite. She published op-eds in *Talking Points Memo* and *The Conversation* regarding potential legal challenges to President Obama's recently released Clean Power Plan. On August 3, she was interviewed on KPCC's *Take Two* about Obama's climate change plan as well.

[Sean Hecht](#) and [Megan Herzog](#) continue their work to develop model regulatory language that addresses the unique legal complexity of planning coastal land use. Sean and Megan are working with the City of Oxnard and Sonoma County as "test jurisdictions." They conducted a webinar on September 16th about the legal issues involved in sea-level rise adaptation as part of the USC Sea Grant's [Regional AdaptLA Webinar Series](#).

[Megan Herzog](#) served as a panelist at the UCI Newkirk Center's workshop Fostering Climate Resilient Coastal Communities in Newport Beach, CA in July.

[Ethan Elkind](#)'s comments on transportation and land use policy have appeared on KPCC-FM's "[AirTalk with Larry Mantle](#)," KCBS-FM, KPCC-FM's "[Morning Edition](#)," and KQED-FM. He also traveled to Washington, D.C. and New York City to discuss his recent book, *Railtown*, at the Action Committee on Transit in June and Columbia University's Graduate School of Architecture, Planning and Preservation in September, respectively.

[Virginia Zaunbrecher](#) spoke on how to assess the credibility of scientific toxicological studies at the ShiftCon Eco-Blogging Conference in September.

## Engaging with Local Governments



Since 2012, Emmett/Frankel Fellow [Megan Herzog](#) and Emmett Institute Co-Executive Director [Sean Hecht](#) have been working to provide legal and policy guidance to local governments engaged in planning for sea-level rise and climate-related coastal hazards. The legal context for coastal land use regulation is complex. Local adaptation plans and policies must comply with various federal and state coastal and floodplain management requirements, and must be designed to work with preexisting local land-use laws. Yet, local governments often have limited staff, expertise, and funding to devote to adaptation.

Megan and Sean's prior research has explored the interplay among various legal regimes in the context of coastal adaptation. With funding from the NOAA/USC Sea Grant Program, they are currently working to develop model regulatory language specifically tailored to the needs of California coastal jurisdictions. Model legal provisions will be accompanied by notes and a narrative report that provide contextual information and assist in implementation. Megan and Sean are working closely with the City of Oxnard and

Sonoma County as "test jurisdictions" to assess effectiveness and identify barriers to implementation.

With legal guidance and helpful tools like the Emmett Institute's Model Ordinance for Sea-Level Rise Adaptation, California's coastal regulators will be better equipped to take action to enhance the resilience of our coastal communities.

## Emmett Institute Hosts Major Sustainability Event

On October 10th, the Emmett Institute hosted [California 2030: Local Actions for a Global Impact](#), a symposium organized by the Planning and Conservation League that featured state and local environmental leaders. Keynote speaker California Senate President Pro Tempore Kevin De Leon discussed this year's legislative victories and challenges, as well as his agenda for the upcoming year. State Senator Fran Pavley and California Air Resources Board Chairman Mary Nichols, both Emmett Institute Advisory Board members, discussed California's innovative climate change regulatory programs. Many advocates, business people, and state and local policymakers offered thoughts on California's future energy, transportation, and land use challenges and solutions.

## Environmental Law in the Classroom

The fall semester seminar Climate Change Law and Policy is being co-taught by Emmett Institute Co-Executive Director Cara Horowitz and new part-time faculty member [Henry Stern](#). Stern serves as a senior advisor to State Sen. Fran Pavley on energy and environmental issues. Stern has also worked in the private sector and for Congressman Waxman in DC, where he helped develop the American Clean Energy Security Act. The class explores cutting-edge policy and legal responses to climate change, with an emphasis on California's progress.

## Emmett Institute Welcomes Two New Fellows

The Emmett Institute welcomed two new fellows this year: Julia Forgie, an Emmett/Frankel Fellow in Environmental Law and Policy, and Sarah Duffy, the Shapiro Fellow in Environmental Law and Policy. Both fellowships are two-year positions.

Julia Forgie returns to California after clerking for the Honorable Richard Clifton on the United States Court of Appeals for the Ninth Circuit in Honolulu, Hawaii. Ms. Forgie graduated from Stanford Law School and also earned an M.S. from Stanford's Emmett Interdisciplinary Program in Environment and Resources. Her research interests include climate change mitigation and adaptation, food security, California water rights, land use, and the intersection of climate science and policy.

Sarah Duffy received her JD from the University of Michigan Law School in December, after which she worked for the World Resources Institute in Mexico City for four months on a Bates Fellowship from Michigan Law School. Her research interests include U.S. and international climate policy, transportation and urban planning, water conservation, and energy policy.

## Emmett Institute in the Courts

The Environmental Law Clinic filed two amicus briefs in high-profile California cases. We represented a group of prominent California climate scientists in a brief to the California Supreme Court outlining climate risks to the state, in a case related to long-term transportation planning. And for the environmental nonprofit The Nature Conservancy, we filed a brief helping defend California's cap-and-trade regulation from charges that it is an illegal tax. The Clinic also was on the team representing the plaintiffs in the case *Center for Biological Diversity v. Department of Fish and Wildlife*, which was argued in September in front of the California Supreme Court, and addresses issues relating to the adequacy of environmental review supporting approval of the Newhall Ranch development project north of Los Angeles. Finally, [Sean Hecht](#), along with faculty from UC Hastings, UC Berkeley, and UC Irvine, filed an amicus brief in the California Supreme Court supporting the State's authority to regulate suction-dredge mining on federal lands in California.

## Student News



## Students Around the State and the Globe

This October, over a dozen UCLA law students will be attending the annual Environmental Law Conference at Yosemite, the flagship conference of the State Bar of California Environmental Law Section. They will join Emmett Institute faculty and alumni for the three-day event.

Seven students and five Emmett Institute faculty members will participate in the U.N. climate change conference in Paris in December, helping to support the work of the nonprofit Islands First and meeting with leaders from around the globe. Our delegation will build on work done by UCLA Law in Lima, Peru; Durban, South Africa; and Copenhagen, Denmark in prior years. The negotiations in Paris are widely expected to yield an broad-based international agreement that will serve as the successor to the Kyoto Protocol.

## Emmett Institute Provides Financial Support to Students

The Emmett Institute awarded its second post-graduate fellowship this year. Cameron Goodman, class of 2015, is working at the Environmental Defense Center in Santa Barbara where he will pursue his passion for coastal protection and other environmental challenges.

The Emmett Institute provided tuition scholarships to five incoming students who show great promise to contribute to and advance the field of environmental law.

The Emmett Institute was also able to offer financial support to six students working in public interest environmental law this past summer. Placements included a wide range of offices, such as the California Attorney General's Office, the California Coastal Commission, Earthjustice, and the U.S. Department of Justice.

## Sustainable Technology and Policy Program News

STPP recently completed a report for the California Department of Toxic Substances Control (DTSC) detailing and prioritizing research and knowledge gaps that should be addressed to advance implementation of the [California Safer Consumer Products Program](#). STPP Faculty Director Timothy F. Malloy and Program & Outreach Director Virginia Zaunbrecher are also participating in a national effort to develop a research agenda to advance alternatives analysis (AA)-a method to select safer alternatives to harmful chemicals and processes. Prof. Malloy is leading the AA Community of Practice Decision Analysis group, and Ms. Zaunbrecher is participating in the Hazard Assessment group.

STPP is also expanding its work on assessment of health impacts of the built environment. STPP Program Manager Christina Batteate continues to lead a team of researchers assessing the impact of [CicLAvia](#), most recently at the August 9<sup>th</sup> event. She is also participating in an assessment of the proposed California high speed rail line and presented on the panel "Why Evaluate Open Streets" at the [National Open Streets Summit](#) 2015 from September 25-27<sup>th</sup> in Atlanta, Georgia.

## Where are They Now? New Jobs for Our Alumni

*The Emmett Institute congratulates UCLA Environmental Law Program alumni on securing exciting positions in environmental law!*

**Heather Leslie (JD 2015)** is working as an Honors Attorney at the California Attorney General's Office

**Ryan Berghoff (JD 2015)** is working at the Center for Food Safety

**Jamie Friedland (JD 2014)** is a law clerk for the U.S. District Court for the Southern

District of Florida

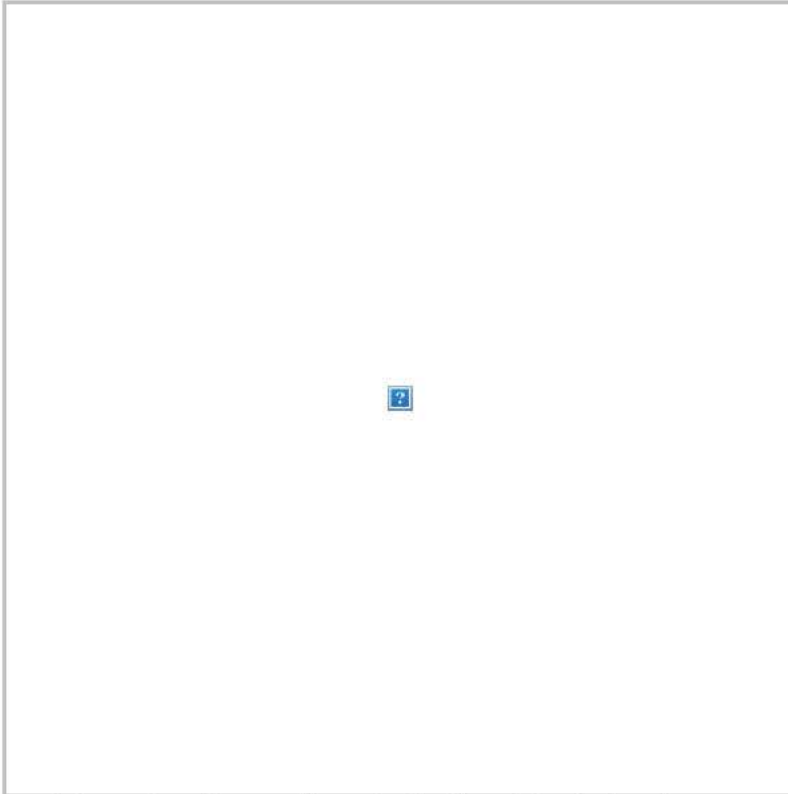
**Jesse Lueders (JD 2013)** is an Honors Attorney at EPA Region IX in San Francisco

**Oscar Espino-Padron (JD 2012)** has joined Earthjustice as an associate attorney in Los Angeles

**Kristin Peer (JD 2007)** has been appointed Assistant General Counsel at the California Environmental Protection Agency

**Maya Golden-Krasner (JD 2001)** is a Staff Attorney at the Los Angeles office of the Center for Biological Diversity, working in its Climate Law Institute

## Everybody's Talking about Legal Planet!



**Legal Planet**, the environmental law and policy blog collaboratively run by UCLA School of Law and UC Berkeley School of Law, has now been cited in over 70 law journal articles! Come check out our latest insight and analysis.

For more information on our work and programs, contact Cara Horowitz ([horowitz@law.ucla.edu](mailto:horowitz@law.ucla.edu)) or Sean Hecht ([hecht@law.ucla.edu](mailto:hecht@law.ucla.edu)). We look forward to hearing your thoughts!

### [Forward email](#)



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UCLA Law Environmental Law Programs | 405 Hilgard Avenue | Los Angeles | CA | 90095

**From:** [Carlson, Ann](#)  
**To:** [Cannon, Jonathan Z. \(Jon\) \(jzc8j\)](#)  
**Subject:** RE: Congratulations  
**Date:** Sunday, October 11, 2015 10:53:43 PM

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Thanks, Jon! We have pretty amazing donors. Unfortunately discretionary is within the confines of the Emmett Institute! But it's still amazing and the gift was matched by Dan and Rae Emmett so it's a million dollars of discretionary, current use money.

So nice to hear from you. Hope all is well.

All best back,

Ann

---

From: Cannon, Jonathan Z. (Jon) (jzc8j) [jzc8j@virginia.edu]  
Sent: Sunday, October 11, 2015 1:42 PM  
To: Carlson, Ann  
Subject: Congratulations

Dear Ann,

Great news on the Ann Carlson Discretionary Fund. What a tribute to your good work and planning. Does "discretionary" mean you can do anything you want with it?

All the best, Jon

**From:** [Carlson, Ann](#)  
**To:** [William C. Boyd](#)  
**Subject:** RE: You, my friend, are living the dream  
**Date:** Tuesday, October 6, 2015 2:58:52 PM

---

Where are you staying in Paris? I didn't get the climate grant to take grad students but am going with the law students. Alex Wang is coming too and we're gonna stay in the same hotel. Just don't know where yet.

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From: William C. Boyd [William.Boyd@colorado.edu]  
Sent: Tuesday, October 06, 2015 2:17 PM  
To: Carlson, Ann  
Subject: FW: You, my friend, are living the dream

From Tony. Maybe a good use of the Ann Carlson discretionary fund?

From: Tony Brunello <tbrunello@calstrat.com<<mailto:tbrunello@calstrat.com>>>  
Date: Tuesday, October 6, 2015 at 3:16 PM  
To: William Boyd <william.boyd@colorado.edu<<mailto:william.boyd@colorado.edu>>>  
Subject: RE: You, my friend, are living the dream

Ok. Believe me, this is an EXCELLENT OPPORTUNITY to put Emmett in the mix here. If they could help sponsor part of event (5-10k) it will be worth its weight in gold. No doubt. In fact, that should just be finders fee.

Caltech, Stanford and Berkeley are all on a feeding frenzy on this. I would LOVE to get UCLA in this. So, do quick. LA is legit.

T



**From:** [Carlson, Ann](#)  
**To:** [William C. Boyd](#)  
**Subject:** RE: You, my friend, are living the dream  
**Date:** Tuesday, October 6, 2015 2:47:38 PM

---

So we could probably sponsor and send Megan? Do you think it's worth it?

Or is Tony just a used car salesman?

---

**From:** William C. Boyd [William.Boyd@colorado.edu]  
**Sent:** Tuesday, October 06, 2015 2:17 PM  
**To:** Carlson, Ann  
**Subject:** FW: You, my friend, are living the dream

From Tony. Maybe a good use of the Ann Carlson discretionary fund?

**From:** Tony Brunello <tbrunello@calstrat.com<<mailto:tbrunello@calstrat.com>>>  
**Date:** Tuesday, October 6, 2015 at 3:16 PM  
**To:** William Boyd <william.boyd@colorado.edu<<mailto:william.boyd@colorado.edu>>>  
**Subject:** RE: You, my friend, are living the dream

Ok. Believe me, this is an EXCELLENT OPPORTUNITY to put Emmett in the mix here. If they could help sponsor part of event (5-10k) it will be worth its weight in gold. No doubt. In fact, that should just be finders fee.

Caltech, Stanford and Berkeley are all on a feeding frenzy on this. I would LOVE to get UCLA in this. So, do quick. LA is legit.

T

**From:** [Carlson, Ann](#)  
**To:** [Adell Amos](#)  
**Subject:** RE: News from UCLA Law  
**Date:** Monday, October 12, 2015 3:48:00 PM

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Thanks, Adell. Very honored!

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**From:** Adell Amos [mailto:[aamos@uoregon.edu](mailto:aamos@uoregon.edu)]  
**Sent:** Monday, October 12, 2015 3:15 PM  
**To:** Carlson, Ann <[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)>  
**Subject:** FW: News from UCLA Law

Great newsletter. I love the Ann Carlson discretionary fund!! Great name. Congratulations and what a testament to your fine work!

Best,

Adell

*Adell L. Amos, Associate Dean  
Clayton R. Hess Professor of Law  
University of Oregon, School of Law  
1515 Agate Street  
Eugene, OR 97405  
[aamos@uoregon.edu](mailto:aamos@uoregon.edu)  
541-346-3826*

---

**From:** UCLA Law News <[news=law.ucla.edu@cmail20.com](mailto:news=law.ucla.edu@cmail20.com)> on behalf of UCLA Law News <[news@law.ucla.edu](mailto:news@law.ucla.edu)>  
**Reply-To:** "[news@law.ucla.edu](mailto:news@law.ucla.edu)" <[news@law.ucla.edu](mailto:news@law.ucla.edu)>  
**Date:** Monday, October 12, 2015 at 2:48 PM  
**To:** Adell Amos <[aamos@uoregon.edu](mailto:aamos@uoregon.edu)>  
**Subject:** News from UCLA Law





## A New Leader Emerges

### *Dean Jennifer L. Mnookin Takes the Helm*

UCLA School of Law welcomes [Jennifer L. Mnookin](#) as the law school's ninth—and third female—dean. A leading evidence scholar and a member of the UCLA Law faculty since 2005, she assumed the position in August. An award-winning teacher, an eminent legal scholar and a valued colleague, she has played an important role in shaping the law school's rich and collaborative intellectual community. She [shares](#) her path to the deanship and her goals for catalyzing UCLA Law's forward momentum in a recent *UCLA Law Magazine* article and Q&A discussion. With her extensive knowledge and experience, intellectual and institutional creativity, and commitment to the law school's traditions of access, innovation and excellence, UCLA Law is poised for even greater success under her leadership.



## Friends of the Court

### *From amicus briefs to appellate litigation, the UCLA Law faculty is making an impact*

You didn't have to be a constitutional law expert in 2015 to grasp the impact of federal court decisions on everyday life in America. Big issues before the U.S. Supreme Court dominated the headlines, from same-sex couples' right to marry to the solvency of federally-run health insurance exchanges. Behind those headlines, observers willing to dig a bit deeper quickly discovered the fingerprints of prominent [UCLA Law scholars](#), whose research and amicus briefs were cited in some of the year's most important decisions.

## Gift Establishes Chair in Legal Ethics

### *Professor Scott Cummings Named Inaugural Robert Henigson Professor of Legal Ethics*

UCLA School of Law has received a \$2 million [gift](#) from Meyer Luskin, a UCLA alumnus and the chairman, president and CEO of Scope Industries, to establish the Robert Henigson Endowed Chair in Legal Ethics. Luskin made the gift to commemorate his longstanding relationship with his friend and attorney, Robert Henigson, a prominent lawyer and philanthropist. Professor [Scott Cummings](#), a distinguished member of the faculty since 2002, has been named the inaugural Robert Henigson professor of legal ethics in recognition of his contributions to our understanding of legal ethics and the legal profession.

## Professor Kang Appointed UCLA's Inaugural Vice Chancellor for Equity, Diversity and Inclusion

Professor [Jerry Kang](#) was [appointed](#) as UCLA's inaugural vice chancellor for equity, diversity and inclusion, and he assumed the position on July 1. In this capacity, he will serve as the senior campus official responsible for promoting equity and fundamental fairness at UCLA, leading and coordinating efforts to create a diverse, inclusive and welcoming environment for all students, staff, faculty, alumni and community partners.

A renowned scholar and a member of the UCLA Law faculty since 1995, Professor Kang has published influential research in numerous fields and is a leading scholar on implicit bias. He helped to establish the law school's Critical Race Studies Program and served as its founding co-director. He has advised multiple campus affinity organizations and journals, has served on numerous diversity task forces and contributes to various community and research-based advocacy efforts.

## Professor Eagly's Research Expands Understanding of Immigrant Representation

Professor [Ingrid Eagly](#), the newly-appointed faculty director of the [David J. Epstein Program in Public Interest Law and Policy](#), has authored two groundbreaking forthcoming articles based on new data related to immigration courts and the representation of immigrants. In the first national study of access to counsel in immigration courts, "[A National Study of Access to Counsel in Immigration Court](#)," 165 *University of Pennsylvania Law Review* (forthcoming, 2015), Professor Eagly and Steven Shafer '15 analyzed more than 1.2 million immigration cases decided between 2007 and 2012. They found that only 37% of all immigrants, and a mere 14% of detained immigrants, were represented by counsel. Moreover, having an attorney made a difference—for example, immigrants in detention won their cases at a rate 10.5 times greater than those who proceeded pro se. In addition, Professor Eagly's article "[Remote Adjudication in Immigration](#)," 109 *Northwestern University Law Review* (forthcoming, 2015), is the first empirical study of the use of televideo technology to adjudicate immigration court cases over a television screen, rather than in a traditional, in-person courtroom.

Professor Eagly also recently accompanied students Rocío Sánchez '16, Sonia Gutiérrez '16 and Jana Whalley '17 on a week-long [trip](#) to Dilley, Texas, to provide volunteer legal assistance to female asylum seekers and their children incarcerated in the South Texas Family Residential Center—the nation's largest immigrant detention facility. Working as part of the CARA Family Detention Pro Bono Project (CARA), they assisted women and children fleeing violence and persecution in Central America and Mexico in their credible-fear asylum interviews and bond hearings.

## International Human Rights Clinic Students Document Human Rights Violations in Los Angeles County Jails

*Clinic students also work to improve access to information for Syrian refugees*

Students in UCLA School of Law's [International Human Rights Clinic](#) drafted a report that documents human rights violations resulting from medical neglect of women of color incarcerated in the Los Angeles County jail system. On behalf of [Dignity & Power Now](#), the students conducted research, developed an interview protocol and surveyed ex-inmates to document access to health care, including mental health care, in the jails, as well as the consequences inmates faced when treatment and medicine were not made available. The report, "[Breaking the Silence: Civil and Human Rights Violations Resulting from Medical Neglect and Abuse of Women of Color in Los Angeles County Jails](#)," released in August, details how Los Angeles County Sheriff's Department Deputies and other personnel regularly denied the women interviewed vital mental and physical health care services. It underscores that the medical neglect violates domestic civil rights law, regional human rights law and international human rights law. Assistant Professor [Tendayi Achiume](#), who leads the clinic, supervised the students' research

and edited the report.

On behalf of the Syrian League for Citizenship (a Syrian-led non-governmental organization in Lebanon), students in the clinic also worked to improve Syrian refugee access to legal and other services. The students created a guide detailing information dissemination strategies that have been successful in improving access to vital information during refugee crises. The guide will be translated into Arabic by the Syrian League for Citizenship, and distributed widely to refugees and their advocates in Lebanon.

## Williams Institute Research Impacts Marriage Equality

*Williams Institute Research Director Gary Gates was cited in the U.S. Supreme Court's historic decision legalizing marriage equality nationwide.*

In June, the U.S. Supreme Court ruled that the Constitution requires marriage equality, extending “equal dignity” to one million same-sex couples nationwide. The historic decision cited an amicus brief by Blachford-Cooper Distinguished Scholar and Research Director [Gary Gates](#) and his research on the families of same-sex couples. The Williams Institute’s [research](#) was cited in more than half of the briefs filed in the Supreme Court case. The decision legalized marriage equality in the last 13 states that still banned it, states in which same-sex couples are more likely to have children, to earn less and to be racial or ethnic minorities, according to Williams Institute analyses. Approximately 70,000 same-sex couples are expected to marry in these states in the next three years.

## J.D. Specialization in International and Comparative Law Launches

In the spring, UCLA Law’s [International and Comparative Law Program](#) launched a [specialization](#) in International and Comparative Law for J.D. students. The specialization is designed for students pursuing advanced study in international and comparative law, including international human rights law, and for those who intend to practice in those fields. More than 40 2L and 3L students are enrolled for the 2015-16 academic year. Students in the specialization can choose to structure their own curriculum to focus on any number of priorities, such as public international law, comparative constitutional law, international human rights law, international criminal law, international trade law and international intellectual property law, among others. The law school also offers a [specialization](#) for LL.M. students.

## U.S. Supreme Court Grants Petition in UCLA Law Supreme Court Clinic Case

The U.S. Supreme Court recently granted the petition for certiorari filed by Professor [Stuart Banner](#) and UCLA Law’s [Supreme Court Clinic](#) on behalf of Jorge Torres in the case [Torres v. Lynch](#). Professor Banner and students in the clinic drafted and filed the petition in March and a reply brief in the case in May. The case addresses whether the conviction of a crime of arson under state law is an “aggravated felony” that can lead to deportation of a lawful permanent resident in the United States. Professor Banner, who leads the clinic, is working with students in preparation for the oral argument, which is scheduled for Tuesday, November 3, 2015.

## Shapiro Family Charitable Foundation Gift Supports the Emmett Institute

The Shapiro Family Charitable Foundation has made a gift of \$500,000 to establish the [Ann Carlson](#)



Discretionary Fund, which will be used to support the [Emmett Institute on Climate Change and the Environment](#). The fund will be used for a wide variety of needs and opportunities, including graduate and undergraduate scholarships, fellowships, faculty projects and research. The gift will be matched through the Dan and Rae Emmett Matching Gift Challenge, doubling its impact.

Ralph '58 and Shirley Shapiro have deep and lasting ties to UCLA and a long history of philanthropic support to UCLA Law. They have endowed four chairs at UCLA Law, including the Shirley Shapiro Chair in Environmental Law. A long-time member of the UCLA Foundation Board of Directors, Ralph Shapiro has served as president of the UCLA School of Law Alumni Association and as a member of the law school's board of advisors. Shirley Shapiro is a member of the Emmett Institute advisory board.

## Lowell Milken Institute Explores Diverging Points of View on Activist Funds

In March, the Lowell Milken Institute for Business Law and Policy issued the "[2015 Private Fund Report: The Role of Activist Funds](#)" and held the second annual Private Funds Conference. This year's [conference](#) focused on the current role of activist funds, which have taken the lead in challenging corporate management to deliver value to company shareholders. The 2015 conference attracted more than 80 attendees, including fund managers, investors, financial advisors, lawyers, academics, students and members of the public. It featured leading participants in the world of activist funds, including keynote speaker Anne Sheehan, director of corporate governance at CalSTRS, who explained why CalSTRS invests in activist funds.

## Inaugural UCLA-Harvard Food Law and Policy Conference Focuses on Transparency in the Food System

In October 2014, the [Resnick Program for Food Law and Policy](#) and the [Food Law Lab at Harvard Law School](#) hosted the first annual UCLA-Harvard Food Law and Policy Conference, "[Transparency in the Global Food System: What Information and to What Ends?](#)" The sold-out conference brought together notable academics and practitioners for a discussion of the issue of transparency in the food system. Panel topics addressed the meaning of transparency, the role of the consumer in driving changes in the food system and whether transparency can help address problems in the food industry. Dr. David A. Kessler, former commissioner of the United States Food and Drug Administration and current professor at the University of California, San Francisco Medical School, delivered the keynote presentation on "The End of Overeating."

## Susan Akens Named Executive Director of Entertainment, Media, and Intellectual Property Law Program

[Susan Akens](#), previously executive vice president, business affairs, at CBS Studios International, has been [appointed](#) executive director of UCLA School of Law's Entertainment, Media, and Intellectual Property Law Program. She joined the law school in July, replacing David R. Ginsburg who retired in June.

Akens was named executive vice president, business affairs, at Paramount Pictures International Television in 2003, a division that was merged into CBS Studios International in 2006. In this position, she oversaw all international business and legal affairs, set strategy for the division and led a team based in the United States and Europe. She previously served as special counsel for the entertainment department of O'Melveny & Myers LLP, representing clients in a broad variety of entertainment and multimedia transactions.

## Seventh Critical Race Studies Symposium Celebrates the Work of Professor Cheryl Harris

In October 2014, nearly 400 scholars, advocates and activists from around the globe came together for the Seventh Annual Critical Race Studies Symposium, "[Whiteness as Property: A Twenty-Year Appraisal](#)." The two-day event celebrated one of the seminal texts of Critical Race Theory, "Whiteness as Property," which was written by UCLA Law Professor [Cheryl Harris](#), Rosalinde and Arthur Gilbert professor in civil rights and civil liberties, and published in 1993 in the *Harvard Law Review*. The participants reflected on the political, legal and intellectual context out of which "Whiteness as Property" emerged, explored the article's impact, and considered how its theoretical arguments have shaped contemporary civil rights debates, pedagogy, legal practice and social movement organizing. Professor Harris delivered the event's keynote address, discussing the personal and political genealogy of the article.

## UCLA Law Inaugurates Transnational Program on Criminal Justice

The 2014-15 academic year marked the inauguration of the law school's [Transnational Program on Criminal Justice \(TPCJ\)](#). Led by Professor [Máximo Langer](#), the program fosters research and discussion on issues of international criminal justice systems and sponsors events to engage students and the criminal justice and comparative and international law scholarly community.

In February, the program hosted the Latin American Criminal Justice in Action workshop, bringing together leading researchers working on Latin American criminal justice systems. TPCJ also launched the UCLA Criminal Justice Faculty Workshop, convening faculty members and doctoral students throughout UCLA whose work is focused on criminal justice issues. In the coming year, the program is planning an international, cross-disciplinary conference on the relationship between prosecutors and democracy in multiple countries and from different theoretical positions.

## Environmental Law Clinic Students Participate in UN Climate Talks in Peru

Students in the [Frank G. Wells Environmental Law Clinic](#) received [hands-on experience](#) advising on climate change issues as delegates at the 20th annual Conference of Parties (COP20) to the United Nations' Framework Convention on Climate Change. The students traveled to Lima, Peru, in December with [Cara Horowitz](#), Andrew Sabin Family Foundation co-executive director of the Emmett Institute on Climate Change and the Environment and co-director of the clinic. In Peru, they acted as legal advisors, attending negotiation sessions and providing legal analyses and other support to clinic client Islands First, which assists small, developing island nations in international negotiations. This is the third time that UCLA Law students have participated in the climate talks; students attended the UN climate conferences in Copenhagen, Denmark, in 2009 and Durban, South Africa, in 2011. A group of students is scheduled to participate in the upcoming climate change conference in Paris, France, in November and December 2015.

## UCLA Law Launches Initiatives to Connect Alumni

UCLA Law recently launched new initiatives to help alumni connect and foster networking and mentoring opportunities. In January, the law school hosted an intimate discussion with Senator Kirsten Gillibrand '91 to mark the official launch of [UCLA Law Women LEAD](#), an intergenerational network of distinguished alumnae aimed at empowering and supporting them in their careers. In March, the law



school celebrated the launch of UCLA Law Unite, an initiative to connect alumni of all backgrounds with students from many of the organizations and journals focused on the diverse student community for mentoring, professional development and other engagement opportunities. [UCLA Law Connect](#), a digital networking platform exclusive to UCLA Law alumni, was also created to provide a meaningful, seamless way for alumni to stay in touch with the law school and with each other.

## New Faculty Appointments



[Leslie Johns](#) holds a joint appointment at UCLA School of Law and in the UCLA Department of Political Science, where she is associate professor of political science. She joined the UCLA faculty in 2008, and she was a visiting associate research scholar at Princeton University's Niehaus Center for Globalization and Governance from 2012 to 2013. Professor Johns' research and writing focuses on the intersection of international law and international relations.



[Douglas NeJaime](#), faculty director of the Williams Institute, was a visiting professor of law at UCLA School of Law for the 2014-15 academic year. Before joining the UCLA Law faculty, he was professor of law at UC Irvine (UCI) School of Law, teaching in the areas of family law, law and sexuality, constitutional law and legal ethics. Previously, he was associate professor of law at Loyola Law School in Los Angeles and the Sears law teaching fellow at the Williams Institute at UCLA School of Law.



[James Salzman](#) is the Donald Bren distinguished professor of environmental law with joint appointments at UCLA School of Law and at the Bren School of the Environment at UC Santa Barbara. He formerly held joint appointments at Duke University as the Samuel F. Mordecai Professor of Law and Nicholas Institute Professor of Environmental Policy. In more than eight books and eighty articles and book chapters, his broad-ranging scholarship has addressed topics spanning drinking water, trade and environment conflicts, policy instrument design, and the legal and institutional issues in creating markets for ecosystem services.

## Faculty Scholarship, News and Announcements

*During the past year, UCLA School of Law's distinguished faculty members have published a host of notable [books](#) covering a range of diverse topics and have engaged in a wide range of [scholarly pursuits](#). They are also consistently recognized for their excellence and for their contributions to scholarship and the profession. These are only a few of the many recent examples.*

[Professor Blasi Receives CLAY Award](#)

[Professor Crenshaw Named Among the Top 25 Women in Higher Education](#)

[Professors Crenshaw and Nanda and Alumna Priscilla Ocen Publish Report Exploring Impact of Zero Tolerance Policies on Girls of Color](#)

[Assistant Dean Herrera Receives Hispanic National Bar Foundation's Academic Leadership Award](#)

[Professor Klee Receives American College of Bankruptcy Distinguished Service Award](#)

[Three Articles by Professor Korobkin Listed Among Top 25 Most Cited Contract Law Articles of Last 25 Years](#)

[Professor López Awarded 2015 AALS Deborah L. Rhode Award](#)

[Dean Mnookin Appointed to National Academy of Sciences' Committee on Science, Technology, and Law](#)

Dean Emerita Moran Selected by American Bar Foundation as Inaugural Neukom Fellows Research  
Chair in Diversity and Law  
[Professors Schwartz and Wonsowicz Honored with UCLA's Distinguished Teaching Award](#)  
[Professor Shifrin Discusses \*Speech Matters: On Lying, Morality, and the Law\*](#)  
[Professor Volokh Honored by the Carnegie Corporation as a "Great Immigrant"](#)  
[Professor Zatz Awarded John Randolph Haynes Foundation Faculty Fellowship](#)



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**From:** [Carlson, Ann](#)  
**To:** [Yu, Steve](#)  
**Cc:** [Power, John](#); [Trevino, Jason](#); [Hecht, Sean](#); [Horowitz, Cara](#); [Parson, Edward](#)  
**Subject:** RE: Leadership Gift from Shapiro Family Charitable Foundation to Support Emmett Institute on Climate Change and the Environment  
**Date:** Tuesday, September 29, 2015 5:45:18 PM

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Thanks, Steve. The Emmett team has been talking about the money and we got authorization to hire an additional fellow once we knew the gift would be coming in. Beyond that, we're just treating it as additional discretionary money that we can use over the next several years.

Hope everyone is well. Rough game last night, John!

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

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**From:** Yu, Steve  
**Sent:** Tuesday, September 29, 2015 12:42 PM  
**To:** Carlson, Ann  
**Cc:** Power, John; Trevino, Jason  
**Subject:** FW: Leadership Gift from Shapiro Family Charitable Foundation to Support Emmett Institute on Climate Change and the Environment

Dear Ann,  
Congratulations on this new fund being established in your name! I just wanted to touch base with you to see if we should talk about how we should allocate/spend the funds? This is not a rush at all and we won't touch it until we hear directly from you. But if you do have a plan, please let us know. If you don't have a plan, we're happy to wait to spend it.

Thanks, Steve

**From:** Mnookin, Jennifer  
**Sent:** Tuesday, September 29, 2015 10:16 AM  
**To:** All Faculty & Staff  
**Subject:** Leadership Gift from Shapiro Family Charitable Foundation to Support Emmett Institute on Climate Change and the Environment

Dear Colleagues:

It gives me great pleasure to announce that the Emmett Institute on Climate Change and the Environment has received a \$500,000 gift from the Shapiro Family Charitable Foundation to establish the Ann Carlson Discretionary Fund. The gift, which will be used to support the work of the Emmett Institute, will be matched through the previously-announced Dan and Rae Emmett Matching Gift Challenge, doubling its impact. The fund will be used to support a variety of needs and opportunities, including graduate and undergraduate scholarships, fellowships, faculty projects and research.

The Shapiros have deep and lasting ties to UCLA and a long history of exceptional philanthropic support to UCLA Law. They have endowed a remarkable four chairs at UCLA Law, including the Shirley Shapiro Chair in Environmental Law. Long visible in her philanthropic engagement, Shirley Shapiro is a member of the Emmett Institute advisory board, the board of directors of UCLA Women & Philanthropy and the board of visitors for UCLA's School of Arts and Architecture. Ralph Shapiro '58 is a longtime member of the UCLA Foundation Board of Directors, has served as president of the UCLA School of Law Alumni Association and as a member of UCLA School of Law's board of advisors. In recognition of his outstanding work to sustain and advance UCLA School of Law as a prominent institution of higher learning, he received the 2008 Alumni of the Year Award for Public and Community Service and was named the 1983 Alumnus of the Year.

In 2014, UCLA School of Law received a generous \$1.5 million matching gift challenge from the Emmett Family

Foundation to increase the law school's capacity to advance law and policy solutions to pressing environmental issues. The Emmett family has been greatly concerned for many years about environmental issues and is proactively involved in supporting environmental organizations and initiatives. Their remarkable 2008 gift to UCLA Law established the Emmett Center on Climate Change and the Environment, which was expanded in 2014 through an additional \$1 million leadership gift from the Emmett Family Foundation.

We are extremely grateful for the generosity of Ralph and Shirley Shapiro, as well as that of Dan and Rae Emmett. I so appreciate and am thankful for their abiding commitment to the Emmett Institute on Climate Change and the Environment and to UCLA School of Law.

Warmly,

[cid:image004.jpg@01D0FA08.8541F8C0]

Jennifer L. Mnookin

Dean and David G. Price & Dallas P. Price Professor of Law

Co-Director, PULSE@UCLA Law

(310) 825-8202

[cid:image003.png@01D0FA08.2AFC8920]

**From:** [Carlson, Ann](#)  
**To:** [Michaels, Jon](#)  
**Cc:** [Mnookin, Jennifer](#)  
**Subject:** RE: The Ann Carlson Discretionary Fund  
**Date:** Tuesday, September 29, 2015 10:47:22 AM

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:)

thanks, Jon!

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

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From: Michaels, Jon  
Sent: Tuesday, September 29, 2015 10:46 AM  
To: Carlson, Ann  
Cc: Mnookin, Jennifer  
Subject: The Ann Carlson Discretionary Fund

What a great gift and honor. The name of the gift is fantastic, but the wording made me chuckle... There's a huge difference between The Ann Carlson Discretionary Fund and Ann Carlson's Discretionary Fund.

Congrats to both of you!!

**From:** [Carlson, Ann](#)  
**To:** [Ginsburg, David](#)  
**Subject:** RE: Leadership Gift from Shapiro Family Charitable Foundation to Support Emmett Institute on Climate Change and the Environment  
**Date:** Tuesday, September 29, 2015 10:45:49 AM

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Thanks! For whatever reason I got on the Shapiros' good side long ago and once you're on their team they're ridiculously kind and generous.

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**From:** Ginsburg, David  
**Sent:** Tuesday, September 29, 2015 10:41 AM  
**To:** Carlson, Ann  
**Subject:** RE: Leadership Gift from Shapiro Family Charitable Foundation to Support Emmett Institute on Climate Change and the Environment

You deserve it.

DAVID R. GINSBURG (Retired)  
UCLA School of Law, Room 3243B  
385 Charles Young Drive East  
Los Angeles, CA 90095  
310 433-6366 (telephone)  
310 267-2072 (fax)

--- Original message ---

**From:** Carlson, Ann <carlson@law.ucla.edu>  
**Date:** September 29, 2015 10:34:44 AM  
**Subject:** RE: Leadership Gift from Shapiro Family Charitable Foundation to Support Emmett Institute on Climate Change and the Environment  
**To:** Ginsburg, David <GINSBURG@law.ucla.edu>

Thanks, David! Just Ralph being kind to me.

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

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**From:** Ginsburg, David  
**Sent:** Tuesday, September 29, 2015 10:24 AM  
**To:** Carlson, Ann  
**Subject:** Leadership Gift from Shapiro Family Charitable Foundation to Support Emmett Institute on Climate Change and the Environment

Ann,

Congratulations to you on the honor of the gift's name (which isn't exactly explained).

Still, cheers!

DRG

DAVID R. GINSBURG (Retired)  
UCLA School of Law, Room 3243B  
385 Charles Young Drive East  
Los Angeles, CA 90095  
310 433-6366 (telephone)  
310 267-2072 (fax)

--- Forwarded message ---

From: Mnookin, Jennifer <MNOOKIN@law.ucla.edu>  
Date: September 29, 2015 10:16:15 AM  
Subject: Leadership Gift from Shapiro Family Charitable Foundation to Support Emmett Institute on Climate Change and the Environment  
To: All Faculty & Staff <FacStaff@law.ucla.edu>

Dear Colleagues:

It gives me great pleasure to announce that the Emmett Institute on Climate Change and the Environment has received a \$500,000 gift from the Shapiro Family Charitable Foundation to establish the Ann Carlson Discretionary Fund. The gift, which will be used to support the work of the Emmett Institute, will be matched through the previously-announced Dan and Rae Emmett Matching Gift Challenge, doubling its impact. The fund will be used to support a variety of needs and opportunities, including graduate and undergraduate scholarships, fellowships, faculty projects and research.

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In 2014, UCLA School of Law received a generous \$1.5 million matching gift challenge from the Emmett Family Foundation to increase the law school's capacity to advance law and policy solutions to pressing environmental issues. The Emmett family has been greatly concerned for many years about environmental issues and is proactively involved in supporting environmental organizations and initiatives. Their remarkable 2008 gift to UCLA Law established the Emmett Center on Climate Change and the Environment, which was expanded in 2014 through an additional \$1 million leadership gift from the Emmett Family Foundation.

We are extremely grateful for the generosity of Ralph and Shirley Shapiro, as well as that of Dan and Rae Emmett. I so appreciate and am thankful for their abiding commitment to the Emmett Institute on Climate Change and the Environment and to UCLA School of Law.

Warmly,

[cid:part19052.1501a221e18.273e.c8c530574a2636d4413c39a6e00214c2@law.ucla.edu]

Jennifer L. Mnookin

Dean and David G. Price & Dallas P. Price Professor of Law

Co-Director, PULSE@UCLA Law

(310) 825-8202

[cid:part19051.1501a221e18.273e.c8c530574a2636d4413c39a6e00214c2@law.ucla.edu]



**From:** [Carlson, Ann](#)  
**To:** [Williams, Lindsey](#); [Ralph J. Shapiro Esq.](#); [shirleyshapiro](#) [REDACTED]  
**Cc:** [Parson, Edward](#); [Colin, Donna](#)  
**Subject:** RE: Emmett Board meeting  
**Date:** Monday, June 1, 2015 5:22:00 PM

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Dear Shirley and Ralph,

It was a wonderful moment when Ted announced your incredible gift and just hearing him announce it makes me teary-eyed. I am so touched by your incredible generosity and your faith in me and the Emmett Institute. You will increase our capacity and influence tremendously through this extraordinary gift and I am so, so grateful.

And we missed you so much today, Shirley.

Sending love to you both,

Xoxoxo

Ann

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**From:** Williams, Lindsey  
**Sent:** Monday, June 01, 2015 3:51 PM  
**To:** Ralph J. Shapiro Esq. ; shirleyshapiro [REDACTED]  
**Cc:** Parson, Edward; Carlson, Ann; Colin, Donna  
**Subject:** Emmett Board meeting

Dear Ralph and Shirley,

Shirley – you were missed today at the Emmett Board meeting, and I wanted to share the wonderful announcement Ted made to the board about your very generous gift. The sound quality isn't great because I used the video from my phone, but I hope you can hear the applause and enthusiasm from the board. Both Dan and Rae were thrilled that you established The Ann Carlson Discretionary Fund and that their match will double the impact of your very generous gift.

Please see video below and if you have any issues viewing it, please let me know and I can run over a copy on a jump drive that you can view on your computer. <https://ucla.box.com/Shapiro>

Thank you both again. I am looking forward to seeing the deep impact your gift will make for the Emmett Institute and the lives of our environmental law students.

Sincerely, Lindsey

Lindsey A. Williams,  
Associate Dean, External Affairs  
UCLA School of Law  
Box 951476  
Los Angeles, CA 90095-1476

Phone: 310-206-1122  
Fax: 310-825-4044  
E-mail: [williams@law.ucla.edu](mailto:williams@law.ucla.edu)



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**From:** Carlson, Ann  
**To:** [Ralph J Shapiro](#); [Williams, Lindsey](#)  
**Cc:** "[Carol Buge \(cbuge@support.ucla.edu\)](mailto:cbuge@support.ucla.edu)"  
**Subject:** RE: Ann Carlson discretionary fund  
**Date:** Monday, May 25, 2015 7:09:50 PM

---

Ann Carlson  
Vice Dean and Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

---

From: Ralph J Shapiro [REDACTED]  
Sent: Sunday, May 24, 2015 9:01 PM  
To: Williams, Lindsey  
Cc: 'Carol Buge (cbuge@support.ucla.edu)'; Carlson, Ann  
Subject: Ann Carlson discretionary fund

Lindsey:

Here are the executed documents. The check was mailed.

Carol Buge will let you know the Fund # that already exists

Thanks for your help. It will be wonder when that generous and wonderful Emmet matching funds are added to it.  
please keep me posted.  
I hope that others will add to this Fund over time.

**From:** [Carlson, Ann](#)  
**To:** [Parker, Laura](#)  
**Subject:** RE: Ann Carlson Discretionary Fund \$500,000 (not an endowment)  
**Date:** Thursday, May 14, 2015 8:27:06 PM

---

So what we would really like is quasi-endowment so that we can spend the amount over some set number of years, probably 5-7. As you probably know Dan will match this money over the course of the next two years in current use dollars (in addition to other current use money he's given us and some additional match money). We'd like the discretion to use the money when we need it and to smooth out ups and downs in revenue. Dan's gift will end in 2-3 years (though he's likely to renew it) so it would be helpful to have the discretion to spend some of it when/if Dan's gift ends.

Should I coordinate with Lindsey about this? As you can tell, he's irritated with Lindsey, not sure entirely why. I think he doesn't feel like she pays enough attention to him.

Ann Carlson  
Vice Dean and Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

---

From: Parker, Laura [lparker@support.ucla.edu]  
Sent: Thursday, May 14, 2015 2:41 PM  
To: Carlson, Ann  
Subject: FW: Ann Carlson Discretionary Fund \$500,000 (not an endowment)

If you would prefer endowment, I could call him and ask him about that. I think I could gently try in a way that wouldn't upset him, if that's what you prefer . . . .  
But totally your call

Laura Lavado Parker  
Assistant Vice Chancellor, Professional Schools  
UCLA Development  
10920 Wilshire Boulevard, suite 1400  
310 794-2304

-----Original Message-----

From: Ralph J Shapiro [REDACTED]  
Sent: Thursday, May 14, 2015 2:39 PM  
To: Carlson, Ann E.  
Cc: Buge, Carol; Parker, Laura  
Subject: RE: Ann Carlson Discretionary Fund \$500,000 (not an endowment)

Ann  
The pressure is off. The money is on its way. Have the law school write the letter any way they want, as long as it is clear these funds are for you to use at your sole discretion, in any manner you choose. It is NOT an endowment which would only give you \$12,500 a year -- cannot do much with that these days.

Ralph Shapiro

[REDACTED]  
9401 Wilshire Blvd, #1201

Beverly Hills, Ca 90212  
tel: 310-550-0960  
fax: 310-205-3879

-----Original Message-----

From: Carlson, Ann [<mailto:carlson@law.ucla.edu>]

Sent: Thursday, May 14, 2015 2:35 PM

To: Ralph J Shapiro

Cc: Carol Buge ([cbuges@support.ucla.edu](mailto:cbuges@support.ucla.edu)); Laura Parker ([lparker@support.ucla.edu](mailto:lparker@support.ucla.edu)); Carlson, Ann

Subject: Re: Ann Carlson Discretionary Fund \$500,000 (not an endowment)

Thanks, Ralph! We are working on a letter and will get it to you asap. You and Shirley are the best!

On May 14, 2015, at 4:30 PM, Ralph J Shapiro  >>  
wrote:

Dear Carol

Attached are instructions Shirley sent to California Community Foundation to send \$500,000 to UCLA Foundation, your attention, to fund the Ann Carlson Discretionary Fund at the Law School.

Eventually someone will produce a letter, which I know will be ok if it satisfies the purpose of the Fund <UCLA ANN CARLSON \$500,000 DISCRETIONARY.pdf>

**From:** [Carlson, Ann](#)  
**To:** [Ralph J Shapiro](#)  
**Cc:** [Carol Buge \(cbuge@support.ucla.edu\)](mailto:cbuge@support.ucla.edu); [Laura Parker \(lparker@support.ucla.edu\)](mailto:lparker@support.ucla.edu)  
**Subject:** RE: Ann Carlson Discretionary Fund \$500,000 (not an endowment)  
**Date:** Thursday, May 14, 2015 8:20:32 PM

---

As I said, you are fabulous. I [REDACTED]

[REDACTED] We will figure out the best language and send to you soon.

Have fun with Carl tomorrow night! We'll be on a plane heading back.

xo

Ann Carlson  
Vice Dean and Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

---

**From:** Ralph J Shapiro [REDACTED]  
**Sent:** Thursday, May 14, 2015 2:38 PM  
**To:** Carlson, Ann  
**Cc:** Carol Buge ([cbuge@support.ucla.edu](mailto:cbuge@support.ucla.edu)); Laura Parker ([lparker@support.ucla.edu](mailto:lparker@support.ucla.edu))  
**Subject:** RE: Ann Carlson Discretionary Fund \$500,000 (not an endowment)

Ann

The pressure is off. The money is on its way. Have the law school write the letter any way they want, as long as it is clear these funds are for you to use at your sole discretion, in any manner you choose. It is NOT an endowment which would only give you \$12,500 a year -- cannot do much with that these days.

Ralph Shapiro

[REDACTED]  
9401 Wilshire Blvd, #1201  
Beverly Hills, Ca 90212  
tel: 310-550-0960  
fax: 310-205-3879

-----Original Message-----

**From:** Carlson, Ann [<mailto:carlson@law.ucla.edu>]  
**Sent:** Thursday, May 14, 2015 2:35 PM  
**To:** Ralph J Shapiro  
**Cc:** Carol Buge ([cbuge@support.ucla.edu](mailto:cbuge@support.ucla.edu)); Laura Parker ([lparker@support.ucla.edu](mailto:lparker@support.ucla.edu)); Carlson, Ann  
**Subject:** Re: Ann Carlson Discretionary Fund \$500,000 (not an endowment)

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Eventually someone will produce a letter, which I know will be ok if it satisfies the purpose of the Fund <UCLA ANN CARLSON \$500,000 DISCRETIONARY.pdf>



**From:** [Carlson, Ann](#)  
**To:** [Ralph J Shapiro](#)  
**Cc:** [Carol Buge \(cbuge@support.ucla.edu\)](#); [Laura Parker \(lparker@support.ucla.edu\)](#); [Carlson, Ann](#)  
**Subject:** Re: Ann Carlson Discretionary Fund \$500,000 (not an endowment)  
**Date:** Thursday, May 14, 2015 2:34:40 PM

---

Thanks, Ralph! We are working on a letter and will get it to you asap. You and Shirley are the best!

On May 14, 2015, at 4:30 PM, Ralph J Shapiro [REDACTED] wrote:

Dear Carol

Attached are instructions Shirley sent to California Community Foundation to send \$500,000 to UCLA Foundation, your attention, to fund the Ann Carlson Discretionary Fund at the Law School.

Eventually someone will produce a letter, which I know will be ok if it satisfies the purpose of the Fund

<UCLA ANN CARLSON \$500,000 DISCRETIONARY.pdf>

**From:** [Carlson, Ann](#)  
**To:** [Mnookin, Jennifer](#)  
**Subject:** Re: The Ann Carlson Discretionary Fund at the UCLA School of Law  
**Date:** Thursday, May 14, 2015 10:56:02 AM

---

Hmmm. Interesting.

In Evanston

On May 14, 2015, at 12:53 PM, Mnookin, Jennifer <[MNOOKIN@law.ucla.edu](mailto:MNOOKIN@law.ucla.edu)> wrote:

So I wrote him to thank him for contributing to Rachel's chair fund, and just to check in. I didn't reference the gift to you —

but in response, he said, in part: "The gift is all Shirley's idea so Ann can have more immediate \$ to spend NOW, without being restricted to the 5%, giving her more flexibility in doing what she feels she wants to do."

FWIW. . .

On May 14, 2015, at 10:30 AM, Carlson, Ann <[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)> wrote:

Can you give me any details?

On May 14, 2015, at 12:03 PM, Mnookin, Jennifer  
<[MNOOKIN@law.ucla.edu](mailto:MNOOKIN@law.ucla.edu)> wrote:

Quick heads up — I just had a nice email exchange with Ralph — and it was clear Shirley's not thinking of this as endowment (or at least Ralph doesn't think she is) — she's thinking of it as current use discretionary \$\$ for you.

Just so you know. . .

On May 13, 2015, at 6:33 AM, Carlson, Ann  
<[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)> wrote:

Got this one later.

Begin forwarded message:

**From:** Shirley Shapiro  
[REDACTED]

**Date:** May 12, 2015 at 10:58:41 PM PDT

**To:** "Carlson, Ann"  
<[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)>

**Subject: Re: The Ann Carlson Discretionary Fund at the UCLA School of Law**

Hi Ann

I have to apologize for Ralph's letter re: Lindsey. I never told him Lindsey would prepare a document. I told him I was going to contact Lindsey this week but somehow he must have misunderstood me. Please don't share that part of the letter with her. We had a house guest for 2 weeks and all my desk work kept piling up and I was too tired to deal with everything. That's why I postponed it until this week after she had left.

Thanks,

Shirley  
[REDACTED]

On May 12, 2015,  
at 10:30 PM, Ralph  
J Shapiro  
[REDACTED]

wrote:

Dear Ann

Shirley told me Lindsey Williams was going to prepare a document for the Fund Shirley discussed with you. I have attended with Lindsey two recent Law School meetings and she has said nothing to me, so I assume she has her plate full having just returned from a vacation. I prepared a letter Shirley and I propose to send to Dean Rachel Moran, which is set out below. At today's Board of Visitors meeting, they announced Dan Emmett's \$1.5MM matching Gift, so I think it is timely for this Ann Carlson Discretionary Fund to get documented now. We are sending it to you for you to add, delete, or modify anything you want; our goal is to make this Fund available for you to use in YOUR SOLE discretion.

Please mark it up, send it back to us, and we will put it in "clean" form to send to the Law school.

Shirley feels this is an appropriate Fund for you to have to assist you in continuing to do the great work you have undertaken in Environmental Law and Global Warming.

Dear Dean Moran:

Shirley and I discovered through the years of our involvement at UCLA that various Deans, Professors, Departments and Schools are always short of Discretionary Funds to enable them to meet unexpected emergencies and opportunities. We believe that the School of Law Environmental Program is in the same position.

We have observed Professor Ann Carlson and her accomplishments in the area of the Environmental Law and Global Warming. We want to establish The Ann Carlson Discretionary Fund at the UCLA School of Law, in her name

and in her honor, to assist her in enhancing her ability to continue her work. The Shapiro Family Charitable Foundation hereby pledges \$500,000 to establish The Ann Carlson Discretionary Fund, payable \$250,000 upon execution of an appropriate Gift Agreement that we would like you to have prepared, and will pay the additional \$250,000 on or before June 30 2015.

Hopefully others may add to this newly created Ann Carlson Discretionary Fund from time to time. We know she will make good use of these resources.

This Fund may be used at the discretion of Professor Ann Carlson for a wide variety of needs and opportunities for, among other things graduate and undergraduate scholarships, fellowships, faculty projects, travel,

research and any other purposes in the Sole Discretion of Professor Ann Carlson as she deems appropriate from time to time. These examples are not meant to preclude uses of this Fund for any other purposes, at her sole discretion.

---

**Ralph Shapiro** 9401  
Wilshire Blvd,  
#1201  
Beverly Hills,  
Ca  
90212  
tel: 310-550-0960  
fax: 310-205-3879

---

\*\*\*\*\*  
\*\*\*\*\*

Jennifer L. Mnookin  
David G. Price & Dallas P. Price Professor of Law  
Co-Director, PULSE@UCLA Law  
UCLA School of Law  
385 Charles E. Young Drive,  
Los Angeles CA 90095-1476  
(310) 206-7018  
[mnookin@law.ucla.edu](mailto:mnookin@law.ucla.edu)

\*\*\*\*\*

Jennifer L. Mnookin  
David G. Price & Dallas P. Price Professor of Law



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Los Angeles CA 90095-1476  
(310) 206-7018  
[mnookin@law.ucla.edu](mailto:mnookin@law.ucla.edu)

**From:** [Carlson, Ann](#)  
**To:** [Mnookin, Jennifer](#)  
**Subject:** Re: The Ann Carlson Discretionary Fund at the UCLA School of Law  
**Date:** Thursday, May 14, 2015 10:30:35 AM

---

Can you give me any details?

On May 14, 2015, at 12:03 PM, Mnookin, Jennifer <[MNOOKIN@law.ucla.edu](mailto:MNOOKIN@law.ucla.edu)> wrote:

Quick heads up — I just had a nice email exchange with Ralph — and it was clear Shirley's not thinking of this as endowment (or at least Ralph doesn't think she is) — she's thinking of it as current use discretionary \$\$ for you.

Just so you know. . .

On May 13, 2015, at 6:33 AM, Carlson, Ann <[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)> wrote:

Got this one later.

Begin forwarded message:

**From:** Shirley Shapiro [REDACTED]  
**Date:** May 12, 2015 at 10:58:41 PM PDT  
**To:** "Carlson, Ann" <[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)>  
**Subject:** **Re: The Ann Carlson Discretionary Fund at the UCLA School of Law**

Hi Ann

I have to apologize for Ralph's letter re: Lindsey. I never told him Lindsey would prepare a document. I told him I was going to contact Lindsey this week but somehow he must have misunderstood me. Please don't share that part of the letter with her. We had a house guest for 2 weeks and all my desk work kept piling up and I was too tired to deal with everything. That's why I postponed it until this week after she had left.

Thanks,

Shirley

[REDACTED]

On May 12, 2015, at 10:30 PM, Ralph J Shapiro [REDACTED] > wrote:

Dear Ann

Shirley told me Lindsey Williams was going to prepare a document for the Fund Shirley discussed with you. I have attended with Lindsey two recent Law School meetings and she has said nothing to me, so I assume she has her plate full having just returned from a vacation. I prepared a letter Shirley and I propose to send to Dean Rachel Moran, which is set out below. At today's Board of Visitors meeting, they announced Dan Emmett's \$1.5MM matching Gift, so I think it is timely for this Ann Carlson Discretionary Fund to get documented now. We are sending it to you for you to add, delete, or modify anything you want; our goal is to make this Fund available for you to use in YOUR SOLE discretion.

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Dear Dean Moran:

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---

**Ralph Shapiro** 9401 Wilshire Blvd, #1201  
Beverly Hills, Ca 90212  
tel: 310-550-0960  
fax: 310-205-3879

---

\*\*\*\*\*

Jennifer L. Mnookin  
David G. Price & Dallas P. Price Professor of Law  
Co-Director, PULSE@UCLA Law

UCLA School of Law  
385 Charles E. Young Drive,  
Los Angeles CA 90095-1476  
(310) 206-7018  
[mnookin@law.ucla.edu](mailto:mnookin@law.ucla.edu)

**From:** [Carlson, Ann](#)  
**To:** [Ralph J Shapiro](#)  
**Cc:** [Carlson, Ann](#); [Shirley Shapiro](#) [REDACTED]  
**Subject:** Re: The Ann Carlson Discretionary Fund at the UCLA School of Law  
**Date:** Wednesday, May 13, 2015 2:29:57 PM

---

Hi all,

Lindsey is working on a letter and we'll send as soon as possible.

Thank you again, deeply. I'm so grateful and touched.

Best,

Ann

On May 12, 2015, at 10:30 PM, Ralph J Shapiro [REDACTED] wrote:

Dear Ann

Shirley told me Lindsey Williams was going to prepare a document for the Fund Shirley discussed with you. I have attended with Lindsey two recent Law School meetings and she has said nothing to me, so I assume she has her plate full having just returned from a vacation. I prepared a letter Shirley and I propose to send to Dean Rachel Moran, which is set out below. At today's Board of Visitors meeting, they announced Dan Emmett's \$1.5MM matching Gift, so I think it is timely for this Ann Carlson Discretionary Fund to get documented now. We are sending it to you for you to add, delete, or modify anything you want; our goal is to make this Fund available for you to use in YOUR SOLE discretion.

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---

**Ralph Shapiro** 9401 Wilshire Blvd, #1201  
Beverly Hills, Ca 90212  
tel: 310-550-0960  
fax: 310-205-3879

---



**From:** [Carlson, Ann](#)  
**To:** [William C. Boyd](#)  
**Subject:** Fwd: The Ann Carlson Discretionary Fund at the UCLA School of Law  
**Date:** Wednesday, May 13, 2015 11:07:38 AM

---



Begin forwarded message:

**From:** Ralph J Shapiro [REDACTED]  
**Date:** May 12, 2015 at 10:30:24 PM PDT  
**To:** "Carlson, Ann" <[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)>  
**Cc:** "Shirley Shapiro" [REDACTED]  
**Subject:** The Ann Carlson Discretionary Fund at the UCLA School of Law

Dear Ann

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**Ralph Shapiro** 9401 Wilshire Blvd, #1201  
Beverly Hills, Ca 90212  
tel: 310-550-0960  
fax: 310-205-3879

---

**From:** [Carlson, Ann](#)  
**To:** [Williams, Lindsey](#)  
**Subject:** FW: The Ann Carlson Discretionary Fund at the UCLA School of Law  
**Date:** Wednesday, May 13, 2015 8:58:38 AM

---

Ralph drafted this and sent it to me. Can you figure out how to merge his letter with the one we wrote and send a draft back to me?

Thanks!

Dear Dean Moran:

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Ralph Shapiro



9401 Wilshire Blvd, #1201  
Beverly Hills, Ca 90212  
tel: 310-550-0960  
fax: 310-205-3879

**From:** [Carlson, Ann](#)  
**To:** [Mnookin, Jennifer](#)  
**Subject:** Fwd: The Ann Carlson Discretionary Fund at the UCLA School of Law  
**Date:** Wednesday, May 13, 2015 6:33:20 AM

---

Got this one later.

Begin forwarded message:

**From:** Shirley Shapiro [REDACTED]  
**Date:** May 12, 2015 at 10:58:41 PM PDT  
**To:** "Carlson, Ann" <[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)>  
**Subject:** Re: The Ann Carlson Discretionary Fund at the UCLA School of Law

Hi Ann

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Thanks,

Shirley

[REDACTED]

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[REDACTED] wrote:

Dear Ann

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Hopefully others may add to this newly created Ann Carlson Discretionary Fund from time to time. We know she will make good use of these resources.

This Fund may be used at the discretion of Professor Ann Carlson for a wide variety of needs and opportunities for, among other things graduate and undergraduate scholarships, fellowships, faculty projects, travel, research and any other purposes in the Sole Discretion of Professor Ann Carlson as she deems appropriate from time to time. These examples are not meant to preclude uses of this Fund for any other purposes, at her sole discretion.

tel: 310-550-0960  
fax: 310-205-3879

**From:** [Carlson, Ann](#)  
**To:** [Shirley Shapiro](#)  
**Subject:** Re: The Ann Carlson Discretionary Fund at the UCLA School of Law  
**Date:** Wednesday, May 13, 2015 6:31:48 AM

---

No worries! We will send a draft back to you today!

Xoxo

On May 12, 2015, at 10:58 PM, Shirley Shapiro [REDACTED] wrote:

Hi Ann

I have to apologize for Ralph's letter re: Lindsey. I never told him Lindsey would prepare a document. I told him I was going to contact Lindsey this week but somehow he must have misunderstood me. Please don't share that part of the letter with her. We had a house guest for 2 weeks and all my desk work kept piling up and I was too tired to deal with everything. That's why I postponed it until this week after she had left.

Thanks,

Shirley

[REDACTED]

On May 12, 2015, at 10:30 PM, Ralph J Shapiro [REDACTED] wrote:

Dear Ann

Shirley told me Lindsey Williams was going to prepare a document for the Fund Shirley discussed with you. I have attended with Lindsey two recent Law School meetings and she has said nothing to me, so I assume she has her plate full having just returned from a vacation. I prepared a letter Shirley and I propose to send to Dean Rachel Moran, which is set out below. At today's Board of Visitors meeting, they announced Dan Emmett's \$1.5MM matching Gift, so I think it is timely for this Ann Carlson Discretionary Fund to get documented now. We are sending it to you for you to add, delete, or modify anything you want; our goal is to make this



Fund available for you to use in YOUR SOLE discretion.

Please mark it up, send it back to us, and we will put it in “clean” form to send to the Law school. Shirley feels this is an appropriate Fund for you to have to assist you in continuing to do the great work you have undertaken in Environmental Law and Global Warming.

Dear Dean Moran:

Shirley and I discovered through the years of our involvement at UCLA that various Deans, Professors, Departments and Schools are always short of Discretionary Funds to enable them to meet unexpected emergencies and opportunities. We believe that the School of Law Environmental Program is in the same position.


We have observed Professor Ann Carlson and her accomplishments in the area of the Environmental Law and Global Warming. We want to establish The Ann Carlson Discretionary Fund at the UCLA School of Law, in her name and in her honor, to assist her in enhancing her ability to continue her work. The Shapiro Family Charitable Foundation hereby pledges \$500,000 to establish The Ann Carlson Discretionary Fund, payable \$250,000 upon execution of an appropriate Gift Agreement that we would like you to have prepared, and will pay the additional \$250,000 on or before June 30 2015.

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---

**Ralph Shapiro** 9401 Wilshire Blvd, #1201  
Beverly Hills, Ca 90212  
tel: 310-550-0960  
fax: 310-205-3879



**From:** [Carlson, Ann](#)  
**To:** [Mnookin, Jennifer](#)  
**Subject:** Fwd: The Ann Carlson Discretionary Fund at the UCLA School of Law  
**Date:** Tuesday, May 12, 2015 10:45:29 PM

---

I could use your advice on this tomorrow.

Begin forwarded message:

**From:** Ralph J Shapiro [REDACTED]  
**Date:** May 12, 2015 at 10:30:24 PM PDT  
**To:** "Carlson, Ann" <[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)>  
**Cc:** "Shirley Shapiro" [REDACTED]  
**Subject:** The Ann Carlson Discretionary Fund at the UCLA School of Law

Dear Ann

Shirley told me Lindsey Williams was going to prepare a document for the Fund Shirley discussed with you. I have attended with Lindsey two recent Law School meetings and she has said nothing to me, so I assume she has her plate full having just returned from a vacation. I prepared a letter Shirley and I propose to send to Dean Rachel Moran, which is set out below. At today's Board of Visitors meeting, they announced Dan Emmett's \$1.5MM matching Gift, so I think it is timely for this Ann Carlson Discretionary Fund to get documented now. We are sending it to you for you to add, delete, or modify anything you want; our goal is to make this Fund available for you to use in YOUR SOLE discretion.

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Beverly Hills, Ca 90212  
tel: 310-550-0960  
fax: 310-205-3879

---

**From:** [Carlson, Ann](#)  
**To:** [jkaplan@douglasemmett.com](mailto:jkaplan@douglasemmett.com)  
**Cc:** [Horowitz, Cara](#)  
**Subject:** Following up  
**Date:** Wednesday, July 10, 2019 2:24:51 PM

---

Hi Jordan,

Welcome back! I hope you had a great trip. We'd love to talk to you more about the possibility of hosting a 10th anniversary Emmett celebration. We could talk by phone tomorrow or Friday but it also turns out that I will be in your offices on Monday for a meeting with Dan and people from the Center for Climate Integrity. I could come early or stay a bit late if that would work better. We're also, of course, happy to talk by phone. Whatever works best for you. Thanks!

Best,

Ann

**From:** [Carlson, Ann](#)  
**To:** [demmett@douglasemmett.com](mailto:demmett@douglasemmett.com)  
**Subject:** smog and flying  
**Date:** Thursday, July 18, 2019 8:37:30 AM

---

Hi Dan,

Thanks again for meeting with the CCI/Rockefeller folks on Monday. I'm glad I got to see their presentation and am thinking about ways the Emmett Institute might collaborate with them.

[REDACTED]

Thanks!

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

**From:** [Carlson, Ann](#)  
**To:** [Dan Emmett](#)  
**Subject:** Re: Center for Climate Integrity  
**Date:** Monday, June 24, 2019 3:59:01 PM

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Great!

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

---

**From:** Dan Emmett <[demmett@douglasemmett.com](mailto:demmett@douglasemmett.com)>  
**Sent:** Monday, June 24, 2019 3:53:02 PM  
**To:** Carlson, Ann  
**Subject:** Re: Center for Climate Integrity

Sure. Link in my assistant, Nicholette, who largely runs my calendar.

**Dan A. Emmett**  
**c/o Douglas Emmett Management, LLC**  
1299 Ocean Avenue, Suite 1000  
Santa Monica, CA 90401  
(T) 310.255.7725  
[demmett@douglasemmett.com](mailto:demmett@douglasemmett.com)  
[www.douglasemmett.com](http://www.douglasemmett.com)

On Mon, Jun 24, 2019 at 3:51 PM Carlson, Ann <[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)> wrote:

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Do you want me to communicate with them to try to set up a meeting?

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UCLA School of Law  
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**Sent:** Monday, June 24, 2019 3:45:35 PM

**To:** Carlson, Ann

**Subject:** Re: Center for Climate Integrity

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Dan

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Welcome home.

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Dan

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On Sun, Jun 23, 2019 at 7:19 AM Carlson, Ann <[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)> wrote:

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If you're interested in more information or if you'd like to meet with them and want me to come along I'd be happy to. Apparently they're suggesting July 15 or 16? And if not, that's all good too! Just offering to help if you'd find it of assistance.

Give my best to Rae!

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
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**From:** [Dan Emmett](#)  
**To:** [Carlson, Ann](#)  
**Subject:** Re: Center for Climate Integrity  
**Date:** Monday, June 24, 2019 3:53:17 PM

---

Sure. Link in my assistant, Nicholette, who largely runs my calendar.

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**Sent:** Monday, June 24, 2019 3:45:35 PM  
**To:** Carlson, Ann  
**Subject:** Re: Center for Climate Integrity

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**From:** [Carlson, Ann](#)  
**To:** [Dan Emmett](#)  
**Subject:** Re: Center for Climate Integrity  
**Date:** Monday, June 24, 2019 3:51:50 PM

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---

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**Sent:** Monday, June 24, 2019 3:45:35 PM  
**To:** Carlson, Ann  
**Subject:** Re: Center for Climate Integrity

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**From:** [Dan Emmett](#)  
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Give my best to Rae!

Ann Carlson  
Shirley Shapiro Professor of Environmental Law  
Faculty Co-Director, Emmett Institute on Climate Change and the Environment  
UCLA School of Law  
(310) 206-9496

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**From:** [Carlson, Ann](#)  
**To:** [Dan Emmett](#)  
**Subject:** Re: Center for Climate Integrity  
**Date:** Monday, June 24, 2019 3:35:02 PM

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I think it would be interesting to meet with them if you are game. I'm impressed with what they're trying to accomplish, which is to improve climate communication. Not a particularly easy thing to do but they seem sophisticated, though I admit that I've only seen a little bit of their work product. They have put together a very interesting cachet of documents from the oil companies about what they knew about climate change, when they knew it, and how they've engaged in their denialist campaign.

I'm happy to come to a meeting if you would like to go forward with meeting them, though I obviously leave that up to you. Let me know what you think.

On Jun 24, 2019, at 3:27 PM, Dan Emmett <[demmett@douglasemmett.com](mailto:demmett@douglasemmett.com)> wrote:

Welcome home.

I am aware of the group and Reed. I have not been an active supporter. If you think their work is great and that we can or should be working with them let me know. I am glad to meet with them and you when they are out here if you think appropriate.

Dan

**Dan A. Emmett**  
**c/o Douglas Emmett Management, LLC**  
1299 Ocean Avenue, Suite 1000  
Santa Monica, CA 90401  
(T) 310.255.7725  
[demmett@douglasemmett.com](mailto:demmett@douglasemmett.com)  
[www.douglasemmett.com](http://www.douglasemmett.com)

On Sun, Jun 23, 2019 at 7:19 AM Carlson, Ann <[carlson@law.ucla.edu](mailto:carlson@law.ucla.edu)> wrote:

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**From:** [Dan Emmett](#)  
**To:** [Carlson, Ann](#)  
**Subject:** Re: Center for Climate Integrity  
**Date:** Monday, June 24, 2019 3:27:53 PM

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[demmett@douglasemmett.com](mailto:demmett@douglasemmett.com)  
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**From:** [Carlson, Ann](#)  
**To:** [demmett@douglasemmett.com](mailto:demmett@douglasemmett.com)  
**Subject:** Center for Climate Integrity  
**Date:** Sunday, June 23, 2019 7:19:03 AM

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**From:** [Carlson, Ann](#)  
**To:** [demmett@douglasemmett.com](mailto:demmett@douglasemmett.com); [Rae Emmett](#)  
**Subject:** I'm overwhelmed  
**Date:** Sunday, October 7, 2018 8:09:07 AM

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Dear Dan and Rae,

Jennifer let me know the incredible news that you are matching a gift from the Shapiros to the Carlson discretionary fund. Thank you so, so much. I continue to be humbled by the faith you have shown in the Emmett team and in me and am so, so grateful for all you do. We will continue to fight the good fight to protect our planet, inspired by you and your leadership.

All best,

Ann

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